SELECTED FCM FINANCIAL DATA AS OF September 30, 2017 FROM REPORTS FILED BY October 25, 2017

A B	С	D	E	F	G	Н		J	K	L	М	N	0	Р	0	В	S	Т	U
								Customers' Seg			Funds in	Customer	Excess/Deficient	Target Residual	Funds in	Customer	Excess/Deficient	Target Residual	Total Amount of
Futures Commission Merchant /	Registere	DSRC	As of	Adjusted Net	Net Capital	Excess Net	Customers'	Required	Excess/Deficient	Target Residual	Separate Section	Amount Pt. 30	Funds in	Interest in	Separate Cleared	Amount Cleared	Funds in Cleared	Interest in	Retail Forex
Retail Foreign Exchange Dealer	As		Date	Capital	Requirement	Capital	Assets in Seg	4d(a)(2)	Funds in Seg	Interest in Seg	30.7 Accounts	Required	Separate Section 30.7 Accounts	Section 30.7	Swap Segregation	Swap Seg Required	Swap Seg Accounts	Cleared Swap Seg	Obligation
2	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(p)
4 1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	09/30/17	614,830,833	154,046,044	460,784,789	2,812,331,788	2,493,904,732	318,427,056	158,806,782	142,155,726	113,261,825	28,893,901	11,326,183	0	0	0	0	0
5 2 ADM INVESTOR SERVICES INC	FCM	CBOT		310,282,825	188,926,860	121,355,965	4,601,925,051	4,389,923,617	212,001,434	120,000,000	410,207,457	352,688,297	57,519,160	20,000,000	15,654,985	5,180,873	10,474,112	8,000,000	0
6 3 ADVANTAGE FUTURES LLC	FCM	CME		24,330,635	10,194,495	14,136,140	563,714,352	547,880,293	15,834,059	7,000,000	49,304,687	47,600,698		1,000,000	0	0		0	
7 4 AMP GLOBAL CLEARING LLC 8 5 APEX CLEARING CORPORATION	FCM FCM BD	NFA NFA	,,	2,895,875 135,271,617	1,000,000 16,006,570	1,895,875 119,265,047	52,844,621 1,617,950	49,739,605 764,610	3,105,016 853,340	900,000 500,000	2,558,221	2,250,389	307,832	150,000	0	0	0	0	0
9 6 BARCLAYS CAPITAL INC	FCM BD	NYME	09/30/17	7,193,675,300	1,084,982,849	6,108,692,451	5,347,359,349	4,746,032,623	601,326,726	285,236,561	3,885,645,404	3,460,299,626	425,345,778	168,170,562	5,645,763,559	4,759,849,770	885,913,789	188,966,036	0
10 7 BGC FINANCIAL LP	FCM BD			35,120,777	3,313,155	31,807,622	1 002 254 000	1 712 020 220	100 214 600	150,000,000	0 001 022	42.047.006	22.014.026	7 500 000	10.716.225	10.011.450	0 704 976	7 500 000	0
11 8 BNP PARIBAS PRIME BROKERAGE INC 12 9 BNP PARIBAS SECURITIES CORP	FCM BD FCM BD			1,329,781,978 1,518,617,915	171,498,427 211.970.847	1,158,283,551 1,306,647,068	1,903,254,000 1,134,251,364		190,314,680 103,502,194	150,000,000 82,500,000	66,061,832 167,906,701	43,047,806 139,091,348		7,500,000 22,500,000	19,716,335 1.068,603,667			7,500,000 120,000,000	0
13 10 BOCI COMMODITIES & FUTURES USA LLC	FCM	CME		8,065,380	1,214,409	6,850,971	40,642,067	35,212,063	5,430,004	1,000,000	6	0	6	1	6	0		1	0
14 11 CANTOR FITZGERALD & CO 15 12 CHS HEDGING LLC	FCM BD	CBOT		277,827,694	9,172,281	268,655,413	4,969,921	120 012 726	4,969,921	3,000,000	1 520 000	0	0	200,000	0	0		120,000	0
16 13 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD S	CBOT/N		42,402,142 10,458,451,884	8,729,169 1,973,792,247	33,672,973 8,484,659,637	178,690,356 7,193,283,691	129,813,726 6,738,538,074	48,876,630 454,745,617	13,600,000 321,800,000	1,520,988 1,878,697,338	1,553,115,808	1,520,988 325,581,530	200,000 150,000,000	503,912 20,286,702,217	19,804,873,523	503,912 481,828,694	120,000 380,200,000	0
17 14 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD			9,838,798,198	1,374,573,628	8,464,224,570	6,051,687,202	5,762,475,501	289,211,701	288,123,775	3,727,266,960	3,519,795,391	207,471,569	175,989,770		11,497,452,236		574,872,612	0
