

SELECTED FCM FINANCIAL DATA AS OF
June 30, 2011
FROM REPORTS FILED BY
August 01, 2011

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		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
	Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)	Obligation (g)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	06/30/2011	221,546,182	112,864,555	108,681,627	2,006,194,961	307,558,844	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	06/30/2011	259,660,369	137,408,861	122,251,508	2,917,691,104	74,349,861	0
3	ADVANCED MARKETS LLC	RFED	NFA	06/30/2011	20,149,848	20,000,000	149,848	0	0	2,601,887
4	ADVANTAGE FUTURES LLC	FCM	CME	06/30/2011	17,135,344	10,683,498	6,451,846	427,577,322	37,832,975	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	FCM BD	NFA	06/30/2011	84,773,980	4,227,112	80,546,868	0	0	0
6	ALPARI (US) LLC	RFED	NFA	06/30/2011	25,489,155	20,025,084	5,464,071	31,276	0	10,501,677
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	06/30/2011	157,605,302	1,000,000	156,605,302	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	06/30/2011	1,461,689	1,000,000	461,689	28,398,073	320,748	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	06/30/2011	5,483,438,881	736,699,222	4,746,739,659	5,298,882,437	2,481,563,792	0
10	BGC FINANCIAL LP	FCM BD	NFA	06/30/2011	74,064,571	1,353,585	72,710,986	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	06/30/2011	368,441,434	232,206,597	136,234,837	1,445,360,888	566,139,619	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	06/30/2011	1,696,706,940	62,727,045	1,633,979,895	405,548,799	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	06/30/2011	126,823,031	3,914,005	122,909,026	36,368,484	0	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	06/30/2011	280,792,074	8,508,655	272,283,419	1,115,721	0	0
15	CAPITAL MARKET SERVICES LLC	RFED	NFA	06/30/2011	3,088,686	1,000,000	2,088,686	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	06/30/2011	432,676,593	1,500,000	431,176,593	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	06/30/2011	403,115,827	1,000,000	402,115,827	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	06/30/2011	6,998,373,127	688,003,435	6,310,369,692	10,314,769,599	1,557,410,469	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	06/30/2011	1,905,103	1,000,000	905,103	0	0	0
20	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	06/30/2011	3,956,982	1,000,000	2,956,982	0	0	0
21	COUNTRY HEDGING INC	FCM	NFA	06/30/2011	25,889,032	18,387,064	7,501,968	319,371,041	392,986	0
22	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	06/30/2011	9,021,589,751	1,039,958,131	7,981,631,620	4,836,626,966	1,371,785,809	0
23	CROSSLAND LLC	FCM	CBOT	06/30/2011	11,689,308	3,752,939	7,936,369	70,592,757	35,462,033	0
24	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	06/30/2011	3,258,434	1,000,000	2,258,434	53,249,549	161,413	0
25	CX CAPITAL MARKETS LLC	FCM	NFA	06/30/2011	2,774,656	1,000,000	1,774,656	26,631,224	0	0
26	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	06/30/2011	301,951,087	1,000,000	300,951,087	4,350,118	40,979	0
27	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	06/30/2011	7,137,338,665	573,709,415	6,563,629,250	15,360,607,847	1,374,998,037	0
28	DORMAN TRADING LLC	FCM	CME	06/30/2011	10,183,106	1,000,000	9,183,106	97,703,713	1,861,904	0
29	EAGLE MARKET MAKERS INC	FCM	CBOT	06/30/2011	7,259,875	1,000,000	6,259,875	1,474,604	0	0
30	EASY FOREX US LTD	FCM	NFA	06/30/2011	1,815,181	1,000,000	815,181	0	0	0
31	ENSKILDA FUTURES LTD	FCM	CME	06/30/2011	29,358,000	14,969,612	14,388,388	253,610,054	0	0
