Futures Commission Merchant /	Registered As	DSRO	As of	Adjusted Net	Net Capital	Excess Net	Customers'	Customers' Seg Required	Excess/Deficient	Target Residual	Funds in Separate Section	Customer Amount Pt. 30	Excess/Deficient Funds in	Target Residual Interest in	Funds in Separate Cleared	Customer Amount Cleared	Excess/Deficient Funds in Cleared	Target Residual Interest in	Total Amount of Retail Forex
Retail Foreign Exchange Dealer	Registered As	DSKO	Date	Capital	Requirement	Capital	Assets in Seg	4d(a)(2)	Funds in Seg	Interest in Seg	30.7 Accounts	Required	Separate Section 30.7 Accounts	Section 30.7	Swap Segregation	Swap Seg Required	Swap Seg Accounts	Cleared Swap Seg	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	04/30/2019	692,931,413	158,902,627	534,028,786	2,690,164,534	2,354,084,984		210,030,135	104,979,295	84,106,514	20,872,781	8,410,651	0	0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM		04/30/2019	327,461,261	172,788,145	154,673,116	4,293,140,526	4,069,604,101	223,536,425	120,000,000	295,550,876	248,157,962	47,392,914	20,000,000	13,998,886	3,955,657	10,043,229	8,000,000	0
3 ADVANTAGE FUTURES LLC 4 AMP GLOBAL CLEARING LLC	FCM FCM		04/30/2019	20,853,361	6,383,617	14,469,744	289,528,240 53,270,098	264,924,914	24,603,326 4,974,267	14,500,000	37,423,558 2,070,845	34,244,964 1,719,934	3,178,594 350,911	1,000,000 150,000	0	0	0	0	0
5 APEX CLEARING CORPORATION	FCM BD		04/30/2019 04/30/2019	4,809,113 130,034,257	1,000,000 10,294,230	3,809,113 119,740,027	33,896,068	48,295,831 21,844,043	12,052,025	900,000 6,000,000	2,070,643	1,/19,934	0	130,000	0	0	0	0	0
6 BAKKT CLEARING LLC	FCM		04/30/2019	4,667,762	1,000,000	3,667,762	0	0	0	0	0	0	0	0	0	0	0	0	0
7 BARCLAYS CAPITAL INC 8 BGC FINANCIAL LP	FCM BD FCM BD		04/30/2019 04/30/2019	5,663,119,017 29,949,666	1,107,744,894 1.870.055	4,555,374,123 28,079,611	5,688,293,972	5,255,696,809	432,597,163	341,620,292	3,347,673,730	3,147,414,306	200,259,424	105,123,638	6,350,298,042	5,952,232,427	398,065,615	237,494,074	0
9 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	04/30/2019	2,100,895,090	372,216,596	1,728,678,494	2,664,634,263	2,477,318,142	187,316,121	150,000,000	183,734,038	126,906,686	56,827,352	30,000,000	1,106,244,317	956,912,784	149,331,533	120,000,000	0
10 BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	04/30/2019	5,965,234	1,000,000	4,965,234	7,383,469	4,050,782	3,332,687	1,000,000	6	0	6	1	6	0	6	1	0
11 BOFA SECURITIES INC 12 CANTOR FITZGERALD & CO	FCM BD FCM BD		04/30/2019 04/30/2019	2,026,114,502 319,045,105	1,500,000 4,708,483	2,024,614,502 314,336,622	0 4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
13 CHARLES SCHWAB FUTURES, INC.	FCM	NFA	04/30/2019	68,904,846	4,068,060	64,836,786	126,481,911	63,753,384	62,728,527	10,838,075	50,000	0	50,000	1	0	0	0	0	0
14 CHS HEDGING LLC 15 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD SD		04/30/2019	54,350,739 9.868.611.950	7,522,690 2,588,857,734	46,828,049	175,511,735 9.634,887,301	123,346,223 9,188,406,179	52,165,512 446,481,122	13,600,000 375,200,000	1,528,710 2,917,042,514	2.695.018.241	1,528,710 222,024,273	200,000 150,000,000	503,912 26,486,702,866	0 057 657 021	503,912 529,045,035	120,000 445,000,000	0
