SELECTED FCM FINANCIAL DATA AS OF June 30, 2016 FROM REPORTS FILED BY July 26, 2016

П	АВ	С	D	Е	F	G	Н	I	J	K	L	М	N	0	Р	Q	R	S	T	U
	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
2		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	Accounts (k)	(1)	(m)	(n)	(o)	(p)	(q)
3	1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	6/20/2016	558.448.835	166 012 405	201 526 250		2 610 005 012	202.045.070	152 527 020	120 610 506	110 001 600	20,000,000	11.000.100					
5	2 ADM INVESTOR SERVICES INC	FCM BD	CBOT	6/30/2016 6/30/2016	312,768,626	166,912,485 206,422,274	391,536,350 106,346,352	2,920,130,990 4,856,097,021	2,618,085,012 4,594,418,699	302,045,978 261,678,322	152,527,838 120,000,000	138,610,596 370,885,798	110,001,600 312,177,768	28,608,996 58,708,030	11,000,160	12,545,152	1,625,051	10,920,101	8,000,000	0
6	3 ADVANTAGE FUTURES LLC	FCM	CME	6/30/2016	23,010,989	12,607,226	10,403,763	562,998,148	545,954,726	17,043,422	8,500,000	33,550,787	31,755,484	1,795,303	1,000,000		0	0	0	0
,	4 AMP GLOBAL CLEARING LLC	FCM	NFA	6/30/2016	2,409,341	1,500,000	909,341	57,610,708		1,577,281		1,908,899	1,583,490	325,409	150,000	0	0	0	0	0
	5 APERTURE LLC 6 BARCLAYS CAPITAL INC	FCM BD	NFA NYMF	6/30/2016 6/30/2016	14,675,960 7,318,924,545	1,000,000	13,675,960 6,210,917,375		1,322,667 5,197,645,996	565,468 880,571,886		3,008,452,995	2,644,609,913	363,843,082	132,759,418	5,763,722,820	4,953,572,154	810,150,666	279,381,469	0
	7 BGC FINANCIAL LP	FCM BD	NFA	6/30/2016	30,940,754	1,348,888	29,591,866	0,070,217,002	0	0	0	0	0	0	0	0	0	0	0	0
11	8 BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	6/30/2016	2,148,112,072	221,726,594	1,926,385,478			308,877,211		46,546,977	26,101,293			19,616,625	11,516,825	8,099,800	5,000,000	0
13	9 BNP PARIBAS SECURITIES CORP 10 BOCI COMMODITIES & FUTURES USA LLC	FCM BD FCM	CBOT CME	6/30/2016 6/30/2016	1,901,568,714 9,562,728	233,915,979 1,000,000	1,667,652,735 8,562,728	1,182,456,289 24,210,815	953,600,852 22,618,836	228,855,437 1,591,979	190,720,170 1,000,000	205,089,249	173,752,325	31,336,924 185	17,375,233	1,017,800,432	762,893,074	254,907,358	76,289,307	0
14	11 CANTOR FITZGERALD & CO	FCM BD	CBOT	6/30/2016	330,089,696	17,918,703	312,170,993	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
	12 CHS HEDGING LLC	FCM	CBOT	6/30/2016	34,364,608	12,140,883	22,223,725	166,445,432	129,509,028	36,936,404		2,046,349	614,645	1,431,704	545,000	503,912		503,912	310,000	0
	13 CITIGROUP GLOBAL MARKETS INC 14 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD FCM BD	CBOT/NFA CBOT	6/30/2016 6/30/2016	8,101,303,565	1,617,945,730	6,483,357,835	9,002,340,575	8,634,628,372	367,712,203		1,366,493,905	1,180,879,120 2,580,491,893	185,614,785		11,403,991,399		391,806,465	257,975,000	0
	15 CUNNINGHAM COMMODITIES (USA) LLC	FCM BD	CBOT	6/30/2016	11,596,945,878 5,211,965	1,525,997,414 1,301,088	10,070,948,464 3,910,877	6,359,746,092 69,822,991	5,898,019,896 65,606,320	461,726,196 4,216,671		2,796,895,888 233,105	2,580,491,893	216,403,995 216,220		11,480,532,720	10,766,089,589	714,443,131 0	538,304,479 0	0
19	16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	6/30/2016	564,091,335	6,953,789	557,137,546	5,481,436	0	5,481,436	1	571,701	0	571,701	1	0	0	0	0	0
20	17 DEUTSCHE BANK SECURITIES INC	FCM BD FCM	CBOT	6/30/2016	12,470,192,638	666,873,308	11,803,319,330	3,964,006,142	3,636,540,613	327,465,529		1,239,472,639	943,841,302	295,631,337	150,000,000	1,791,145,482	1,454,842,691	336,302,791	250,000,000	0
