

SELECTED FCM FINANCIAL DATA AS OF

April 30, 2015

FROM REPORTS FILED BY

May 31, 2015

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
1																					
2																					
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4	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	4/30/2015	616,975,244	195,399,822	421,575,427	3,008,963,415	2,698,281,791	310,681,624	135,367,922	214,798,840	171,478,582	43,320,258	25,721,787	0	0	0	0	0
5	2	ADM INVESTOR SERVICES INC	FCM	CBOT	4/30/2015	296,075,371	169,274,674	126,800,697	3,873,779,043	3,614,848,683	258,930,360	120,000,000	233,427,028	174,049,338	59,377,690	20,000,000	12,629,979	1,940,411	10,689,568	8,000,000	0
6	3	ADVANTAGE FUTURES LLC	FCM	CME	4/30/2015	24,245,479	16,018,844	8,226,635	551,830,685	538,309,502	13,521,183	9,000,000	31,277,996	29,352,817	1,925,179	1,000,000	0	0	0	0	0
7	4	AMP GLOBAL CLEARING LLC	FCM	NFA	4/30/2015	2,663,626	1,500,000	1,163,626	55,678,934	54,052,276	1,626,658	900,000	1,210,967	856,496	354,471	150,000	0	0	0	0	0
8	5	BARCLAYS CAPITAL INC	FCM BD	NYME	4/30/2015	6,785,262,482	1,399,294,071	5,385,968,411	6,662,732,922	5,955,930,782	706,802,140	327,576,193	2,888,060,493	2,642,255,908	245,804,585	132,641,247	6,381,416,814	5,510,281,256	871,135,558	197,819,097	0
9	6	BGC FINANCIAL LP	FCM BD	NFA	4/30/2015	57,872,753	1,910,328	55,962,425	0	0	0	0	0	0	0	0	0	0	0	0	0
10	7	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	4/30/2015	3,092,514,753	252,768,446	2,839,746,307	2,591,116,932	2,208,543,644	382,573,288	220,854,364	45,575,665	32,547,513	13,028,152	10,000,000	11,120,270	3,016,560	8,103,710	5,000,000	0
11	8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	4/30/2015	1,619,295,439	232,184,443	1,387,110,996	1,056,815,189	847,901,568	208,913,621	169,580,314	27,666,066	13,310,477	14,355,589	1,331,048	792,910,999	637,431,982	155,479,017	63,743,198	0
12	9	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	4/30/2015	12,628,229	1,000,000	11,628,229	203	0	203	1	184	184	184	1	6	0	6	1	0
13	10	CANTOR FITZGERALD & CO	FCM BD	CBOT	4/30/2015	185,615,200	5,028,454	180,586,746	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
14	11	CHS HEDGING LLC	FCM	CBOT	4/30/2015	28,568,054	8,929,511	19,638,543	153,744,838	125,981,126	27,763,712	13,100,000	1,625,335	208,351	1,416,984	545,000	651,470	147,375	504,095	310,000	0
15	12	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	4/30/2015	6,932,294,887	1,368,902,287	5,563,392,600	7,386,419,755	7,064,722,573	321,697,182	300,200,000	1,035,689,810	855,325,648	180,364,162	150,000,000	6,697,938,123	6,482,502,243	215,435,880	207,375,000	0
16	13	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	4/30/2015	7,634,503,444	2,346,632,131	5,287,871,313	9,325,126,896	8,666,950,656	658,176,240	433,347,533	3,871,315,222	2,996,232,511	875,082,711	149,811,626	13,412,125,517	11,450,101,160	1,962,024,357	572,505,058	0
17	14	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	4/30/2015	5,356,379	1,253,472	4,102,907	68,275,272	64,175,026	4,100,246	3,050,000	328,428	46,538	281,890	100,000	0	0	0	0	0
18	15	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	4/30/2015	497,969,880	9,000,696	488,969,184	9,000,696	5,456,468	5,456,468	1	5,456,468	550,895	550,895	1	0	0	0	0	0
19	16	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	4/30/2015	12,062,159,857	746,327,440	11,315,832,417	3,305,064,046	3,017,210,727	287,853,319	200,000,000	1,067,834,264	850,272,494	217,561,770	150,000,000	2,077,769,819	1,779,012,251	298,757,568	250,000,000	0
20	17	DORMAN TRADING LLC	FCM	CME	4/30/2015	9,849,797	2,431,073	7,418,724	173,996,531	169,984,655	4,011,876	2,000,000	4,046,282	3,498,487	547,795	200,000	0	0	0	0	0
21	18	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	4/30/2015	58,114,336	26,374,896	31,739,440	681,834,503	656,199,036	25,635,467	14,000,000	46,530,538	44,049,966	2,480,572	2,000,000	0	0	0	0	0