18 15 CUNNINGHAM COMMODITIES LLC 19 16 DAIWA CAPITAL MARKETS AMERICA INC	FCM FCM BD	CBOT		5,112,324	1,414,249	3,698,075	92,200,959	88,580,955	3,620,004	3,050,000	867,390	419,142		100,000	0	0	0	0	0
20 17 DELITSCHE BANK SECURITIES INC	FCM BD			640,354,201 12,715,388,752	8,893,627 262,237,665	631,460,574 12,453,151,087	2,166,778 2,510,365,390	2,309,170,581	2,166,778 201,194,809	125,000,000	571,644 1,048,146,566	923,054,312	571,644 125,092,254	75,000,000	0	0	0	0	0
21 18 DORMAN TRADING LLC	FCM	CME	09/30/17	12,743,285	2,332,120	10,411,165	194,101,965	190,062,661	4,039,304	2,000,000	4,630,079	3,853,618	776,461	200,000	0	0	0	0	0
1 77 I 191F D & F MAN CAPITAL MARKETS INC	FCM BD	_		139,557,863	47,017,783	92,540,080	1,433,934,644	1,354,608,081	79,326,563	35,000,000	52,544,826	43,967,762	8,577,064	5,000,000	3,165,162			500,000	0
23 20 E TRADE SECURITIES LLC 24 21 EXTRADE FUTURES LLC	FCM BD FCM BD			1,179,017,258 17,762,810	198,569,053 3,535,083	980,448,205 14,227,727	77,953,941	66,478,298	11,475,643	5,000,000	0	0	0	0	0	0		0	
	FCM	CME		44,583,282	20,082,234	24,501,048	256,382,845	241,910,993	14,471,852	5,000,000	0	0	0	0	0	0	· -	0	-
LZELZGIGATN CAPITAL GROUP LLC	FCMRFD			45,641,032	34,398,256	11,242,776	231,209,196	217,861,202	13,347,994	10,000,000	4,595,050	3,173,360		1,000,000	0	0	0	0	261,117,152
27 24 GH FINANCIALS LLC 28 25 GOLDMAN SACHS & CO LLC	FCM FCM BD S	CME SD CBOT/N		21,544,807 17,870,838,064	4,620,084 2,544,766,621	16,924,723 15,326,071,443	99,368,091 21,389,647,229	85,872,329 20,517,617,231	13,495,762 872,029,998	4,000,000 675,000,000	3,102,135 12,214,398,720	2,000,710 11,634,541,430	1,101,425 579,857,290	550,000 475,000,000	4,911,445,457	4,470,010,334	441,435,123	350,000,000	0
29 26 HSBC SECURITIES USA INC	FCM BD			938,094,305	262,864,914	675,229,391	1,608,517,724		77,995,003	67,000,000	170,764,858	130,854,555		25,000,000				138,000,000	0
_30 27 Huatai financial USA Inc	FCM	NFA		2,393,719	1,000,000	1,393,719	0	0	0	0	0	0	0	0	0	0	-	0	
31 28 INTERACTIVE BROKERS LLC 32 29 INTL FCSTONE FINANCIAL INC	FCM BD FCM BD			3,868,989,442 157,131,718	418,269,964 73,949,678	3,450,719,478 83,182,040	4,609,070,511 2,287,435,639		199,334,573 52,136,305	155,000,000 35,000,000	531,553,595 165,096,137	400,652,777 148,743,261	130,900,818 16,352,876	80,000,000 8,000,000	0	0		0	00/:00/=00
33 30 IRONBEAM INC	FCM	NFA		3,890,195	1,381,889	2,508,306	74,334,960	72,811,938	1,523,022	800,000	463,298	216,180	247,118	50,000	0	0	, ,	0	
34 31 JEFFERIES LLC	FCM BD			1,374,182,627	88,008,481	1,286,174,146	0	0	0	0	0	0	0	0	0	0	0	0	
35 32 JP MORGAN SECURITIES LLC 36 33 MACQUARIE FUTURES USA LLC	FCM BD S	SD CEI/NF CBOT		15,584,886,197 279,594,125	2,815,274,951 144,034,529	12,769,611,246 135,559,596	17,480,861,624 1,988,660,199	16,101,892,879 1,774,969,011	1,378,968,745 213,691,188	885,604,108 100,000,000	5,341,763,470 49,205,208	4,828,082,639 32,009,244	513,680,831 17,195,964	410,387,024 7,000,000	12,731,123,714 24,303,146			730,751,073 1,000,000	
37 34 MAREX NORTH AMERICA LLC	FCM	CME	09/30/17	22,016,258	14,713,315	7,302,943	191,014,547	187,562,755	3,451,792	2,800,000	71,556,135	70,526,748	1,029,387	500,000	0	0	0	0	0
38 35 MCVEAN TRADING & INVESTMENTS LLC 39 36 MERRILL LYNCH PIERCE FENNER & SMITH INCOR	PORATED FCM BD	NFA CBOT		14,916,222 12,862,563,537	1,084,182 1,712,997,035	13,832,040 11,149,566,502	783,936,326 13,177,842,819	762,953,098 12,888,985,379	20,983,228 288,857,440	18,000,000 200,000,000	2,567,861 4,474,137,062	4,220,531,740	2,567,861 253,605,322	1,000,000 150,000,000	6,248,543,906	5,924,106,732	0 324,437,174	150,000,000	0
