

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
July 31, 2002

	B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
	(a)	(b)			(4% of Seg, SEC or \$250,000	Capital	(d)	(e)	(f)
						(c)			Pt. 30 Required
1 ABN AMRO INCORPORATED	Y	CBOT	06/30/2002	1,270,060,468	94,163,728	107,690,525	1,162,369,943	2,109,939,434	54,908,596
2 ABN AMRO SAGE CORPORATION	Y	NFA	06/30/2002	43,462,282	8,691,902	8,691,902	34,770,380	137,926,789	0
3 ADM INVESTOR SERVICES INC	N	CBOT	06/30/2002	62,117,281	12,184,302	12,695,083	49,422,198	335,462,038	11,186,735
4 ADVEST INC	Y	NFA	06/30/2002	30,728,487	1,000,000	0	29,728,487	0	0
5 AG EDWARDS & SONS INC	Y	CBOT	06/30/2002	575,220,845	47,985,647	2,156,535	527,235,198	66,797,436	15,775
6 AGE COMMODITY CLEARING CORP	N	CBOT	06/30/2002	11,133,138	1,151,502	2,849,336	8,283,802	41,955,129	0
7 AIG CLEARING CORPORATION	N	NYME	06/30/2002	120,244,500	250,000	5,066,260	115,178,240	0	0
8 ALARON TRADING CORPORATION	N	CME	06/30/2002	3,148,082	1,626,567	789,608	1,521,515	45,190,687	4,506
9 ALCO COMMODITIES INC	N	NFA	06/28/2002	456,053	250,000	0	206,053	0	0
10 AMERICAN NATIONAL TRADING CORP	N	NFA	06/30/2002	868,848	359,752	247,867	509,096	12,365,837	0
11 BANC OF AMERICA FUTURES INCORPORATED	Y	CME	06/30/2002	61,283,759	3,651,131	16,091,946	45,191,813	91,278,281	0
12 BANC ONE CAPITAL MARKETS INC	Y	CBOT	06/30/2002	386,910,420	75,499,786	124,570,718	262,339,702	2,166,083,736	8,059,251
13 BARCLAYS CAPITAL INC	Y	CBOT	06/30/2002	390,605,800	58,483,619	103,704,040	286,901,760	1,450,051,361	48,324,637
14 BEAR STEARNS & CO INC	Y	NFA	06/28/2002	1,847,961,981	30,568,517	0	1,817,393,464	0	0
15 BEAR STEARNS SECURITIES CORP	Y	CME	06/28/2002	2,483,958,942	657,446,049	96,077,550	1,826,512,893	1,650,488,505	129,487,661
16 BENSON-QUINN COMMODITIES INC	N	NFA	06/30/2002	3,985,265	682,571	1,160,928	2,824,337	18,546,410	0
17 BIELFELDT & COMPANY LLC	N	CBOT	06/30/2002	400,436	250,000	0	150,436	0	0
18 BLAYLOCK AND PARTNERS LP	Y	NFA	06/30/2002	4,136,845	396,155	0	3,740,690	0	0
19 BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	06/30/2002	29,854,038	819,002	12,321,679	17,532,359	3,283,782	0
20 BNP PARIBAS COMMODITY FUTURES INC	N	NYME	06/30/2002	49,424,833	8,248,933	8,048,027	41,175,900	239,528,103	1,172,284
21 BNP PARIBAS SECURITIES CORP	Y	CBOT	06/30/2002	333,944,891	2,719,188	0	331,225,703	0	0
22 BNY CLEARING SERVICES LLC	Y	NFA	06/30/2002	92,671,687	16,883,808	0	75,787,879	0	0
23 BOSTON CABOT LLC	Y	NFA	06/30/2002	361,832	250,000	0	111,832	0	0
24 C CZARNIKOW SUGAR FUTURES INC	N	NFA	06/30/2002	1,507,846	250,000	93,392	1,257,846	1,146,214	0
25 CANTOR FITZGERALD & CO	Y	CBOT	06/30/2002	57,564,934	1,026,224	0	56,538,710	5,000	0
26 CAPITAL MARKET SERVICES, LLC	N	NFA	06/30/2002	416,955	250,000	0	166,955	0	0
27 CARGILL INVESTOR SERVICES INC	N	CBOT	06/30/2002	74,148,773	37,193,474	50,743,615	23,405,158	936,270,762	96,851,069
28 CARR FUTURES INC	Y	CME	06/30/2002	192,312,106	86,283,979	116,045,099	76,267,007	2,089,367,374	1,089,385,465