16 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD		04/30/2019 04/30/2019	9,668,611,950	1,388,340,795	7,279,754,216 8,311,171,441	5,426,747,401	5,107,610,204	319,137,197	255,380,510	4,659,486,956	3,765,731,941	893,755,015	188,286,597	11.081.903.474	25,957,657,831	993,516,631	504,419,342	0
17 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	04/30/2019	5,497,165	1,233,898	4,263,267	72,851,798	69,235,936	3,615,862	3,050,000	2,399,428	1,862,370	537,058	100,000	0	0	0	0	0
18 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD		04/30/2019	981,360,699	1,840,861	979,519,838	2,168,600	0	2,168,600	1	553,887	0	553,887	1	0	0	0	0	0
19 DEUTSCHE BANK SECURITIES INC 20 DIRECT ACCESS USA LLC	FCM BD FCM		04/30/2019 04/30/2019	13,574,191,735 8,206,596	237,056,655 1,000,000	13,337,135,080 7,206,596	2,899,420,803 23,445,654	2,698,496,170 18,010,373	200,924,633 5,435,281	125,000,000 2,500,000	803,077,730	676,786,923	126,290,807	75,000,000	0	0	0	0	0
21 DORMAN TRADING LLC	FCM	CME	04/30/2019	13,810,749	1,401,722	12,409,027	164,878,740	159,959,344	4,919,396	2,000,000	5,739,567	5,063,663	675,904	200,000	0	0	0	0	0
22 E D & F MAN CAPITAL MARKETS INC	FCM BD		04/30/2019	169,610,717	65,910,283	103,700,434	1,622,072,685	1,544,615,418	77,457,267	41,250,000	54,758,183	43,753,090	11,005,093	5,000,000	1,985,147	764,694	1,220,453	500,000	0
23 E*TRADE FUTURES LLC 24 GAIN CAPITAL GROUP LLC	FCM FCMRFD	NFA NFA	04/30/2019 04/30/2019	26,225,918 51,174,915	2,729,177 33,365,371	23,496,741 17,809,544	71,840,419 232,355,009	46,867,980 215,482,084	24,972,439 16.872,925	5,000,000	4 682 377	2,311,345	2,371,032	1,000,000	0	0	0	0	255,416,876
25 GH FINANCIALS LLC	FCM	CME	04/30/2019	20,673,924	5,312,963	15,360,961	101,978,952	85,961,769	16,017,183	3,100,000	7,387,543	6,272,849	1,114,694	300,000	0	0	0	0	0
26 GOLDMAN SACHS & CO LLC 27 HSBC SECURITIES USA INC	FCM BD SD FCM BD	CBOT/NFA CME	04/30/2019 04/30/2019	18,778,246,341 987,875,066	2,427,217,637 323,895,652	16,351,028,704 663,979,414	21,652,672,092 2.071,901,268	20,798,291,838 1,842,526,710	854,380,254 229,374,558	675,000,000 100,000,000	10,236,346,697 178,610,216	9,670,952,921 142,355,725	565,393,776 36,254,491	475,000,000 25,000,000	7,487,500,099 1,053,913,933	7,012,046,888 914,247,502	475,453,211 139.666,431	350,000,000 113.000.000	0
28 HUATAI FINANCIAL USA INC	FCM		04/30/2019	5,113,443	1,000,000	4,113,443	1,511,171	1,042,520,710	1,500,011	600,000	311,455	11,311	300,144	150,000	1,055,915,955	914,247,302	139,000,431	113,000,000	0
29 INTERACTIVE BROKERS LLC	FCM BD	CME	04/30/2019	5,137,358,080	461,770,469	4,675,587,611	3,878,467,744	3,669,905,558	208,562,186	155,000,000	570,325,564	447,005,146	123,320,418	80,000,000	0	0	0	0	76,821,165
30 INTL FCSTONE FINANCIAL INC 31 IRONBEAM INC	FCM BD FCM	CME NFA	04/30/2019 04/30/2019	175,511,051 5,220,918	86,954,544 1,000,000	88,556,507 4,220,918	2,079,051,465 63,210,950	2,022,905,977 58.556.074	56,145,488 4.654,876	40,000,000 1,600,000	143,152,504 388,588	126,744,655 234,915	16,407,849 153,673	8,000,000 50,000	0	0	0	0	0
32 JEFFERIES LLC	FCM BD		04/30/2019	1,482,599,935	110,553,959	1,372,045,976	03,210,930	30,330,074	4,034,070	0	300,300	234,913	133,073	0,000	0	0	0	0	0