	18 DORMAN TRADING LLC 19 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME CME	6/30/2016 6/30/2016	9,677,432 115,932,297	1,616,314 64,760,085	8,061,118 51,172,212	167,465,628 1,480,578,150	163,359,860 1,424,681,241	4,105,768 55,896,909		4,632,339 66,820,595	3,825,556 59,790,224	806,783 7,030,371	3,000,000	2,400,531	1,273,994	1.126.537	500,000	0
23	20 E TRADE CLEARING LLC	FCM BD	NFA	6/30/2016	875,186,986	150,240,498	724,946,488	63,877,770	47,471,291	16,406,479	10,000,000	1,371,298	262,084		-,,		0	0	0	0
	21 EFL FUTURES LIMITED	FCM	CME	6/30/2016	30,485,341	18,128,718	12,356,623	249,635,384	236,490,710	13,144,674	5,000,000	0	0	0	0	0	0	0	0	0
	22 FOREX CAPITAL MARKETS LLC 23 GAIN CAPITAL GROUP LLC	FCMRFD SD FCMRFD	NFA NFA	6/30/2016 6/30/2016	53,187,254 42,136,984	34,203,865 27,451,578	18,983,389 14,685,406	432,245,904	415,803,905	16,441,999	5,000,000	5,353,503	3,698,988	1,654,515	1,000,000	0	0	0	0	174,991,480 129,705,882
27	24 GH FINANCIALS LLC	FCM	CME	6/30/2016	15,905,461	7,951,463	7,953,998	119,344,810	106,500,802	12,844,008	4,000,000	3,314,559	2,271,008	1,043,551	550,000		0	0	0	0
	25 GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	6/30/2016	17,085,387,553	2,581,888,469	14,503,499,084		21,933,726,394	1,026,814,480		11,948,916,320	11,362,627,298	586,289,022		3,795,006,602	3,331,979,299	463,027,303	350,000,000	0
	26 GOLDMAN SACHS EXECUTION & CLEARING LP 27 HSBC SECURITIES USA INC	FCM BD	CME	6/30/2016 6/30/2016	237,176,702 1,086,212,148	1,000,000 213.643.521	236,176,702 872,568,627	10,000,000	1,218,950,075	10,000,000 106,216,333	5,000,000 70,000,000	10,000,000 213,476,233	166.889.053	10,000,000 46.587.180	5,000,000 25,000,000	980,310,228	863,924,957	116,385,271	96,000,000	0
	28 INTERACTIVE BROKERS LLC	FCM BD	CME	6/30/2016	2,923,386,601	244,504,730	2,678,881,871	3,051,122,488		209,217,800		454,069,131	332,085,864	121,983,267		960,310,226	003,924,937	110,363,271	96,000,000	31,835,244
32	29 INTL FCSTONE FINANCIAL INC	FCM BD	CME	6/30/2016	128,720,062	82,169,077	46,550,985		1,939,474,806	51,943,122	35,000,000	116,443,401	98,874,843	17,568,558		0	0	0	0	0
33	30 IRONBEAM INC	FCM BD	NFA	6/30/2016	2,307,206	1,480,687	826,519	63,283,479	61,898,225	1,385,254	800,000	252,502	128,141	124,361	50,000	0	0	0	0	0
35	31 ITG DERIVATIVES LLC 32 IFFFERIES LLC	FCM BD	NFA NFA	6/30/2016 6/30/2016	2,273,301 1,302,945,787	1,000,000 83,500,670	1,273,301 1,219,445,117	0	0	0	0	0	0	0	0	0	0	0	0	0
36	33 JP MORGAN CLEARING CORP	FCM BD	NFA	6/30/2016	7,201,207,283	1,554,239,361	5,646,967,922	824,736,147	613,823,481	210,912,666	122,764,696	631,083,460	469,521,726	161,561,734	93,904,345	250,000		250,000		0
	34 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	6/30/2016	13,568,089,663	2,577,261,508	10,990,828,155	18,348,008,771	16,676,008,357	1,672,000,414		3,947,206,667	3,587,224,419	359,982,248				897,558,184		0
	35 MACQUARIE FUTURES USA LLC 36 MAREX NORTH AMERICA LLC	FCM FCM	CBOT CME	6/30/2016 6/30/2016	251,619,279 23,541,566	178,231,829 15,022,462	73,387,450 8,519,104	2,650,561,191 226,791,309	2,470,268,282 215,468,762	180,292,909 11,322,547	100,000,000 5,200,000	99,805,047 55,122,990	65,763,840 53,688,725	34,041,207 1,434,265	7,000,000	27,524,891	9,035,104	18,489,787	1,000,000	0
	37 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	6/30/2016	13,640,135	2,109,214	11,530,921	823,654,749	801,947,352	21,707,397		2,417,861	0 33,000,723	2,417,861	400,000	0	0	0	0	0