4U I 37 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD			3,423,473,046	598,572,546	2,824,900,500	1,253,024,289	823,594,325	429,429,964	300,000,000	0	4,220,331,740	255,005,322	130,000,000	0,248,343,900	3,924,100,732	0	130,000,000	0
41 38 MID CO COMMODITIES INC	FUM	NFA		8,553,607	2,515,637	6,037,970	29,882,868	20,287,715	9,595,153	5,138,000	0	0	0	0	0	0	0	0	0
42 39 MINT BROKERS 43 40 MIZUHO SECURITIES USA LLC	FCM BD FCM BD S			4,900,819 664,869,384	1,000,000 232,156,698	3,900,819 432,712,686	3,113,853,058	2,892,789,220	221,063,838	125,000,000	798,330,866	673,982,539	124,348,327	70,000,000	34,316,482	4,250,414	30,066,068	15,000,000	0
44 41 MORGAN STANLEY & CO LLC	FCM BD S			10,612,719,858	2,054,754,882	8,557,964,976	14,283,320,169	13,939,574,027	343,746,142	285,000,000	4,919,150,244	4,725,953,251	193,196,993	140,000,000				92,000,000	0
45 42 NANHUA USA LLC	FCM	CME		18,295,699	1,944,603	16,351,096	57,464,973	45,192,966	12,272,007	3,500,000	0	0	0	0	0	0	0	0	0
46 43 NOMURA SECURITIES INTERNATIONAL INC 47 44 OANDA CORPORATION	FCM BD FCMRFD			2,070,155,672 84,802,849	202,939,700 52,175,036	1,867,215,972 32,627,813	251,603,514 0	147,162,659	104,440,855	15,000,000	17,739,658	64,029		1,000,000	0	0	0	0	171,467,045
47 44 OANDA CORPORATION 48 45 OPTIONSXPRESS INC	FCM BD	NFA	09/30/17	295,015,830	6,797,715	288,218,115	115,704,496	70,072,205	45,632,291	10,510,831	2,160,978	658,072		98,711	0	0		0	
49 46 PHILLIP CAPITAL INC 50 47 PICTET OVERSEAS INC	FCM BD FCM BD	CME NFA		37,518,087	13,602,833 1,000,000	23,915,254	248,039,014	232,412,065	15,626,949 1,500,000	5,000,000 1,500,000	12,762,452	10,326,564		2,000,000	0	0	0	0	0
51 48 RAND FINANCIAL SERVICES INC	FCM	CME	09/30/17	17,693,389 85,939,031	13,113,093	16,693,389 72,825,938	1,500,000 217,926,800	176,015,900	41,910,900	5,280,500	500,000 46,089,200	22,197,200 250,668,712	500,000 23,892,000	500,000 665,900	0	0	0	0	0
52 49 RBC CAPITAL MARKETS LLC	FCM BD		09/30/17	1,955,002,733	234,476,317	1,720,526,416	3,093,966,873	2,810,981,295	282,985,578	200,000,000	294,124,135	250,668,712 32,528,044		20,000,000	561,747,411	301,674,697	260,072,714	40,000,000	0
53 50 RBS SECURITIES INC 54 51 RJ OBRIEN ASSOCIATES LLC	FCM BD FCM SD			558,695,432 209,116,418	29,693,505 143,524,161	529,001,927 65,592,257	598,802,101 4,032,262,168	449,263,254 3,941,084,256	149,538,847 91,177,912	125,000,000 50,000,000	64,799,120 254,426,865	32,528,044 229,334,965		25,000,000 20,000,000	0	0	0	0	0
55 I 52 IROSENTHAL COLLINS GROUP LLC	FCM	CME	09/30/17	73,365,391	44,571,722	28,793,669	1,247,042,238	1,223,074,083	23,968,155	18,000,000	33,960,251	22,937,364		8,000,000	0	0	0	0	0
56 53 SANTANDER INVESTMENT SECURITIES INC 57 54 SCOTIA CAPITAL USA INC	FCM BD FCM BD			167,775,796 588,578,934	25,161,043 41,316,482	142,614,753 547,262,452	624,124,759	612,365,520	11,759,239	9,000,000	0	0	0	0	0	0	0	0	0
58 55 SG AMERICAS SECURITIES LLC	FCM BD	CME		4,437,160,185	1,178,557,091	3,258,603,094	13,474,649,872	12,731,332,404	743,317,468	500,000,000	5,265,628,425	4,803,712,401	461,916,024	255,000,000	658,956,573	438,491,501	220,465,072	75,000,000	0
59 56 STRAITS FINANCIAL LLC	FCM	CME		21,882,929	6,631,437	15,251,492	220,412,549	206,321,609	14,090,940	2,000,000	32,745,701	26,875,409	5,870,292	300,000	0	0	0	0	0
60 57 TD AMERITRADE FUTURES & FOREX LLC 61 58 TRADESTATION SECURITIES INC	FCM FCM BD	NFA CME		77,453,737 83,858,929	22,521,946 3,957,796	54,931,791 79,901,133	309,533,739 577,063,054	234,912,964 563,484,836	74,620,775 13,578,218	25,000,000 9,000,000	24.470.945	21,906,877	2,564,068	800,000	0	0	0	0	00/100/520
