

Commodity Futures Trading Commission
CEA CASES

NAME: THOMAS JORDAN

DOCKET NUMBER: 124

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 124

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Thomas Jordan

(New Orleans, Louisiana)

The complaint charges that the respondent, through his own account and accounts which he controlled, exceeded the limits on speculative positions in wheat futures during the period from February 20, 1964, through March 10, 1964; on March 25 and 26, 1964; and from April 24, 1964, through May 25, 1964. The complaint also charges that on fourteen days during the period from February 20, 1964, through May 25, 1964, the respondent failed to file reports and filed false reports with the Commodity Exchange Authority concerning trades and positions in accounts owned or controlled by him in wheat futures.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, March 17, 1965, in New Orleans, Louisiana.

LOAD-DATE: June 12, 2008