29 CDC SECURITIES	Y	NFA	06/30/2002	75,779,431	250,000	0	75,529,431	0	0
30 CIBC WORLD MARKETS CORP	Y	NYME	06/30/2002	924,243,210	19,938,486	15,220,374	904,304,724	5,739,141	0
31 CLIFDEN FUTURES, LLC	N	NFA	06/30/2002	491,700	250,000	0	241,700	168,573	0
32 COMMERZ FUTURES LLC	Y	CME	06/30/2002	8,076,063	2,032,805	3,423,163	4,652,900	69,872,867	7,067,632
33 COUNTRY HEDGING INC	N	NFA	06/30/2002	3,032,504	554,199	1,406,627	1,625,877	16,832,790	33,094
34 CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	06/28/2002	12,343,022	3,357,147	14,501,101	-2,158,079	133,311,596	0
35 CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	06/30/2002	3,503,218,639	76,725,370	69,462,049	3,426,493,269	1,092,446,750	163,605,039
36 CROSLAND LLC	N	CBOT	06/28/2002	3,449,284	443,618	95,702	3,005,666	11,113,953	0
37 CUNNINGHAM COMMODITIES INC	N	CBOT	06/30/2002	1,869,395	324,370	64,940	1,545,025	8,088,638	95,365
38 DAIWA SECURITIES AMERICA INC	Y	CME	06/30/2002	219,477,629	3,722,628	5,331,724	214,145,905	91,149,057	1,143,655
39 DEUTSCHE BANK FUTURES INC	N	CME	06/30/2002	145,515,612	33,668,582	123,219,480	22,296,132	885,321,611	8,916,791
40 DEUTSCHE BANK SECURITIES INC	Y	CBOT	06/30/2002	725,542,522	81,241,888	35,097,200	644,300,634	861,252,071	144,441,808
41 DIRECT TRADING GROUP LLC	N	NFA	06/30/2002	396,487	250,000	16,674	146,487	1,391,014	0
42 DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	06/30/2002	1,619,476,000	98,226,020	0	1,521,249,980	0	0
43 DORMAN TRADING LLC	N	CME	06/30/2002	3,442,602	761,358	188,984	2,681,244	19,036,438	0
44 DUNAVANT COMMODITY CORP	N	NYCE	06/30/2002	14,192,799	898,152	1,086,603	13,106,196	32,301,735	0
45 EAGLE MARKET MAKERS INC	N	CBOT	06/30/2002	1,654,281	250,000	15,553	1,404,281	1,420,730	46,504
46 EM COMBS & SON	N	CBOT	06/30/2002	1,615,831	250,000	14,131	1,365,831	1,631,970	0
47 FAHNESTOCK & CO INC	Y	NFA	06/30/2002	168,139,434	9,268,452	0	158,870,982	773,734	0

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48	FARR FINANCIAL INC	N	NFA	06/30/2002	717,902	404,643	123,446	313,259	10,362,825	38,141
49	FC STONE LLC	N	CME	06/30/2002	14,680,811	6,451,533	6,541,281	8,139,530	200,656,323	653,122
50	FCT GROUP LLC	N	CME	06/28/2002	3,637,638	250,000	346,038	3,291,600	8,147,771	0
51	FIMAT USA INC	Y	CME	06/30/2002	136,587,357	35,453,740	91,003,183	45,584,174	1,518,930,088	168,453,849
52	FIRST CAPITOL GROUP LLC	N	NFA	06/30/2002	1,295,226	531,317	465,773	763,909	21,414,577	80
53	FIRST OPTIONS OF CHICAGO INC	Y	CME	06/28/2002	190,639,339	7,158,714	18,475,565	172,163,774	366,779,341	7,525,028
54	FOREX CAPITAL MARKETS LLC	N	NFA	06/30/2002	4,318,071	250,000	0	4,068,071	0	0
55	FORTIS CLEARING CHICAGO LLC	N	CBOT	06/30/2002	6,339,901	1,275,506	529,456	5,064,395	33,037,577	884,453
56	FOX INC	N	NFA	06/30/2002	387,910	250,000	0	137,910	0	0
57	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	06/30/2002	1,443,473	250,000	11,872	1,193,473	2,882,044	12,132