32	FCSTONE LLC	FCM	CME	06/30/2011	112,361,516	61,752,873	50,608,643	1,441,567,018	16,961,546	4,710,830
33	FOREX CAPITAL MARKETS LLC	RFED	NFA	06/30/2011	66,427,589	26,382,392	40,045,197	0	0	137,647,834
34	FOREX CLUB LLC	RFED	NFA	06/30/2011	20,238,568	20,000,000	238,568	0	0	3,120,223
35	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	06/30/2011	3,396,290	1,000,000	2,396,290	9,511,216	444,868	0
36	FRONTIER FUTURES INC	FCM	NFA	06/30/2011	1,887,033	1,008,872	878,161	38,992,160	0	0
37	FUTURES TECH LLC	FCM	NFA	06/30/2011	1,567,792	1,000,000	567,792	0	0	0
38	FX SOLUTIONS LLC	RFED	NFA	06/30/2011	38,000,464	20,383,295	17,617,169	0	0	17,665,895
39	FXDIRECTDEALER LLC	RFED	NFA	06/30/2011	25,085,531	21,332,970	3,752,561	0	0	36,659,417
40	GAIN CAPITAL GROUP LLC	RFED	NFA	06/30/2011	49,774,460	24,908,950	24,865,510	0	0	108,178,991
41	GLOBAL FUTURES & FOREX LTD	RFED	NFA	06/30/2011	75,930,726	24,190,601	51,740,125	259,265	220,801	93,812,011
42	GOLDMAN SACHS & CO	FCM BD	CBOT	06/30/2011	11,510,586,979	1,901,436,566	9,609,150,413	19,645,003,755	8,021,313,641	0
43	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	06/30/2011	1,680,040,021	126,898,751	1,553,141,270	988,909,287	21,631,579	0

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		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)	Obligation (g)
44	HSBC SECURITIES USA INC	FCM BD	CME	06/30/2011	1,097,609,013	97,346,869	1,000,262,144	1,171,750,388	86,427,778	0
45	ICAP CORPORATES LLC	FCM BD	NFA	06/30/2011	48,667,841	1,000,000	47,667,841	0	0	0
46	ICAP FUTURES LLC	FCM	NFA	06/30/2011	6,399,763	1,000,000	5,399,763	0	0	0
47	IKON GLOBAL MARKETS INC	FCM	NFA	06/30/2011	14,546,745	1,000,000	13,546,745	17,059,029	108,434	0
48	INSTINET LLC	FCM BD	NFA	06/30/2011	122,490,973	1,993,017	120,497,956	0	0	0
49	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	06/30/2011	21,042,633	20,000,000	1,042,633	0	0	44,941
50	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	06/30/2011	1,600,684	1,000,000	600,684	0	0	0
51	INTERACTIVE BROKERS LLC	FCM BD	NFA	06/30/2011	1,256,666,519	204,566,341	1,052,100,178	768,436,035	273,667,598	0
52	INTERBANK FX LLC	RFED	NFA	06/30/2011	25,226,270	20,987,111	4,239,159	0	0	29,742,213
53	IRONBEAM INC	FCM	NFA	06/30/2011	3,005,881	1,000,000	2,005,881	45,178,944	236,888	0
54	ITG DERIVATIVES LLC	FCM BD	NFA	06/30/2011	2,511,600	1,000,000	1,511,600	0	0	0
55	JEFFERIES & COMPANY INC	FCM BD	NFA	06/30/2011	751,470,264	74,550,968	676,919,296	0	0	0
56	JP MORGAN CLEARING CORP	FCM BD	CME	06/30/2011	6,974,004,299	1,936,023,399	5,037,980,900	430,394,214	415,467,820	0
57	JP MORGAN SECURITIES LLC	FCM BD	CEI	06/30/2011	11,270,002,435	1,466,879,370	9,803,123,065	13,821,203,399	2,234,830,772	0
58	LEK SECURITIES CORPORATION	FCM BD	NFA	06/30/2011	8,983,644	1,500,000	7,483,644	1,304,603	0	0
59	LIMESTONE TRADING LLC	FCM	NFA	06/30/2011	6,626,033	1,000,000	5,626,033	0	0	0
60	LINN GROUP THE	FCM	NFA	06/30/2011	2,453,189	1,000,000	1,453,189	38,970,538	106,961	0
61	LPL FINANCIAL LLC	FCM BD	NFA	06/30/2011	92,999,634	6,412,410	86,587,224	0	0	0
62	MACQUARIE FUTURES USA INC	FCM	CBOT	06/30/2011	129,677,283	61,893,341	67,783,942	848,326,619	54,129,863	0
63	MAREX NORTH AMERICA LLC	FCM	CME	06/30/2011	9,697,019	4,298,376	5,398,643	73,821,829	1,781,851	0
64	MAREX USA LIMITED	FCM	NFA	06/30/2011	2,831,024	1,000,000	1,831,024	0	0	0
65	MB TRADING FUTURES INC	RFED	NFA	06/30/2011	26,445,119	21,180,544	5,264,575	0	0	33,610,870
66	MBF CLEARING CORP	FCM	NYME	06/30/2011	8,795,516	1,000,000	7,795,516	70,609,167	4,577,434	0
67	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	06/30/2011	11,190,799	1,503,559	9,687,240	838,510,617	0	0
