

SELECTED FCM FINANCIAL DATA AS OF
 May 31, 2014
 FROM REPORTS FILED BY
 June 30, 2014

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
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6	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	5/31/2014	469,170,965	141,045,951	328,125,014	2,679,607,767	2,410,706,072	268,901,695	126,296,646	199,237,268	157,101,646	42,135,622	23,565,247	0	0	0	0	0
7	2	ADM INVESTOR SERVICES INC	FCM	CBOT	5/31/2014	286,339,409	172,449,675	113,889,734	3,470,684,593	3,219,161,443	251,523,150	120,000,000	253,358,650	193,973,051	59,385,599	20,000,000	13,217,765	3,645,277	9,572,488	8,000,000	0
8	3	ADVANTAGE FUTURES LLC	FCM	CME	5/31/2014	20,410,644	11,182,585	9,228,059	431,761,147	420,198,782	11,562,365	7,500,000	39,564,621	37,750,704	1,813,917	1,000,000	0	0	0	0	0
9	4	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	5/31/2014	183,272,710	1,000,000	182,272,710	0	0	0	0	0	0	0	0	0	0	0	0	0
10	5	AMP GLOBAL CLEARING LLC	FCM	NFA	5/31/2014	2,458,615	1,500,000	958,615	56,021,000	54,620,595	1,400,405	900,000	572,615	330,509	242,106	150,000	0	0	0	0	0
11	6	BARCLAYS CAPITAL INC	FCM BD	NYME	5/31/2014	6,537,277,954	1,429,635,529	5,107,642,425	7,072,608,194	6,584,548,409	488,059,785	233,093,014	4,203,498,485	4,005,264,903	198,233,582	139,783,745	6,166,515,012	5,104,752,101	1,061,762,911	475,252,421	0
12	7	BGC FINANCIAL LP	FCM BD	NFA	5/31/2014	56,847,204	2,471,740	54,375,464	0	0	0	0	0	0	0	0	0	0	0	0	0
13	8	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	5/31/2014	3,206,917,514	226,752,736	2,980,164,778	3,115,881,371	2,807,988,649	307,892,722	280,798,878	22,542,170	2,482,973	20,059,197	10,000,000	10,133,250	33,354	10,099,896	5,000,000	0
14	9	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	5/31/2014	1,698,942,034	177,440,404	1,521,501,630	1,079,177,249	863,112,914	216,064,335	172,622,583	37,189,392	11,735,639	25,453,753	1,173,564	352,184,587	279,588,695	72,595,892	27,958,869	0
15	10	BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	5/31/2014	3,789,340	1,000,000	2,789,340	0	0	0	0	0	0	0	0	0	0	0	0	0
16	11	CANTOR FITZGERALD & CO	FCM BD	CBOT	5/31/2014	228,860,235	10,877,063	217,983,172	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
17	12	CHS HEDGING LLC	FCM	CBOT	5/31/2014	25,516,789	14,379,758	11,137,031	195,259,057	169,085,238	26,173,819	13,100,000	959,509	238,867	720,642	235,000	769,733	121,164	648,569	310,000	0
18	13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	5/31/2014	5,215,160,331	1,029,911,307	4,185,249,024	5,536,229,229	5,195,419,413	340,809,816	284,800,000	994,631,832	792,539,690	202,092,142	180,000,000	5,806,858,006	5,406,909,044	399,948,962	287,100,000	0
19	14	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	5/31/2014	7,533,173,309	2,198,627,984	5,334,545,325	8,182,258,750	7,211,286,526	970,972,224	360,564,447	3,183,735,223	2,901,664,434	282,070,789	145,083,222	8,796,292,276	6,535,128,309	2,261,163,967	326,756,415	0
20	15	CROSSLAND LLC	FCM	CBOT	5/31/2014	10,323,312	1,881,950	8,441,362	81,034,555	78,606,080	2,428,475	1,000,000	6,524,931	5,412,316	1,112,615	500,000	0	0	0	0	0
21	16	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	5/31/2014	5,423,694	1,401,486	4,022,208	68,202,776	64,053,981	4,148,795	3,050,000	637,601	454,993	182,608	100,000	0	0	0	0	0
22	17	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	5/31/2014	526,972,422	9,254,582	517,717,840	9,760,983	4,278,249	5,482,734	4,278,249	628,013	0	628,013	1	0	0	0	0	0
23	18	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	5/31/2014	9,273,290,055	685,451,867	8,587,838,188	13,273,141,499	12,589,227,831	683,913,668	500,000,000	1,257,811,840	995,294,262	262,517,578	200,000,000	1,770,441,875	1,419,378,069	351,063,806	250,000,000	0
24	19	DORMAN TRADING LLC	FCM	CME	5/31/2014	12,340,583	2,874,153	9,466,430	161,105,161	157,557,430	3,547,731	2,000,000	3,979,594	3,478,868	500,726	200,000	0	0	0	0	0
25	20	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	5/31/2014	52,229,123	12,939,108	39,290,015	326,957,985	308,222,826	18,735,159	2,000,000	6,466,904	4,744,642	1,722,262	237,232	0	0	0	0	0
