

Commodity Futures Trading Commission
CEA CASES

NAME: HARRY STURM

DOCKET NUMBER: 182

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 182

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Harry Sturm

(Miami Beach, Florida)

The complaint charges that during the period from February 14 through May 10, 1968, Harry Sturm exceeded the speculative position limit of 150 carlots of May 1968 potato futures established by the Commodity Exchange Commission. It further charges that in connection with his trading he failed to report his transactions and positions as required.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, October 21, 1971, in Miami, Florida.

LOAD-DATE: June 16, 2008