33 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	04/30/2019	19,024,882,549	3,247,962,050	15,776,920,499	22,368,517,589	21,080,560,236	1,287,957,353	1,054,028,012	4,681,864,512	4,304,858,341	377,006,171	258,291,500	14,322,473,860	13,447,330,749	875,143,111	705,984,864	0
34 MACQUARIE FUTURES USA LLC 35 MAREX NORTH AMERICA LLC	FCM FCM		04/30/2019 04/30/2019	263,584,595 85,244,050	170,153,278 65,584,346	93,431,317 19,659,704	2,240,325,569 1,380,492,974	1,989,006,353 1,350,880,031	251,319,216 29,612,943	100,000,000 20,330,000	38,502,321 68,082,176	20,766,977 49,999,797	17,735,344 18,082,379	7,000,000 10,250,000	25,192,883	4,143,000	21,049,883	1,000,000	0
36 MCVEAN TRADING & INVESTMENTS LLC	FCM		04/30/2019	14,482,635	1,698,347	12,784,288	711,457,685	688,617,072	22,840,613	18,000,000	2,567,861	0	2,567,861	1,000,000	0	0	0	0	0
37 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD		04/30/2019	13,936,112,691	2,186,495,621	11,749,617,070	16,053,149,659	15,717,970,144	335,179,515	200,000,000	5,076,661,606	4,806,414,981	270,246,625	150,000,000	7,637,260,105	7,413,124,573	224,135,532	150,000,000	0
38 MERRILL LYNCH PROFESSIONAL CLEARING CORP 39 MID CO COMMODITIES INC	FCM BD FCM		04/30/2019 04/30/2019	4,986,199,287 8,468,497	583,014,663 2,548,492	4,403,184,624 5,920,005	2,056,630,566 32,304,242	609,123,559 23,272,872	1,447,507,007 9.031,370	300,000,000 5,138,000	0	0	0	0	0	0	0	0	0
40 MINT BROKERS	FCM BD	NFA	04/30/2019	2,270,525	1,000,000	1,270,525	0	0	0	0	0	0	0	0	0	0	0	0	0
41 MITSUI BUSSAN COMMODITIES USA INC	FCM		04/30/2019	12,446,327	1,000,000	11,446,327	3,368,701	368,067	3,000,634	2,500,000	700 457 206	0	0	70,000,000	0	0	0	0	0
42 MIZUHO SECURITIES USA LLC 43 MORGAN STANLEY & CO LLC	FCM BD FCM BD SD		04/30/2019 04/30/2019	757,193,928 13,159,284,082	220,126,619 2,532,780,788	537,067,309 10,626,503,294	3,174,968,314 17,331,179,060	2,973,788,636 17,038,708,292	201,179,678 292,470,768	125,000,000 235,000,000	790,157,386 5,526,341,306	664,722,700 5,337,520,139	125,434,686 188,821,167	70,000,000 140,000,000	22,079,800 16,287,144,295	1,213,825 16,159,301,888	20,865,975 127,842,407	10,000,000 92,000,000	0
44 NANHUA USA LLC	FCM	CME	04/30/2019	16,535,864	1,249,237	15,286,627	33,242,226	21,832,952	11,409,274	3,500,000	0	0	0	0	0	0	0	0	0
45 NATWEST MARKETS SECURITIES INC 46 NOMURA SECURITIES INTERNATIONAL INC	FCM BD FCM BD			915,933,100 2,238,459,647	36,687,163 157,796,874	879,245,937 2,080,662,773	617,115,080 436,658,685	540,762,299 327,517,721	76,352,781 109,140,964	60,000,000 15,000,000	33,923,516 17,514,547	26,091,917	7,831,599 17,514,547	5,000,000 1,000,000	0	0	0	0	0
47 OANDA CORPORATION	FCMRFD	NFA	04/30/2019 04/30/2019	56,679,149	41,500,881	15,178,268	430,036,063	327,317,721	109,140,904	13,000,000	17,514,547	0	17,314,347	1,000,000	0	0	0	0	227,473,335
48 PHILLIP CAPITAL INC	FCM BD		04/30/2019	33,385,153	16,122,908	17,262,245	379,878,460	369,591,632	10,286,828	7,500,000	10,687,611	7,426,960	3,260,651	2,000,000	0	0	0	0	0
49 PICTET OVERSEAS INC 50 RAND FINANCIAL SERVICES INC	FCM BD FCM	NFA CMF	04/30/2019 04/30/2019	28,728,231 91,796,486	2,715,946 9.374.146	26,012,285 82,422,340	3,362,500 150,831,100	1,557,008 112,732,700	1,805,492 38.098.400	1,500,000 3.382.000	58,106,385 34,110,200	55,466,330 9,153,600	2,640,055 24,956,600	500,000 274,600	0	0	0	0	0