58	FRONTIER FUTURES INC	N	NFA	06/30/2002	1,044,923	348,296	204,411	696,627	10,737,635	0
59	FUTURES TECH LLC	N	NFA	06/30/2002	408,247	250,000	0	158,247	0	0
60	FX SOLUTIONS LLC	N	NFA	06/30/2002	297,548	250,000	0	47,548	0	0
61	GAIN CAPITAL	N	NFA	06/30/2002	1,410,667	250,000	0	1,160,667	0	0
62	GELBER GROUP LLC	N	CBOT	06/30/2002	8,344,713	692,430	162,212	7,652,283	18,741,219	206,662
63	GFS SECURITIES & FUTURES INC	N	NFA	06/30/2002	303,993	250,000	0	53,993	0	0
64	GILDER GAGNON HOWE AND CO LLC	Y	NFA	06/30/2002	21,978,387	250,000	0	21,728,387	0	0
65	GLOBAL FUTURES & FOREX LTD	N	NFA	06/30/2002	2,678,534	250,000	0	2,428,534	0	0
66	GNI INCORPORATED	N	CBOT	06/30/2002	13,145,681	5,216,423	6,465,406	6,680,275	120,295,513	21,235,340
67	GOLDENBERG HEHMEYER & CO	Y	CBOT	06/30/2002	10,561,634	1,297,236	952,690	9,264,398	40,509,640	2,720,683
68	GOLDMAN SACHS & CO	Y	CBOT	06/28/2002	3,454,999,775	653,503,082	367,381,313	2,801,496,693	6,455,941,426	2,661,770,467
69	GREENWICH CAPITAL MARKETS INC	Y	CBOT	06/30/2002	773,707,000	15,579,000	24,413,480	749,293,520	227,427,000	91,009,000
70	HAGERTY GRAIN CO INC	N	CBOT	06/30/2002	1,608,442	270,404	51,613	1,338,038	6,868,327	0
71	HORNBLOWER FISCHER & CO	Y	NFA	06/30/2002	1,976,332	359,886	345,316	1,616,446	9,087,145	0
72	HOTSPOT FX INC	N	NFA	06/30/2002	527,940	250,000	0	277,940	0	0
73	HSBC SECURITIES USA INC	Y	CME	06/30/2002	133,495,671	9,353,517	23,567,020	109,928,651	243,288,373	38,793,509
74	INTEGRATED BROKERAGE SERVICES INC	N	NFA	06/30/2002	639,541	250,000	0	389,541	799,089	0
75	INTERACTIVE BROKERS LLC	Y	NFA	06/30/2002	70,949,161	2,914,488	312,540	68,034,673	54,712,611	18,149,591
76	IOWA GRAIN CO	N	CBOT	06/30/2002	6,969,354	3,905,741	2,624,034	3,063,613	103,129,451	5,510
77	JP MORGAN FUTURES INC	N	NYME	06/30/2002	445,461,137	139,213,579	229,576,372	215,884,765	3,566,855,028	427,503,089
78	JULIUS BAER SECURITIES INC	Y	NFA	06/30/2002	11,525,348	376,602	0	11,148,746	0	0
79	KOTTKE ASSOCIATES LLC	N	CBOT	06/30/2002	10,785,728	352,719	122,392	10,433,009	13,121,410	17,294
80	LADENBURG THALMANN & CO INC	Y	NFA	06/30/2002	3,453,082	1,000,000	0	2,453,082	0	0
81	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	06/30/2002	2,697,824	250,000	84,343	2,447,824	5,229,829	0
82	LBS LIMITED PARTNERSHIP	N	CBOT	06/30/2002	361,343	250,000	0	111,343	0	0
83	LEGG MASON WOOD WALKER INC	Y	NFA	06/30/2002	322,130,475	20,032,379	0	302,098,096	0	0
84	LEHMAN BROTHERS INC	Y	CBOT	06/30/2002	1,916,045,000	126,128,840	104,929,200	1,789,916,160	1,340,260,000	8,945,000
85	LINN GROUP (THE)	N	NFA	06/30/2002	452,843	250,000	0	202,843	0	0
86	LINSKO/PRIVATE LEDGER CORP	Y	NFA	06/30/2002	93,221,995	3,983,969	0	89,238,026	0	0
87	LOEB PARTNERS CORPORATION	Y	NFA	06/30/2002	16,286,677	250,000	0	16,036,677	0	0
88	MACQUARIE FUTURES INC	N	CBOT	06/30/2002	823,694	250,000	5,236	573,694	1,194,782	0
89	MAN FINANCIAL INC	Y	CME	06/30/2002	147,490,681	71,180,593	83,050,579	64,440,102	1,965,258,469	283,442,587