68	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	06/30/2011	10,123,449,134	807,099,494	9,316,349,640	9,953,582,274	2,676,615,509	0
69	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	06/30/2011	1,923,515,725	252,371,579	1,671,144,146	2,030,066,931	54,658,459	0
70	MF GLOBAL INC	FCM BD	CME	06/30/2011	570,931,049	399,976,291	170,954,758	8,095,636,170	827,843,520	0
71	MID CO COMMODITIES INC	FCM	NFA	06/30/2011	13,267,381	6,643,251	6,624,130	92,868,191	0	0
72	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	06/30/2011	94,327,697	1,293,317	93,034,380	0	0	0
73	MITSUBI BUSSAN COMMODITIES USA INC	FCM	NFA	06/30/2011	3,060,035	1,000,000	2,060,035	0	0	0
74	MIZUHO SECURITIES USA INC	FCM BD	CME	06/30/2011	363,809,463	79,427,018	284,382,445	688,396,543	520,153,870	0
75	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	06/30/2011	454,585,848	8,816,913	445,768,935	0	0	0
76	MORGAN STANLEY & CO LLC	FCM BD	CME	06/30/2011	6,473,994,874	1,127,083,638	5,346,911,236	4,546,440,441	1,902,692,858	0
77	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	06/30/2011	1,213,151,246	38,243,580	1,174,907,666	562,428,141	1,936,648,448	0
78	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	06/30/2011	203,841,296	1,000,000	202,841,296	0	0	0
79	NEUBERGER BERMAN LLC	FCM BD	NFA	06/30/2011	75,814,912	1,500,000	74,314,912	0	0	0
80	NEWEDGE USA LLC	FCM BD	NYME	06/30/2011	2,189,710,575	1,422,444,044	767,266,531	21,605,917,392	6,483,053,785	0
81	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	06/30/2011	1,801,527,851	22,903,007	1,778,624,844	82,173,959	860,953	0
82	OANDA CORPORATION	RFED	NFA	06/30/2011	164,017,433	28,014,677	136,002,756	0	0	170,293,549
83	OPEN E CRY LLC	FCM	NFA	06/30/2011	3,202,566	1,103,146	2,099,420	85,536,664	1,300,797	0
84	OPPENHEIMER & CO INC	FCM BD	NFA	06/30/2011	162,876,554	24,637,640	138,238,914	22,555,835	0	0

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		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)	Obligation (g)
85	OPTIONSXPRESS INC	FCM BD	NFA	06/30/2011	84,325,013	20,000,000	64,325,013	127,058,782	2,540,097	0
86	PENSON FUTURES	FCM	CBOT	06/30/2011	31,731,160	24,556,112	7,175,048	767,742,651	50,681,184	0
87	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	06/30/2011	36,781,427	21,376,885	15,404,542	447,596,712	29,775,578	37,537,701
88	PHILLIP FUTURES INC	FCM	CME	06/30/2011	10,818,135	1,000,000	9,818,135	952,784	0	0
89	PIONEER FUTURES INC	FCM	NFA	06/30/2011	2,949,623	1,000,000	1,949,623	0	0	0
90	PRUDENTIAL BACHE COMMODITIES LLC	FCM	CBOT	06/30/2011	237,216,000	176,739,200	60,476,800	2,757,787,000	284,396,000	5,439,000
91	RAND FINANCIAL SERVICES INC	FCM	CME	06/30/2011	72,830,578	15,744,793	57,085,785	140,363,500	17,847,500	0
92	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	06/30/2011	381,463,658	31,896,143	349,567,515	0	0	0
93	RBC CAPITAL MARKETS LLC	FCM BD	CME	06/30/2011	1,228,294,877	92,952,273	1,135,342,604	360,483,810	25,856,864	0
94	RBS SECURITIES INC	FCM BD	CBOT	06/30/2011	2,324,980,000	232,311,000	2,092,669,000	1,598,803,000	81,633,000	0
95	RJ OBRIEN ASSOCIATES LLC	FCM	CME	06/30/2011	166,093,313	110,715,906	55,377,407	2,787,258,500	69,210,397	3,448,031
96	ROSENTHAL COLLINS GROUP LLC	FCM	CME	06/30/2011	69,392,855	46,631,390	22,761,465	1,550,741,098	35,737,127	0
97	ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	06/30/2011	43,738,237	1,000,000	42,738,237	0	0	0
98	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	06/30/2011	174,585,757	14,612,345	159,973,412	0	0	0
99	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	06/30/2011	513,350,337	15,458,640	497,891,697	512,765,353	0	0