51 RBC CAPITAL MARKETS LLC	FCM BD	CME	04/30/2019	1,960,278,116	207,143,178	1,753,134,938	1,958,803,377	1,719,888,042	238,915,335	120,000,000	343,983,070	279,319,704	64,663,366	20,000,000	681,446,217	478,108,105	203,338,112	40,000,000	0
52 RJ OBRIEN ASSOCIATES LLC 53 SANTANDER INVESTMENT SECURITIES INC	FCM FCM BD	CME	04/30/2019 04/30/2019	213,217,448 433,986,740	154,881,109 50,000,000	58,336,339 383,986,740	4,024,414,014 660,696,670	3,918,074,708 645,893,002	106,339,306 14.803.668	50,000,000 12,000,000	168,031,623	142,754,411	25,277,212	20,000,000	0	0	0	0	0
54 SCOTIA CAPITAL USA INC	FCM BD	CME	04/30/2019	905,541,873	70,337,951	835,203,922	0	0	0	0	0	0	0	0	0	0	0	0	0
55 SG AMERICAS SECURITIES LLC	FCM BD	CME	04/30/2019	4,073,491,544	1,437,071,140	2,636,420,404	14,389,048,245	13,722,021,652	667,026,593	500,000,000	6,549,531,022	5,938,469,301	611,061,721	355,000,000	608,327,277	385,031,069	223,296,208	75,000,000	0
56 STRAITS FINANCIAL LLC 57 TD AMERITRADE FUTURES & FOREX LLC	FCM FCM	CME NFA	04/30/2019 04/30/2019	23,170,092 127,992,566	7,780,036 22,708,545	15,390,056 105,284,021	293,133,832 264,689,261	277,654,705 169,897,489	15,479,127 94,791,772	2,000,000 50,000,000	38,941,865 0	31,539,166 0	7,402,699 0	300,000	0	0	0	0	63,896,187
58 TRADESTATION SECURITIES INC	FCM BD	CME	04/30/2019	116,585,905	4,794,416	111,791,489	569,373,577	552,101,349 90,417,482	17,272,228	9,000,000	25,988,460	23,101,558	2,886,902	800,000 15,000,000	0	0	0	0	0
59 UBS FINANCIAL SERVICES INC 60 UBS SECURITIES LLC	FCM BD FCM BD	NFA	04/30/2019 04/30/2019	1,153,004,808 5,567,142,249	130,336,496 598,444,277	1,022,668,312 4,968,697,972	124,717,915 5,728,285,286	90,417,482 5,222,090,939	34,300,433 506,194,347	22,000,000 425,000,000	32,881,850 1,882,642,334	4,740,034 1,597,837,817	28,141,816 284,804,517	15,000,000 200,000,000	884,733,968	663,617,699	221,116,269	125,000,000	0
61 UOBBF CLEARING LIMITED	FCM	CME	04/30/2019	26,543,617	3,083,649	23,459,968 171,579,911	78,434,892	58,824,118	19,610,774	2,900,000	0	0	0	0	0 0	0	0	0	0
62 WEDBUSH SECURITIES INC 63 WELLS FARGO SECURITIES LLC	FCM BD FCM BD		04/30/2019 04/30/2019	200,176,088 8,586,140,551	28,596,177 907,694,872	171,579,911 7,678,445,679	907,270,551 3,363,875,532	880,368,063 3,152,521,011	26,902,488 211,354,521	19,000,000 100,000,000	15,866,025 279,544,343	11,837,897 235,196,774	4,028,128 44,347,569	1,000,000 25,000,000	8,210,957,724	7,801,290,343	0 409,667,381	260,000,000	0
64 YORK BUSINESS ASSOCIATES LLC	FCM BD	NFA	04/30/2019	6,134,245	1,000,000	5,134,245	43,048,933	37,815,417	5,233,516	2,000,000	1,864,790	1,281,458	583,332	200,000	0,210,937,724	7,001,290,343	0	200,000,000	0
Totals							165,406,011,284	155,503,619,538	9,902,391,746				4,459,913,753	2,455,586,989	102,262,666,811	97,239,365,877	5,023,300,934	3,237,518,281	623,607,563
March Web Page Update	64																		
Additions	0	+																	$\overline{}$
None																			
Deletions	0	+ +																	
None																			
Name Changes																			
None																			
April Web Page Update	64																		
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SELECTED FCM FINANCIAL DATA AS OF April 30, 2019 FROM REPORTS FILED BY May 27, 2019

										1	
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Com	nission.										