90	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	06/28/2002	1,483,783	250,000	0	1,233,783	0	0
91	MAXCOR FINANCIAL INC	Y	NFA	06/30/2002	21,346,946	250,000	0	21,096,946	0	0
92	MBF CLEARING CORP	N	NYME	06/30/2002	6,266,935	2,120,704	603,301	4,146,231	53,021,461	225,908
93	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	06/30/2002	7,144,668	3,051,845	660,952	4,092,823	76,552,281	0
94	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	06/28/2002	2,498,336,832	321,753,863	212,885,969	2,176,582,969	4,582,186,434	285,202,251

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						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
		(a)	(b)			or \$250,000	(c)	(d)	(e)	(f)
95	MERRILL LYNCH PROFESSIONAL CLEARING CO	Y	NFA	06/28/2002	1,060,509,501	31,003,420	57,486,583	1,003,022,918	845,091,237	0
96	MID-CO COMMODITIES INC	N	NFA	06/30/2002	3,135,839	250,000	420,892	2,714,947	5,343,379	0
97	MIDLAND EURO INC	N	NFA	06/30/2002	-92,682	250,000	0	-342,682	0	0
98	MITSUMI & CO INVESTMENT PRODUITS CORP	N	NFA	06/28/2002	2,604,168	250,000	2,448	2,354,168	1,459,890	0
99	MIZUHO SECURITIES USA INC	Y	CME	06/30/2002	257,192,589	14,099,700	13,828,172	243,092,889	311,438,815	36,041,224
100	MONEY GARDEN CORP	N	NFA	06/30/2002	3,235,436	250,000	0	2,985,436	0	0
101	MORGAN KEEGAN & COMPANY INC	Y	NFA	06/30/2002	142,754,446	10,205,306	0	132,549,140	0	0
102	MORGAN STANLEY & CO INCORPORATED	Y	CME	06/30/2002	5,236,204,920	646,008,463	157,182,518	4,590,196,457	2,636,379,525	805,589,262
103	MORGAN STANLEY DW INC	Y	CBOT	06/30/2002	1,253,939,498	125,390,965	1,988,145	1,128,548,533	511,342,726	868,071,948
104	NATIONAL COMMODITIES CORPORATION INC	N	NFA	06/30/2002	1,727,111	250,000	1,200,981	526,130	11,919,440	0
105	NEUBERGER BERMAN LLC	Y	NFA	06/30/2002	221,267,184	15,086,909	489,428	206,180,275	14,319,645	0
106	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	06/30/2002	8,916,654	250,000	0	8,666,654	0	0
107	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	06/30/2002	530,249,741	3,845,559	759,704	526,404,182	11,978,167	0
108	NOYES DAVID A	Y	NFA	06/30/2002	1,603,507	250,000	0	1,353,507	0	0
109	OCONNOR & COMPANY LLC	Y	CBOT	06/30/2002	37,076,152	1,965,427	1,311,563	35,110,725	52,351,867	0
110	PACKERS TRADING CO INC	N	NFA	06/30/2002	2,438,676	250,000	136,935	2,188,676	5,276,913	0
111	PATTERSON CAPITAL MARKETS LTD	N	CBOT	06/30/2002	482,169	250,000	0	232,169	0	0
112	PAX CLEARING CORPORATION	Y	CME	06/30/2002	54,721,141	754,455	1,024,359	53,696,782	19,652,220	0
113	PENSON FINANCIAL FUTURES INC	N	NFA	06/30/2002	442,198	250,000	3,216	192,198	91,351	0
114	PEREGRINE FINANCIAL GROUP INC	N	NFA	06/30/2002	7,240,039	3,155,999	1,418,221	4,084,040	84,330,216	526,940
115	PIONEER CAPITAL CORP	Y	NFA	06/30/2002	2,103,830	250,000	0	1,853,830	0	0
116	PIONEER FUTURES INC	N	NYME	06/30/2002	6,993,333	2,539,035	1,127,889	4,454,298	70,931,582	323,409
117	PREFERRED TRADE INC	Y	NFA	06/30/2002	9,618,678	744,321	0	8,874,357	0	0
