SELECTED FCM FINANCIAL DATA AS OF
July 31, 2013
FROM REPORTS FILED BY
September 09, 2013

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	9		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3									in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
7	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	7/31/2013	484,259,802	119,610,954	364,648,848	2,403,771,610	2,186,643,735	217,127,875	157,940,234	121,481,546	36,458,688	0
8	2	ADM INVESTOR SERVICES INC	FCM	CBOT	7/31/2013	271,386,558	144,522,538	126,864,020	3,216,659,398	2,990,961,495	225,697,903	186,423,114	134,049,837	52,373,277	0
9	3	ADVANTAGE FUTURES LLC	FCM	CME	7/31/2013	21,618,381	12,164,006	9,454,375	444,087,168	430,424,275	13,662,893	31,612,448	28,952,083	2,660,365	0
10	4	ALPARI (US) LLC	FCMRFD	NFA	7/31/2013	23,347,657	20,016,545	3,331,112	3,607,316	1,151,965	2,455,351	3,886,010	2,047,285	1,838,725	10,330,890
11	5	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	7/31/2013	155,651,763	1,000,000	154,651,763	0	0	0	0	0	0	0
12	6	AMP GLOBAL CLEARING LLC	FCM	NFA	7/31/2013	2,541,516	1,500,000	1,041,516	42,640,226	41,073,794	1,566,432	579,531	404,714	174,817	0
13	7	BARCLAYS CAPITAL INC	FCM BD	NYME	7/31/2013	6,283,171,182	1,292,716,023	4,990,455,159	6,687,455,002	6,453,120,829	234,334,173	4,370,040,020	4,136,964,952	233,075,068	0
14	8	BGC FINANCIAL LP	FCM BD	NFA	7/31/2013	49,057,542	1,531,563	47,525,979	0	0	0	0	0	0	0
15	9	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	7/31/2013	3,466,039,361	225,873,224	3,240,166,137	2,479,411,955	2,225,584,789	253,827,166	17,553,139	2,454,720	15,098,419	0
16	10	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	7/31/2013	1,531,460,967	153,598,235	1,377,862,732	1,165,644,466	968,358,636	197,285,830	1,000,000	0	1,000,000	0
17	11	BNY MELLON CLEARING LLC	FCM	CME	7/31/2013	287,249,546	20,000,000	267,249,546	246,128,333	94,190,491	151,937,842	36,872,313	17,446,045	19,426,268	0
18	12	BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	7/31/2013	4,717,889	1,000,000	3,717,889	0	0	0	0	0	0	0
19	13	CANTOR FITZGERALD & CO	FCM BD	CBOT	7/31/2013	191,886,576	9,699,577	182,186,999	6,222,484	1,256,519	4,965,965	0	0	0	0
20	14	CAPITAL MARKET SERVICES LLC	FCM	NFA	7/31/2013	2,007,064	1,000,000	1,007,064	0	0	0	0	0	0	0
21	15	CHS HEDGING INC	FCM	CBOT	7/31/2013	25,206,980	10,119,984	15,086,996	162,405,265	137,960,011	24,445,254	1,240,361	173,213	1,067,148	0
22	16	CITADEL SECURITIES LLC	FCM BD	CME	7/31/2013	387,618,509	1,000,000	386,618,509	0	0	0	0	0	0	0
23	17	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	7/31/2013	5,696,235,211	823,257,163	4,872,978,048	6,807,249,807	6,429,931,058	377,318,749	1,289,360,365	951,542,278	337,818,087	0
24	18	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	7/31/2013	8,353,684,101	2,148,059,583	6,205,624,518	8,644,321,517	7,385,964,091	1,258,357,426	3,253,716,158	2,727,767,239	525,948,919	0
25	19	CROSSLAND LLC	FCM	CBOT	7/31/2013	9,216,813	4,225,365	4,991,448	92,618,498	90,786,418	1,832,080	28,649,682	27,859,003	790,679	0
26	20	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	7/31/2013	3,079,490	1,038,462	2,041,028	57,484,896	56,379,518	1,105,378	833,372	659,843	173,529	0
27	21	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	7/31/2013	416,714,167	7,079,396	409,634,771	8,617,294	3,978,159	4,639,135	1,028,995	0	1,028,995	0
28	22	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	7/31/2013	8,374,992,345	733,170,921	7,641,821,424	14,413,644,531	13,837,297,359	576,347,172	1,241,395,978	1,008,530,923	232,865,055	0
