

Commodity Futures Trading Commission
CEA CASES

NAME: RODGER HARRIS

DOCKET NUMBER: 170

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 170

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Rodger Harris

(Chicago, Illinois)

The complaint charges that the respondent cheated and defrauded a customer of the firm for which the respondent was employed. The complaint alleges that on April 23 and 25, 1968, the respondent, Office Manager at the offices of a registered futures commission merchant, placed in the account of a customer without the knowledge or consent of such customer, a total of four May 1968 potato futures contracts. This position, when liquidated on May 1, 1968, resulted in a net loss to the customer of approximately \$ 1,500.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, April 15, 1970, in Chicago, Illinois.

LOAD-DATE: June 16, 2008