118	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	06/28/2002	529,927,000	87,191,640	68,975,800	442,735,360	1,329,597,000	128,890,000
119	QTECH DERIVATIVES INC	N	NFA	06/30/2002	512,350	250,000	0	262,350	0	0
120	RAND FINANCIAL SERVICES INC	N	CME	06/30/2002	12,102,143	5,343,005	8,383,974	3,718,169	132,178,000	15,888,000
121	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	06/28/2002	329,014,954	23,356,230	31,424	305,658,724	0	0
122	RB&H FINANCIAL SERVICES LP	N	CME	06/30/2002	3,365,397	1,826,120	907,473	1,539,277	48,420,805	15,466
123	RBC DAIN RAUSCHER INC	Y	NFA	06/30/2002	183,202,452	59,181,460	0	124,020,992	0	0
124	RBC DOMINION SECURITIES CORPORATION	Y	CME	06/28/2002	133,910,333	1,000,000	1,213,500	132,696,833	0	0
125	REFCO LLC	N	CME	06/30/2002	168,990,594	106,668,731	85,109,503	62,321,863	2,892,189,101	35,920,220
126	RJ OBRIEN ASSOCIATES INC	N	CME	06/28/2002	28,444,596	13,132,062	11,008,822	15,312,534	357,121,572	4,115,617
127	ROBBINS FUTURES INC	N	NFA	06/30/2002	456,923	250,000	46,450	206,923	6,190,792	0
128	ROBERTSON STEPHENS INC	Y	CME	06/30/2002	301,948,952	3,046,546	0	298,902,406	0	0
129	ROSENTHAL COLLINS GROUP LLC	N	CME	06/30/2002	43,370,925	8,340,449	4,894,383	35,030,476	224,556,224	740,556
130	ROSENTHAL GLOBAL SECURITIES L L C	Y	CBOT	06/30/2002	10,109,501	702,989	0	9,406,512	0	0
131	ROTHSCHILD INC	Y	NYME	06/30/2002	10,845,556	250,000	10,595,556	0	0	0
132	SALOMON SMITH BARNEY INC	Y	CBOT	06/30/2002	3,112,463,074	403,195,781	154,506,733	2,709,267,293	4,396,537,777	97,208,322
133	SANFORD C BERNSTEIN & CO LLC	Y	NFA	06/30/2002	186,052,907	11,334,240	0	174,718,667	0	0
134	SAVANT USA LIMITED	N	NFA	06/30/2002	523,331	250,000	0	273,331	0	0
135	SENTINEL MANAGEMENT GROUP INC	N	NFA	06/30/2002	895,221	250,000	0	645,221	362,778,737	0
136	SG COWEN SECURITIES CORPORATION	Y	NFA	06/30/2002	749,111,582	1,500,000	0	747,611,582	0	0
137	SHATKIN ARBOR KARLOV & CO	N	CBOT	06/30/2002	5,861,165	370,634	127,391	5,490,531	9,351,575	146,226
138	SHAY GRAIN CLEARING COMPANY	N	KCBT	06/30/2002	729,475	250,000	4,099	479,475	632,871	0
139	SHEPARD INTERNATIONAL INC	N	NFA	06/30/2002	2,108,811	250,000	0	1,858,811	0	0
140	SHERWOOD FUTURES GROUP LLC	N	NFA	06/30/2002	796,639	250,000	0	546,639	4,154,504	0
141	SIEGEL TRADING CO INC THE	N	NFA	06/30/2002	515,982	250,000	0	265,982	1,588,806	0

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July Web Page Update	168								
(a): B/D? : A "Y" means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.	(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.				(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.				
(b): DSRO: Designated Self-Regulatory Organization.									
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association Association, was effective 10/31/00.	(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.				Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: fzimmerle@cftc.gov or sgreska@cftc.gov.				