BD: The FCM is also registered with the Securities and Exchange Commission as a securities bro	ker or dealer.										
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading C	ummission.										
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a	provisionally registered swap dealer.										
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as S	Os have two DSROs listed if they have a differe	ent DSRO for the FCM/RFED and the SD. T	he DSRO for the FCM/RFED is listed firs	t and the DSRO for the S	D is listed second.						
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
risk based capital requirement, the sum of 8% of total customer risk maintenance margin a	ad 90% of total pap systemar risk maintenance	margin, or									
the amount of capital required by a registered futures association (currently NFA is the only of the amount of capital required by a registered futures association (currently NFA is the only of the amount of capital required by a registered futures association (currently NFA is the only of the amount of capital required by a registered futures association (currently NFA is the only of the amount of capital required by a registered futures association (currently NFA is the only of the amount of capital required by a registered futures association (currently NFA is the only of the amount of capital required by a registered futures association).		marqin, or									
for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of t											
minimum dallar amount for ECM's offering or engaged in retail forey transactions and DEED	c (\$20,000,000); or										
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFED minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFED 	(\$20,000,000), or	or RFFD's total retail forex obligation in exc	cess of \$10,000,000.								
minimum dotter direction of the 15 orienting of drigaged in retain forest databased on the 14 25	(\$20,000,000) plus interpercent of the Fortiers	or to 25 5 total retail forex obligation in exc	2000 01 \$10,000,000.								
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(d) Excess net daylar is dejusted net capitaly less the min since daylar requirements											
(e): This represents the total amount of money, securities, and property held in segregated accounts	for futures and options customers in compliance	ce with Section 4d of the Commodity Exchar	nge Act.								
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of custo	mers who are trading on a designated contract	t market or derivatives transaction execution	n facility. This is the sum of all account	s that contain a net liquid	lating equity.						
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation.	ation requirement.										
(h): This represents the targeted excess of proprietary funds deposited into customer segregated acc	ounts above the customer segregation requirem	nent. This target amount is set by the firm.									
(i): This represents the total amount of money, securities, and property held in secured accounts for	utures and options customers who trade on co	ommodity exchanges located outside the Un	nited States in compliance with Part 30 o	of the Commodity Exchan	ge Act.						
(i): This represents the amount of funds an FCM is required to set aside for customers who trade on	ommodity exchanges located outside of the Ur	nited States.									
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts	ts, less the customer amount Part 30 requirem	nent.									
		Comment with the contract of t	c								
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 acc	unts above the customer amount Part 30 redu	arrement. This target amount is set by the i	IIIII.								
(m). This represents the total amount of manay cognition and property held in cleared guan guston	or accounts for cleared curan sustamors in cor	mpliance with Section 4d(f) of the Commodi	ity Eychanga Act								
(m): This represents the total amount of money, securities, and property held in cleared swap custon	er accounts for cleared SWap customers in con-	ripliance with Section 40(1) of the Commodi	ity Extrialige Act.								
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cl	eared swans										
(11). This represents the amount of funus and cert is required to segregate for custoffiels who trade of	иса эттарэ.										
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segr	egation accounts. less the cleared swan guston	mer segregation requirement								-	
Total Excess periodicity rando in electrica straig casconica decounts is funds in separate cleared straig series	Addition accounts, ress are cicured swap custon	ner begregation regariement.									
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap s	gregation accounts above the cleared swap cu	istomer segregation requirement. This targ	net amount is set by the firm.							·	
and an analysis and an analysi		,								-	

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.