22	19	E TRADE CLEARING LLC	FCM BD	NFA	4/30/2015	781,818,239	180,772,889	601,045,350	56,313,387	42,142,941	14,170,446	10,000,000	1,489,372	480,272	1,009,100	1,000,000	0	0	0	0	0
23	20	EFL FUTURES LIMITED	FCM	CME	4/30/2015	34,783,024	13,865,077	20,917,947	197,607,255	184,648,880	12,958,375	5,000,000	0	0	0	0	0	0	0	0	0
24	21	FCSTONE LLC	FCM	CME	4/30/2015	125,484,544	67,802,281	57,682,263	1,772,100,077	1,722,992,612	49,107,465	24,000,000	80,325,872	61,931,079	18,394,793	8,000,000	0	0	0	0	0
25	22	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	4/30/2015	66,643,999	28,059,968	38,584,031	0	0	0	0	0	0	0	0	0	0	0	0	171,199,357
26	23	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	4/30/2015	39,971,290	25,056,620	14,914,670	226,579,683	211,706,335	14,873,348	2,500,000	6,255,383	3,420,224	2,835,159	312,500	0	0	0	0	111,132,398
27	24	GH FINANCIALS LLC	FCM	CME	4/30/2015	15,633,226	3,752,877	11,880,349	57,373,855	46,183,005	11,190,850	2,500,000	300,793	1,290	299,503	75,000	0	0	0	0	0
28	25	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	4/30/2015	16,250,016,035	2,564,124,780	13,685,891,255	22,136,113,261	21,564,619,330	571,493,931	475,000,000	11,585,382,314	10,871,703,240	713,679,074	475,000,000	3,990,684,693	3,617,901,480	372,783,213	350,000,000	0
29	26	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	4/30/2015	1,665,176,632	171,497,909	1,493,678,723	1,229,792,557	549,454,600	680,337,957	540,000,000	71,504,583	21,441,456	50,063,127	40,000,000	0	0	0	0	0
30	27	HSBC SECURITIES USA INC	FCM BD	CME	4/30/2015	897,964,295	232,603,014	665,361,281	1,083,928,615	939,705,925	144,222,690	50,000,000	187,680,415	165,452,857	22,227,558	10,000,000	982,994,308	852,189,866	130,804,442	30,000,000	0
31	28	IBFX INC	RFED SD	NFA	4/30/2015	36,621,194	21,977,850	14,643,344	0	0	0	0	0	0	0	0	0	0	0	0	47,433,040
32	29	INTERACTIVE BROKERS LLC	FCM BD	NFA	4/30/2015	2,193,407,122	298,195,025	1,895,212,097	2,617,714,860	2,397,437,701	220,277,159	155,000,000	649,227,921	544,695,420	104,532,501	80,000,000	0	0	0	0	0
33	30	IRONBEAM INC	FCM	NFA	4/30/2015	2,250,397	1,000,000	1,250,397	41,587,888	40,254,732	1,333,156	800,000	168,654	77,568	91,086	50,000	0	0	0	0	51,046,279
34	31	ITG DERIVATIVES LLC	FCM BD	NFA	4/30/2015	2,026,388	1,000,000	1,026,388	0	0	0	0	0	0	0	0	0	0	0	0	0
35	32	JEFFERIES LLC	FCM BD	CBOT	4/30/2015	934,634,195	102,000,331	832,633,864	1,607,355,540	1,487,219,258	120,136,282	90,000,000	226,903,022	156,070,935	70,832,087	50,000,000	18,444,706	6,107,064	12,337,642	5,000,000	0
36	33	JP MORGAN CLEARING CORP	FCM BD	NFA	4/30/2015	7,983,385,872	1,846,114,618	6,137,271,254	928,646,045	700,298,527	228,347,518	63,026,867	576,966,839	390,151,925	186,814,914	35,113,673	250,000	0	250,000	1	0
37	34	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	4/30/2015	15,073,285,058	2,475,335,445	12,597,949,613	19,673,117,092	17,918,812,361	1,754,304,731	1,612,693,113	3,789,966,212	3,266,287,032	523,679,180	293,965,833	7,071,381,001	6,432,028,337	639,352,664	578,882,550	0
38	35	MACQUARIE FUTURES USA LLC	FCM	CBOT	4/30/2015	186,024,102	157,057,418	28,966,684	1,931,765,831	1,770,747,838	161,017,993	90,000,000	19,431,910	4,512,950	14,918,960	315,906	22,604,235	4,284,708	18,319,527	299,930	0
39	36	MAREX NORTH AMERICA LLC	FCM	CME	4/30/2015	21,363,898	12,797,581	8,566,317	144,564,687	133,782,436	10,782,251	3,500,000	34,382,707	32,895,658	1,487,049	1,000,000	0	0	0	0	0
40	37	MB TRADING FUTURES INC	RFED	NFA	4/30/2015	24,793,693	20,413,887	4,379,806	0	0	0	0	0	0	0	0	0	0	0	0	18,277,736
41	38	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	4/30/2015	13,706,249	4,039,577	9,666,672	948,425,031	927,614,829	20,810,202	18,000,000	2,417,861	0	2,417,861	400,000	0	0	0	0	0
42	39	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	4/30/2015	10,220,942,307	1,514,959,380	8,705,982,927	12,129,390,508	11,789,022,001	340,368,507	200,000,000	2,947,057,913	2,752,379,892	194,678,021	150,000,000	4,215,837,325	3,892,652,953	323,184,372	150,000,000	0