41	38 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	6/30/2016	11,435,064,095	1,553,829,249	9,881,234,846		12,880,143,857	291,953,035	200,000,000	3,481,205,326	3,215,119,793	266,085,533	150,000,000	5,848,660,741	5,254,975,744	593,684,997	150,000,000	0
42	39 MERRILL LYNCH PROFESSIONAL CLEARING CORP 40 MID CO COMMODITIES INC	FCM BD FCM	NFA NFA	6/30/2016 6/30/2016	3,166,152,388 8,314,128	534,938,344 4,883,132	2,631,214,044 3,430,996	1,269,221,883 39,393,847	566,055,986 31,197,681	703,165,897 8,196,166	300,000,000 5,138,000	0	0	0	0	0	0	0	0	0
	41 MINT BROKERS	FCM BD	NFA	6/30/2016	5,342,466	1,000,000	4,342,466	0 0	31,137,001	0,150,100	3,136,000	0	0	0	0	0	0	0	0	0
	42 MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	6/30/2016	580,722,893	271,017,117	309,705,776	3,149,004,092	2,947,627,002	201,377,090		836,417,340	735,124,543	101,292,797	70,000,000			33,106,998	15,000,000	0
	43 MORGAN STANLEY & CO LLC	FCM BD SD FCM BD	CME/NFA	6/30/2016	10,352,963,753	1,956,178,942	8,396,784,811	14,790,427,276	14,580,826,595	209,600,681	105,000,000	4,243,469,178	3,992,755,044	250,714,134	105,000,000	12,459,057,126	12,232,957,613	226,099,513	92,000,000	0
48	44 MUFG SECURITIES AMERICAS INC 45 NANHUA USA LLC	FCM RD	NFA CME	6/30/2016 6/30/2016	361,197,455 20,283,838	1,072,040 3,822,341	360,125,415 16,461,497	80,979,638	65,325,622	15,654,016	1,983,184	0	0	0	0	1 0	0	U	0	0
49	46 NEUBERGER BERMAN LLC	FCM BD	NFA	6/30/2016	11,801,576	1,500,000	10,301,576	0	0	0	0	0	0	0	0	0	0	0	0	0
	47 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	6/30/2016	2,531,926,073	73,270,901	2,458,655,172	275,095,184	173,295,098	101,800,086	15,000,000	18,264,590	57,349	18,207,241	1,000,000	0	0	0	0	0
	48 OANDA CORPORATION 49 OPTIONSXPRESS INC	FCMRFD FCM BD	NFA NFA	6/30/2016 6/30/2016	93,209,390 259,754,995	47,807,125 6,978,418	45,402,265 252,776,577	118.041.280	81,668,041	36,373,239	12,250,206	4,209,851	1,298,816	2,911,035	194,822	0	0	0	0	126,991,487 0
53	50 PHILLIP CAPITAL INC	FCM BD	CME	6/30/2016	33,730,018	14,629,827	19,100,191	234,982,638	213,044,391	21,938,247		11,657,323	6,302,048	5,355,275		0	0	0	0	0
54	51 PICTET OVERSEAS INC	FCM BD	NFA	6/30/2016	15,767,291	1,000,000	14,767,291	0	0	0	0	0	0	0	0	0	0	0	0	0
56	52 RAND FINANCIAL SERVICES INC 53 RBC CAPITAL MARKETS LLC	FCM BD	CME CME	6/30/2016 6/30/2016	83,881,185 1,979,798,228	13,362,429 262,054,465	70,518,756 1,717,743,763	254,177,400 2,802,182,830	209,805,700 2,560,904,316	44,371,700 241,278,514		31,756,400 297,775,361	8,966,800 255,759,258	22,789,600 42,016,103		1,130,312,724	795,007,319	335,305,405	000,000,08	0
	54 RBS SECURITIES INC	FCM BD	CBOT	6/30/2016	3,565,193,253	104,215,461	3,460,977,792	1,452,543,237	1,262,218,835	190,324,402		171,544,469	45,399,331	126,145,138		0	0	0	0	0
	55 RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	6/30/2016	211,424,079	145,477,418	65,946,661	4,177,976,325	4,063,876,453	114,099,872		224,785,663	198,872,244	25,913,419		0	0	0	0	0
	56 ROSENTHAL COLLINS GROUP LLC 57 SANFORD C BERNSTEIN & CO LLC	FCM FCM BD	CME NFA	6/30/2016 6/30/2016	86,468,027 192,768,256	63,200,247 32,293,302	23,267,780 160,474,954		1,632,616,975	26,493,863	18,000,000	35,037,894	23,047,537	11,990,357	8,000,000	0	0	0	0	0
	58 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	6/30/2016	112,785,305	13,998,387	98,786,918	509,145,879	503,348,734	5,797,145	4,000,000	0	0	0	0	0	0	0	0	0
