

Commodity Futures Trading Commission  
CEA CASES

**NAME:** DAVID LAIKEN

**DOCKET NUMBER:** 129

**DOCUMENT TYPE:** ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 129

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Secretary of Agriculture, charging the following respondent with violation of the Commodity Exchange Act:

David Laiken

(New York, New York)

The complaint charges that David Laiken, a member of the New York Mercantile Exchange and a registered floor broker under the act, operated as a futures commission merchant during April and May 1962 without being registered as such. The complaint also alleges that during such period and in connection with such operation David Laiken failed to properly handle funds entrusted to him by a customer.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, October 5, 1965, in New York, New York.

**LOAD-DATE:** June 12, 2008