26	21	E TRADE CLEARING LLC	FCM BD	NFA	5/30/2014	716,174,141	150,744,518	565,429,623	49,616,579	37,766,537	11,850,042	10,000,000	1,756,846	747,840	1,009,006	1,000,000	0	0	0	0	0
27	22	EFL FUTURES LIMITED	FCM	CME	5/31/2014	38,555,920	17,840,044	20,715,876	271,963,273	259,026,704	12,936,569	5,000,000	0	0	0	0	0	0	0	0	0
28	23	FCSTONE LLC	FCM	CME	5/31/2014	120,800,474	83,439,547	37,360,927	1,840,431,397	1,813,582,343	26,849,054	20,000,000	64,077,721	47,735,192	16,342,529	14,000,000	0	0	0	0	0
29	24	FIXI AMERICAS LLC	FCM	NFA	5/31/2014	1,505,786	1,000,000	505,786	0	0	0	0	0	0	0	0	0	0	0	0	0
30	25	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	5/31/2014	49,551,520	29,320,097	20,231,423	0	0	0	0	0	0	0	0	0	0	0	0	196,401,945
31	26	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	5/31/2014	3,638,739	1,000,000	2,638,739	3,456,475	2,376,675	1,079,800	1,000,000	1,100,198	81,741	1,018,457	1,000,000	0	0	0	0	0
32	27	FRONTIER FUTURES INC	FCM	NFA	5/31/2014	1,705,738	1,000,000	705,738	29,127,642	28,068,587	1,059,055	335,575	0	0	0	0	0	0	0	0	0
33	28	FXDIRECTDEALER LLC	FCMRFD SD	NFA	5/31/2014	21,212,273	20,000,000	1,212,273	0	0	0	0	0	0	0	0	0	0	0	0	788,859
34	29	GAIN CAPITAL GROUP LLC	FCMRFD SD	NFA	5/31/2014	37,302,603	24,866,937	12,435,666	170,999,787	162,618,145	8,381,642	2,500,000	10,578,945	8,525,739	2,053,206	312,500	0	0	0	0	107,338,735
35	30	GH FINANCIALS LLC	FCM	CME	5/31/2014	10,432,536	1,924,544	8,507,992	29,065,499	25,526,407	3,539,092	575,000	620,984	354,698	265,886	75,000	0	0	0	0	0
36	31	GLOBAL FUTURES & FOREX LTD	FCMRFD SD	NFA	5/31/2014	4,849,929	1,000,000	3,849,929	0	0	0	0	0	0	0	0	0	0	0	0	0
37	32	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	5/31/2014	14,547,936,880	2,211,879,704	12,336,057,176	20,379,226,647	19,829,368,927	549,857,337	475,000,000	9,047,543,540	8,482,685,373	564,858,167	475,000,000	2,847,537,148	2,448,297,458	399,239,690	150,000,000	0
38	33	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	5/31/2014	1,575,334,292	146,009,746	1,429,324,546	1,370,963,834	797,409,703	573,554,131	540,000,000	65,347,030	18,666,896	46,680,134	40,000,000	0	0	0	0	0
39	34	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	5/31/2014	85,524,926	2,340,708	83,184,218	0	0	0	0	0	0	0	0	0	0	0	0	0
40	35	HSBC SECURITIES USA INC	FCM BD	CME	5/31/2014	1,108,623,642	168,989,994	939,633,648	1,214,662,834	1,111,661,175	103,001,659	50,000,000	170,082,203	111,731,631	58,350,572	44,829,631	471,623,606	415,246,467	56,377,139	30,000,000	0
41	36	IBFX INC	RFED SD	NFA	5/31/2014	28,094,568	23,085,720	5,008,848	0	0	0	0	0	0	0	0	0	0	0	0	68,247,942
42	37	INSTITUTIONAL LIQUIDITY LLC	FCMRFD SD	NFA	5/31/2014	34,204,021	20,000,000	14,204,021	1,609,279	1,609,279	0	500,000	0	0	0	0	0	0	0	0	90,559
43	38	INTERACTIVE BROKERS LLC	FCM BD	NFA	5/30/2014	2,186,882,839	319,535,549	1,867,347,290	2,342,832,124	2,136,338,726	206,493,398	150,000,000	413,084,689	312,810,662	100,274,027	80,000,000	0	0	0	0	38,351,000
44	39	IRONBEAM INC	FCM	NFA	5/31/2014	2,348,427	1,000,000	1,348,427	33,894,089	32,600,758	1,293,331	800,000	111,499	0	111,499	50,000	0	0	0	0	0
45	40	ITG DERIVATIVES LLC	FCM BD	NFA	5/31/2014	4,270,703	1,000,000	3,270,703	0	0	0	0	0	0	0	0	0	0	0	0	0
46	41	JEFFERIES BACHE LLC	FCM	CBOT	5/31/2014	196,264,000	115,326,320	80,937,680	2,087,880,000	1,984,674,000	103,206,000	90,000,000	218,572,000	147,787,000	70,785,000	50,000,000	52,847,000	32,362,000	20,485,000	5,000,000	0
47	42	JP MORGAN CLEARING CORP	FCM BD	NFA	5/31/2014	7,746,819,833	1,956,764,181	5,790,055,652	1,051,008,319	728,366,460	322,641,859	65,552,982	536,310,628	322,427,700	213,882,928	29,018,493	250,000	0	250,000	1	0
48	43	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	5/31/2014	13,557,454,999	2,196,824,312	11,360,630,687	19,579,278,977	17,441,095,192	2,138,183,785	1,569,698,567	3,446,093,147	3,094,392,320	351,700,827	278,495,309	3,984,164,283	3,412,188,515	571,975,768	307,096,966	0
49	44	KCG AMERICAS LLC	FCM BD	CME	5/31/2014	366,364,250	34,981,309	331,382,941	711,690,193	694,326,659	17,363,534	15,000,000	6,600,920	3,103,118	3,497,802	2,500,000	0	0	0	0	32,313,684
50	45	LEK SECURITIES CORPORATION	FCM BD	NFA	5/31/2014	9,003,340	1,500,000	7,503,340	845,908	845,908	0	250,000	0	0	0	0	0	0	0	0	0