62	59 SCOTIA CAPITAL USA INC	FCM BD	CME	6/30/2016	651,922,737	35,249,122	616,673,615	0	0	0	0	0	0	0	0	0	0	0	0	0
63	60 SG AMERICAS SECURITIES LLC 61 STATE STREET GLOBAL MARKETS LLC	FCM BD	CME CME	6/30/2016 6/30/2016	3,376,867,104 532,231,131	1,316,176,905 19,553,729	2,060,690,199 512,677,402	17,296,088,112 376,750,634	15,887,651,913 248,710,306	1,408,436,199 128,040,328	500,000,000 12,435,515	4,317,994,952 56,057,108	3,819,579,405 7,481,483	498,415,547 48,575,625	255,000,000 15,000,000	530,093,629	262,857,369	267,236,260	75,000,000	0
65	62 STRAITS FINANCIAL LLC	FCM RD	CME	6/30/2016	25,002,034	9,569,768	15,432,266	276,710,820		128,040,328		41,782,792	7,481,483 34,905,094	48,575,625 6,877,698		0	0	0	0	0
66	63 TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	6/30/2016	109,627,702	21,612,443	88,015,259	305,999,203	240,754,172	65,245,031	18,000,000	0	0	0	0	0	0	0	0	42,248,861
	64 TRADESTATION SECURITIES INC	FCM BD FCM BD	CME	6/30/2016	67,803,646	3,627,965	64,175,681	544,579,979	531,031,331	13,548,648		25,817,732	24,211,360			0	0	0	0	0
	65 UBS FINANCIAL SERVICES INC 66 UBS SECURITIES LLC	FCM BD	NFA CBOT	6/30/2016 6/30/2016	1,135,456,616 4,329,663,468	124,867,259 893,215,528	1,010,589,357 3,436,447,940	100,226,504 8,174,202,525	61,726,208 7,604,910,636	38,500,296 569,291,889		28,705,879 4,403,702,008	4,613,457 3,881,673,149	24,092,422 522,028,859			715,088,730	301,568,363	200,000,000	0
70	67 UOBBF CLEARING LIMITED	FCM	NFA	6/30/2016	7,768,807	1,000,000	6,768,807	0	0	0	0	0	0	0	0	0	0	0	0	0
71	68 WEDBUSH SECURITIES INC	FCM BD	CBOT	6/30/2016	161,637,613	52,250,951	109,386,662		1,162,862,754	20,642,410		39,726,970	36,986,688	2,740,282		0 001 111 ===	0	0	0	0
	69 WELLS FARGO SECURITIES LLC 70 YORK BUSINESS ASSOCIATES LLC	FCM BD FCM	CME NFA	6/30/2016 6/30/2016	3,434,923,944 5,143,188	920,168,155 1,000,000	2,514,755,789 4,143,188	3,413,746,390 43,573,194	3,081,297,419 38,595,931	332,448,971 4,977,263	275,000,000	507,953,936 2,172,907	287,477,661 1.850.892	220,476,275 322,015	30,000,000	8,984,441,272	7,896,227,406	1,088,213,866	575,000,000	0
74	7.0 TOTAL DODANESS POSSOCIATES EEC	TGH	111.6	5/30/2010	3,113,100	1,000,000	1,113,100	13,373,154	30,333,931		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,,,,,,,			İ				
75	Totals							168.504.692.049	156,862,435,245	11,642,256,804	6,564,807,924	45,551,082,658	40,793,959,439	4,757,123,219	2,666,243,386	76.303.115.480	69,433,928,766	6.869.186.714	3.686.427.085	505.772.954

SELECTED FCM FINANCIAL DATA AS OF June 30, 2016 FROM REPORTS FILED BY

	July 26, 2016																			
	В	С	D	Е	F	G	Н		J	K	L	М	N	0	Р	Q	R	S	Т	U
76 77	May Web Page Update	70																		
78																				
79 80	Additions NONE	0																		
81																				
82	Deletions NONE	0																		
84																				
85 86	Name Changes MITSUBISHI UFJ SECURITIES USA INC to																	_		
87	MUFG SECURITIES AMERICAS INC																			
88	June Web Page Update	70																		
90																				
91 92	(a): FCM: Futures Commission Merchant that is register BD: The FCM is also registered with the Securities																			
93	RFED: Retail Foreign Exchange Dealer that is registed																			
94 95	FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer. SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.																			
96																				
97	(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.																			