29	23	DORMAN TRADING LLC	FCM	CME	7/31/2013	11,187,413	1,389,133	9,798,280	135,931,751	132,827,197	3,104,554	2,291,404	1,941,458	349,946	0
30	24	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	7/31/2013	21,729,792	6,648,585	15,081,207	177,685,356	168,644,945	9,040,411	2,311,654	1,690,898	620,756	0
31	25	E TRADE CLEARING LLC	FCM BD	NFA	7/31/2013	692,313,812	128,600,275	563,713,537	47,605,103	37,105,918	10,499,185	1,508,107	506,970	1,001,137	0
32	26	ENSKILDA FUTURES LTD	FCM	CME	7/31/2013	34,136,885	16,286,999	17,849,886	217,723,114	205,904,343	11,818,771	0	0	0	0
33	27	FCSTONE LLC	FCM	CME	7/31/2013	106,100,241	66,810,519	39,289,722	1,582,982,151	1,557,038,442	25,943,709	66,835,018	53,363,077	13,471,941	0
34	28	FIXI AMERICAS LLC	FCM	NFA	7/31/2013	1,499,192	1,000,000	499,192	0	0	0	0	0	0	0
35	29	FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	7/31/2013	69,685,750	26,801,213	42,884,537	0	0	0	0	0	0	146,024,253
36	30	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	7/31/2013	4,814,704	1,000,000	3,814,704	4,599,462	3,994,015	605,447	1,481,907	1,412,836	69,071	0
37	31	FRONTIER FUTURES INC	FCM	NFA	7/31/2013	2,029,292	1,000,000	1,029,292	28,597,352	27,818,101	779,251	0	0	0	0
38	32	FXDIRECTDEALER LLC	FCMRFD	NFA	7/31/2013	23,468,721	20,853,717	2,615,004	0	0	0	0	0	0	27,074,330
39	33	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	7/31/2013	43,023,715	24,859,384	18,164,331	132,264,604	125,880,638	6,383,966	4,469,799	3,153,415	1,316,384	107,187,678
40	34	GH FINANCIALS LLC	FCM	CME	7/31/2013	9,724,275	1,000,000	8,724,275	1,562,172	933,230	628,942	0	0	0	0
41	35	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	7/31/2013	-520,282	20,000,000	-20,520,282	0	0	0	0	0	0	0
42	36	GOLDMAN SACHS & CO	FCM BD	CBOT	7/31/2013	15,587,355,038	2,051,746,834	13,535,608,204	20,224,499,514	19,583,474,281	641,025,233	9,225,895,617	8,576,732,593	649,163,024	0
43	37	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	7/31/2013	1,931,463,227	122,603,771	1,808,859,456	1,586,214,942	1,010,126,441	576,088,501	64,523,935	18,467,582	46,056,353	0
44	38	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	7/31/2013	72,757,857	1,309,126	71,448,731	0	0	0	0	0	0	0
45	39	HSBC SECURITIES USA INC	FCM BD	CME	7/31/2013	1,069,452,830	129,374,312	940,078,518	976,495,471	905,294,221	71,201,250	89,831,651	72,720,303	17,111,348	0
46	40	IBFX INC	RFED	NFA	7/31/2013	27,537,555	23,182,358	4,355,197	0	0	0	0	0	0	73,647,158
47	41	INSTINET LLC	FCM BD	NFA	7/31/2013	110,949,895	2,275,499	108,674,396	0	0	0	0	0	0	0
48	42	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	7/31/2013	33,550,525	20,172,077	13,378,448	0	0	0	0	0	0	13,441,542
49	43	INTERACTIVE BROKERS LLC	FCM BD	NFA	7/31/2013	1,818,788,199	261,878,529	1,556,909,670	2,148,644,874	1,947,505,448	201,139,426	412,683,723	301,865,932	110,817,791	42,799,504
50	44	IRONBEAM INC	FCM	NFA	7/31/2013	2,417,181	1,000,000	1,417,181	42,733,169	41,398,547	1,334,622	111,202	4,370	106,832	0
51	45	ITG DERIVATIVES LLC	FCM BD	NFA	7/31/2013	4,994,268	1,000,000	3,994,268	0	0	0	0	0	0	0
52	46	JEFFERIES BACHE LLC	FCM	CBOT	7/31/2013	242,805,000	124,514,560	118,290,440	2,118,920,000	2,017,375,000	101,545,000	181,362,000	109,489,000	71,873,000	0
53	47	JP MORGAN CLEARING CORP	FCM BD	NFA	7/31/2013	6,745,991,882	1,722,418,880	5,023,573,002	1,010,223,745	759,051,870	251,171,875	787,074,212	544,459,950	242,614,262	0
54	48	JP MORGAN SECURITIES LLC	FCM BD	CEI	7/31/2013	14,098,515,915	1,925,567,424	12,172,948,491	18,491,769,848	16,603,910,221	1,887,859,627	3,711,703,594	3,295,215,373	416,488,221	0
55	49	KNIGHT CAPITAL AMERICAS LLC	FCM BD	CME	7/31/2013	337,152,235	23,522,752	313,629,483	506,433,896	489,942,797	16,491,099	11,166,463	7,830,864	3,335,599	35,076,899
56	50	LEK SECURITIES CORPORATION	FCM BD	NFA	7/31/2013	9,071,315	1,500,000	7,571,315	8,428,901	7,591,340	837,561	0	0	0	0
57	51	LINN GROUP THE	FCM	NFA	7/31/2013	3,423,362	2,291,116	1,132,246	81,802,862	78,333,376	3,469,486	347,705	0	347,705	0

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3									in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
58	52	LPL FINANCIAL LLC	FCM BD	NFA	7/31/2013	208,068,435	6,103,488	201,964,947	0	0	0	0	0	0	0
59	53	MACQUARIE FUTURES USA LLC	FCM	CBOT	7/31/2013	141,687,975	98,220,333	43,467,642	1,423,306,194	1,255,452,995	167,853,199	32,153,919	25,444,403	6,709,516	0
60	54	MAREX NORTH AMERICA LLC	FCM	CME	7/31/2013	11,020,964	7,244,731	3,776,233	90,287,134	84,294,897	5,992,237	6,678,181	5,958,117	720,064	0
61	55	MB TRADING FUTURES INC	FCMRFD	NFA	7/31/2013	24,522,130	21,507,885	3,014,245	0	0	0	0	0	0	40,157,697
62	56	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	7/31/2013	13,018,468	4,400,922	8,617,546	832,048,047	811,409,986	20,638,061	2,600,556	0	2,600,556	0
63	57	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	7/31/2013	12,072,864,853	874,843,774	11,198,021,079	8,504,057,100	8,162,435,975	341,621,125	2,740,498,424	2,489,529,192	250,969,232	0
64	58	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	7/31/2013	2,010,452,632	253,677,109	1,756,775,523	1,584,594,828	794,173,981	790,420,847	29,159,412	1,163,975	27,995,437	0
65	59	MID CO COMMODITIES INC	FCM	NFA	7/31/2013	9,871,826	3,533,003	6,338,823	39,420,861	30,208,871	9,211,990	572,574	0	572,574	0
66	60	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	7/31/2013	226,291,385	1,066,467	225,224,918	0	0	0	0	0	0	0
67	61	MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	7/31/2013	3,394,645	1,000,000	2,394,645	0	0	0	0	0	0	0
68	62	MIZUHO SECURITIES USA INC	FCM BD	CME	7/31/2013	404,842,178	173,839,086	231,003,092	2,280,644,245	2,083,728,302	196,915,943	438,488,526	348,874,376	89,614,150	0
69	63	MORGAN STANLEY & CO LLC	FCM BD	CME	7/31/2013	7,880,418,820	1,484,537,525	6,395,881,295	8,840,514,746	8,632,067,734	208,447,012	2,624,697,140	2,417,555,597	207,141,543	0
70	64	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	7/31/2013	3,554,085,789	162,179,723	3,391,906,066	748,530,935	643,957,320	104,573,615	84,365,692	59,120,541	25,245,151	0
71	65	NATIXIS SECURITIES AMERICAS LLC	FCM BD	NFA	7/31/2013	272,144,797	2,428,068	269,716,729	0	0	0	0	0	0	0
72	66	NEUBERGER BERMAN LLC	FCM BD	NFA	7/31/2013	75,706,685	1,500,000	74,206,685	0	0	0	0	0	0	0
73	67	NEWEDGE USA LLC	FCM BD	NYME	7/31/2013	1,945,683,505	1,007,581,155	938,102,350	15,268,797,966	14,663,862,971	604,934,995	3,067,324,975	2,689,213,332	378,111,643	0
74	68	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	7/31/2013	2,392,398,797	39,412,794	2,352,986,003	69,095,136	58,066,648	11,028,488	5,209,051	805,163	4,403,888	0
75	69	OANDA CORPORATION	FCMRFD	NFA	7/31/2013	145,843,146	26,117,534	119,725,612	0	0	0	0	0	0	132,350,675
76	70	OPPENHEIMER & CO INC	FCM BD	NFA	7/31/2013	156,306,165	24,963,918	131,342,247	35,172,105	17,868,451	17,303,654	0	0	0	0
77	71	OPTIONSXPRESS INC	FCM BD	NFA	7/31/2013	99,255,930	6,157,579	93,098,351	115,076,498	88,025,966	27,050,532	3,630,201	1,070,351	2,559,850	0
78	72	PHILLIP FUTURES INC	FCM	CME	7/31/2013	28,093,681	20,000,000	8,093,681	136,092,901	117,561,703	18,531,198	4,151,001	1,893,357	2,257,644	0
79	73	PIONEER FUTURES INC	FCM	NFA	7/31/2013	2,373,798	1,000,000	1,373,798	0	0	0	0	0	0	0
80	74	RAND FINANCIAL SERVICES INC	FCM	CME	7/31/2013	78,287,240	25,001,780	53,285,460	389,978,000	349,090,300	40,887,700	30,179,900	7,938,400	22,241,500	0
81	75	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	7/31/2013	446,876,803	36,601,634	410,275,169	0	0	0	0	0	0	0
82	76	RBC CAPITAL MARKETS LLC	FCM BD	CME	7/31/2013	1,035,679,573	122,422,640	913,256,933	980,632,581	907,296,629	73,335,952	193,492,163	136,843,442	56,648,721	0
83	77	RBS SECURITIES INC	FCM BD	CBOT	7/31/2013	4,270,207,956	152,863,693	4,117,344,263	1,732,680,449	1,591,020,319	141,660,130	161,800,775	44,306,555	117,494,220	0
84	78	RJ OBRIEN ASSOCIATES LLC	FCM	CME	7/31/2013	205,935,426	137,550,512	68,384,914	3,901,022,716	3,784,553,753	116,468,963	165,542,178	140,193,812	25,348,366	1,658,025
85	79	ROSENTHAL COLLINS GROUP LLC	FCM	CME	7/31/2013	75,866,449	57,491,438	18,375,011	1,467,171,121	1,444,234,024	22,937,097	34,478,122	24,422,215	10,055,907	0
86	80	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	7/31/2013	172,057,024	18,966,472	153,090,552	0	0	0	0	0	0	0
87	81	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	7/31/2013	163,836,193	8,824,798	155,011,395	679,013,005	676,748,517	2,264,488	0	0	0	0
88	82	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	7/31/2013	526,021,078	27,653,167	498,367,911	365,902,987	278,753,530	87,149,457	96,607,821	56,026,360	40,581,461	0
89	83	STRAITS FINANCIAL LLC	FCM	CME	7/31/2013	9,806,816	3,847,038	5,959,778	120,557,007	113,869,145	6,687,862	23,045,868	20,772,943	2,272,925	0
90	84	TD AMERITRADE INC	FCM BD	NFA	7/31/2013	274,316,694	11,382,893	262,933,801	160,727,659	135,752,239	24,975,420	0	0	0	0
91	85	TIMBER HILL LLC	FCM BD	CME	7/31/2013	507,385,357	48,169,745	459,215,612	637,591,987	635,065,837	2,526,150	0	0	0	0
92	86	TRADELINK LLC	FCM BD	CBOT	7/31/2013	15,197,749	1,000,000	14,197,749	0	0	0	0	0	0	0
93	87	TRADESTATION SECURITIES INC	FCM BD	NFA	7/31/2013	56,618,115	2,998,408	53,619,707	404,359,250	394,237,071	10,122,179	15,727,704	14,723,609	1,004,095	0
94	88	TULLETT PREBON FINANCIAL SERVICES LLC	FCM BD	NFA	7/31/2013	27,378,105	1,000,000	26,378,105	0	0	0	0	0	0	0
95	89	UBS FINANCIAL SERVICES INC	FCM BD	NFA	7/31/2013	1,491,294,175	100,479,932	1,390,814,243	128,879,374	101,603,011	27,276,363	24,594,609	1,102,871	23,491,738	0
96	90	UBS SECURITIES LLC	FCM BD	CBOT	7/31/2013	9,366,619,726	994,828,194	8,371,791,532	9,721,861,179	9,053,710,348	668,150,831	4,662,658,778	4,247,329,686	415,329,092	0
97	91	VELOCITY FUTURES LLC	FCM	NFA	7/31/2013	1,257,521	1,000,000	257,521	59,840,917	58,856,612	984,305	1,530,114	943,010	587,104	0
98	92	VISION FINANCIAL MARKETS LLC	FCM BD	CME	7/31/2013	33,410,349	11,513,995	21,896,354	492,413,844	479,888,627	12,525,217	14,885,899	12,647,746	2,238,153	0
99	93	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	7/31/2013	51,885,993	1,000,000	50,885,993	0	0	0	0	0	0	0
100	94	WELLS FARGO ADVISORS LLC	FCM BD	NFA	7/31/2013	1,248,969,959	1,500,000	1,247,469,959	0	0	0	0	0	0	0
101	95	WELLS FARGO SECURITIES LLC	FCM BD	CME	7/31/2013	2,894,213,830	30,781,872	2,863,431,958	23,439,080	13,417,177	10,021,903	4,970,820	0	4,970,820	0
102	96	WHITE COMMERCIAL CORPORATION	FCM	NFA	7/31/2013	2,373,666	1,000,000	1,373,666	0	0	0	0	0	0	0
103	97	WHOTRADES FX LLC	FCM	NFA	7/31/2013	1,938,486	1,000,000	938,486	0	0	0	0	0	0	0
104	98	XCHANGE FINANCIAL ACCESS LLC	FCM BD	NFA	7/31/2013	5,776,415	1,000,000	4,776,415	34,499,577	32,417,322	2,082,255	0	0	0	0
105	99	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	7/31/2013	4,585,121	1,000,000	3,585,121	53,578,313	51,647,453	1,930,860	2,266,725	1,905,985	360,740	0
106															
107															
108		**Totals**							**157,728,875,795**	**146,584,491,227**	**11,144,384,568**	**39,657,040,839**	**34,898,973,340**	**4,758,067,499**	**629,748,651**

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	9		**Reg As**	**DSRO**	**A/O**	**Adjusted**	**Net Capital**	**Excess**	**Customers'**	**Customers'**	**Excess/Deficient**	**Funds in Separate**	**Customer**	**Excess/Deficient**	**Total Amount**
2		**Futures Commission Merchant / Retail Foreign Exchange**			**Date**	**Net Capital**	**Requirement**	**Net Capital**	**Assets**	**Seg Required**	**Funds in Seg**	**Section 30.7**	**Amount Pt. 30**	**Funds in Separate**	**of Retail Forex**
3		**Dealer**							**in Seg**	**4d(a)(2)**		**Accounts**	**Required**	**Section 30.7**	**Obligation**
4														**Accounts**	
5			**(a)**	**(b)**			**(c)**	**(d)**	**(e)**	**(f)**	**(g)**	**(h)**	**(i)**	**(j)**	**(k)**
6															
109															
110		**June Web Page Update**	**100**												
111															
112		**Additions**	**1**												
113		FIXI AMERICAS LLC													
114															
115		**Deletions**	**2**												
116		CX CAPITAL MARKETS LLC													
117		XPRESSTRADE LLC													
118															
119		**Name Changes**													
120		None													
121															
122		**July Web Page Update**	**99**												

SELECTED FCM FINANCIAL DATA AS OF
July 31, 2013
FROM REPORTS FILED BY
September 09, 2013

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	9		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3		Dealer							in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
123															
124		(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;													
125		BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;													
126		RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;													
127		FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.													
128															
129		(b): DSRO: Designated Self-Regulatory Organization.													
130															
131		(c): A firm's net capital requirement is the greater of:													
132															
133		• FCM minimum dollar amount ($1,000,000); or													
134		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or													
135		• the amount of capital required by a registered futures association (currently NFA is the only such association); or													
136		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or													
137		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or													
138		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.													
139															
140		(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.													
141															
142		(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.													
143															
144		(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.													
145															
146		(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.													
147															
148		(h): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.													
149															
150		(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.													
151															
152		(j): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.													
153															
154		(k): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.													