100	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	06/30/2011	414,658,536	6,138,540	408,519,996	33,162,628	14,869,541	0
101	STEPHENS	FCM BD	NFA	06/30/2011	76,802,472	13,310,896	63,491,576	0	0	0
102	STERLING COMMODITIES CORP	FCM	NYME	06/30/2011	8,339,635	1,000,000	7,339,635	31,618,048	214,477	0
103	STRAITS FINANCIAL LLC	FCM	CME	06/30/2011	8,704,041	1,000,000	7,704,041	9,005,212	0	0
104	TCA FUTURES LLC	FCM	NFA	06/30/2011	1,636,255	1,000,000	636,255	0	0	0
105	TD AMERITRADE INC	FCM BD	NFA	06/30/2011	292,746,768	1,000,000	291,746,768	0	0	0
106	TENCO INC	FCM	CBOT	06/30/2011	60,664,661	9,566,070	51,098,591	286,532,453	0	0
107	TIMBER HILL LLC	FCM BD	CME	06/30/2011	1,010,192,984	48,470,881	961,722,103	537,348,008	0	0
108	TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	06/30/2011	1,538,014	1,000,000	538,014	0	0	0
109	TRADELINK LLC	FCM BD	CBOT	06/30/2011	24,187,205	1,000,000	23,187,205	0	0	0
110	TRADESTATION FOREX INC.	RFED	NFA	06/30/2011	24,419,445	21,193,411	3,226,034	0	0	33,868,215
111	TRADESTATION SECURITIES INC	FCM BD	NFA	06/30/2011	56,363,962	2,743,271	53,620,691	429,700,488	7,369,645	0
112	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	06/30/2011	1,542,077	1,000,000	542,077	0	0	0
113	TRILAND USA INC	FCM	NYME	06/30/2011	9,460,505	1,000,000	8,460,505	0	0	0
114	UBS FINANCIAL SERVICES INC	FCM BD	NFA	06/30/2011	1,362,149,896	115,075,802	1,247,074,094	174,241,882	543,004	0
115	UBS SECURITIES LLC	FCM BD	CBOT	06/30/2011	11,265,545,815	919,909,076	10,345,636,739	8,846,773,031	4,002,158,509	0
116	VELOCITY FUTURES LLC	FCM	NFA	06/30/2011	1,768,365	1,000,000	768,365	64,334,635	17,596,496	0
117	VISION FINANCIAL MARKETS LLC	FCM BD	CME	06/30/2011	24,955,173	11,134,711	13,820,462	421,492,725	7,397,033	0
118	WALL STREET DERIVATIVES INC	FCM	NFA	06/30/2011	1,508,938	1,000,000	508,938	0	0	0
119	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	06/30/2011	48,806,183	2,813,803	45,992,380	0	0	0
120	WELLS FARGO ADVISORS LLC	FCM BD	NFA	06/30/2011	771,745,322	1,500,000	770,245,322	0	0	0
121	WELLS FARGO SECURITIES LLC	FCM BD	CME	06/30/2011	1,587,635,352	10,324,150	1,577,311,202	0	0	0
122	WHITE COMMERCIAL CORPORATION	FCM	NFA	06/30/2011	2,520,673	1,000,000	1,520,673	0	0	0
123	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	06/30/2011	2,465,175	1,000,000	1,465,175	74,230,727	2,037,909	0
	Totals							153,881,560,188	37,995,479,863	728,883,285

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May Web Page Update	125								
Additions	0								
None									
Deletions	2								
ELECTRONIC BROKERAGE SYSTEMS LLC									
JP MORGAN FUTURES INC									
Name Change									
MORGAN STANLEY & CO INCORPORATED to									
MORGAN STANLEY & CO LLC									
June Web Page Update	123								
*Allianz Global Investors Distributors LLC files financial statements quarterly.									
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission; BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer; RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.									
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of: • FCM minimum dollar amount (\$1,000,000); or • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or • the amount of capital required by a registered futures association (currently NFA is the only such association); or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.									
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.									
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.									
(g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.									
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: DCIO@cftc.gov									