98	(c): A firm's net capital requirement is the greater of:																			
100																				
101		FCM minimum dollar amount (\$1,000,000); or risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or																		
103	the amount of capital required by a registered futures associately.	the amount of capital required by a registered futures association (currently NFA is the only such association); or																		
104	• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or																			
106	minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.																			
107	(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.																			
109																				
110	(e): This represents the total amount of money, securities, and	(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.																		
112	(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.																			
113	(g): Excess/Deficient funds in segregation is customer assets	in segregation	. less the custo	tomer segregati	ion requirement.															
115					•															
116	(h): This represents the targeted excess of proprietary funds of	deposited into	customer segr	regated accoun	its above the custo	mer segregation require	ment. This target amo	ount is set by the firm.												
118	(i): This represents the total amount of money, securities, and	d property held	in secured acc	counts for futur	es and options cu	stomers who trade on co	mmodity exchanges lo	cated outside the Uni	ted States in complian	ce with Part 30 of the	Commodity Exchange	Act.								
120	(j): This represents the amount of funds an FCM is required to	o set aside for	customers wh	no trade on com	modity exchanges	located outside of the U	Inited States.													
121					, ,															
122	(k): Excess/Deficient funds in separate Section 30.7 accounts	s is funds in sep	parate Section	n 30.7 accounts	s, less the custome	r amount Part 30 require	ement.													
124	(I): This represents the targeted excess of proprietary funds d	leposited into s	eparate Section	on 30.7 accoun	its above the custo	mer amount Part 30 rec	uirement. This target	amount is set by the f	irm.											
125	(m): This represents the total amount of money, securities, ar	nd property hel	d in cleared sv	wap customer a	accounts for cleare	d swap customers in co	mpliance with Section	4d(f) of the Commodi	tv Exchange Act.											
127				•			,	.,,	,g											
128	(n): This represents the amount of funds an FCM is required t	to segregate fo	or customers w	vho trade cleare	ed swaps.															
130	(o): Excess/Deficient funds in cleared swap customer account	nts is funds in se	eparate cleare	ed swap segreg	ation accounts, le	ss the cleared swap cust	omer segregation requ	uirement.												
131	(p): This represents the targeted excess of proprietary funds	deposited into	separate clea	ared swap segre	egation accounts a	bove the cleared swap	sustomer segregation	equirement. This tarr	net amount is set by th	e firm.										
133					_	•			·											
134	(q): This represents the total amount of funds at an FCM, RFI	ED, or FCMRF	D that would b	be obtained by	combining all mor	ey, securities and prope	rty deposited by a reta	il forex customer into	a retail forex account of	or accounts, adjusted to	for the realized and ur	realized net profit or lo	ISS.							