43	40	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	4/30/2015	2,773,270,825	494,486,422	2,278,784,403	1,256,057,048	665,576,031	590,481,017	300,000,000	4,123,594	414,921	3,708,673	1,000,000	0	0	0	0	0
44	41	MID CO COMMODITIES INC	FCM	NFA	4/30/2015	8,104,561	2,726,768	5,377,793	31,248,241	23,132,236	8,116,005	5,000,000	0	0	0	0	0	0	0	0	0
45	42	MINI BROKERS	FCM BD	NFA	4/30/2015	6,962,505	1,000,000	5,962,505	0	0	0	0	0	0	0	0	0	0	0	0	0
46	43	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	4/30/2015	392,874,121	1,040,381	391,833,740	0	0	0	0	0	0	0	0	0	0	0	0	0
47	44	MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	4/30/2015	542,819,341	232,314,428	310,504,913	2,688,045,851	2,485,713,932	202,331,919	135,000,000	608,335,294	507,905,689	100,429,605	70,000,000					

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		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1																					
2																					
3																					
87																					
88		Deletions	0																		
89		NONE																			
90																					
91		Name Changes																			
92		NONE																			
93																					
94		April Web Page Update	75																		
95																					
96		(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.																			
97		BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.																			
98		RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.																			
99		FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.																			
100		SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.																			
101																					
102		(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.																			
103																					
104		(c): A firm's net capital requirement is the greater of:																			
105																					
106		• FCM minimum dollar amount (\$1,000,000); or																			
107		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or																			
108		• the amount of capital required by a registered futures association (currently NFA is the only such association); or																			
109		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or																			
110		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or																			
111		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.																			
112																					
113		(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.																			
114																					
115		(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.																			
116																					
117		(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.																			
118																					
119		(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.																			
120																					
121		(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.																			
122																					
123		(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.																			
124																					
125		(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.																			
126																					
127		(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.																			
128																					
129		(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.																			
130																					
131		(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.																			
132																					
133		(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.																			
134																					
135		(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.																			
136																					
137		(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.																			
138																					
139		(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.																			