62 59 UBS FINANCIAL SERVICES INC	FCM BD	NFA	09/30/17	1,402,962,910	130,390,971	1,272,571,939	111,113,238	79,789,767	31,323,471	22,000,000	32,185,275	5,097,591	27,087,684	15,000,000	0	0	0	0	0
63 60 UBS SECURITIES LLC 64 61 UOBBF CLEARING LIMITED	FCM BD			5,813,435,507	800,083,652	5,013,351,855	7,742,355,886	7,238,055,022	504,300,864	425,000,000	4,051,698,295	3,675,667,606	376,030,689	300,000,000	938,646,005	672,884,540	265,761,465	200,000,000	0
65 62 WEDBUSH SECURITIES INC	FCM FCM BD	CME CBOT		26,092,247 174,201,742	3,025,748 30,339,918	23,066,499 143,861,824	85,914,290 1,612,388,124	61,878,595 1,596,321,976	24,035,695 16,066,148	2,000,000 13,000,000	16,725,982	12,377,809	4,348,173	1,000,000	0	0	0	0	0
66 63 WELLS FARGO SECURITIES LLC	FCM BD	CME	09/30/17	8,443,142,483	816,064,372	7,627,078,111	4,268,480,768	3,968,723,948	299,756,820	225,000,000	417,198,558	364,649,620	52,548,938	25,000,000	7,171,801,915	6,575,618,537	596,183,378	500,000,000	0
67 64 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	09/30/17	4,892,324	1,000,000	3,892,324	43,826,334	39,509,800	4,316,534	2,000,000	1,749,371	1,185,793	563,578	200,000	0	0	0	0	0
69 Totals							155,989,832,273	146,779,069,129	9,210,763,144	6,143,650,558	50,753,735,675	46,553,902,512	4,199,833,163	2,680,188,152	87,193,131,819	81,500,874,721	5,692,257,098	3,571,909,722	531,813,381
70																			
71 August Web Page Update 72	64		+							1									
73 Additions	0																		
74 None																			
74 None 75 Deletions 77 None	0		+							 							<u> </u>		
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SELECTED FCM FINANCIAL DATA AS OF September 30, 2017 FROM REPORTS FILED BY October 25, 2017

Futures Commission Merchant that is registered with the Commodity Futures Trading Commission The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.

FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.

SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a Provisionally registered swap dealer.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the SQL (c): A firm's net capital requirement is the greater of:

(c): A firm's net capital requirement is the greater of:

- FCM minimum dollar amount (\$1.000.000): or

- risk based capital requirement. the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin: or

- the amount of capital requirement the sum of 8% of total requirement is the greater of the FCM's offering or engaged in retail forex transactions and RFEDs (\$20.000.000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission. (b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second. (d): Excess net capital is adjusted net capital, less the firm's net capital requirement. (e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act. (f): This represents the total amount of funds that an FCM is required to searegate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity. (q): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement. 109 | 110 | (h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm. (i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act. (f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. (k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement. 118 (I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm. (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act (n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps. (o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement. (p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm

(a): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss