

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
December 31, 2003

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	(e)	Pt. 30 Required (f)
1	ABN AMRO INCORPORATED	Y	CBOT	11/30/2003	1,258,060,139	163,260,785	116,069,854	1,094,799,354	1,843,936,564	89,375,506
2	ABN AMRO SAGE CORPORATION	Y	NFA	11/30/2003	28,632,035	3,087,215	3,087,216	25,544,819	66,761,836	0
3	ADM INVESTOR SERVICES INC	N	CBOT	11/30/2003	70,395,488	22,592,916	22,730,960	47,664,528	586,307,131	12,213,925
4	ADVANTAGE FUTURES LLC	N	CME	11/30/2003	5,956,422	2,575,427	1,409,995	3,380,995	62,741,209	9,405,211
5	ADVEST INC	Y	NFA	11/30/2003	53,579,529	1,000,000	0	52,579,529	0	0
6	AG EDWARDS & SONS INC	Y	CBOT	11/30/2003	596,423,499	44,982,538	4,294,383	551,440,961	96,172,220	4,782
7	AGE COMMODITY CLEARING CORP	N	CBOT	11/30/2003	13,651,008	3,009,527	4,218,717	9,432,291	87,441,979	0
8	AIG CLEARING CORPORATION	N	NYME	11/30/2003	181,345,127	250,000	8,928,860	172,416,267	0	0
9	ALARON TRADING CORPORATION	N	CME	11/30/2003	4,620,880	2,266,444	1,341,514	2,354,436	64,768,008	127,485
10	ALCO COMMODITIES INC	N	NFA	11/30/2003	472,786	250,000	0	222,786	0	0
11	AMERICAN NATIONAL TRADING CORP	N	NFA	11/30/2003	1,283,342	712,543	498,761	570,799	20,517,552	0
12	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	11/30/2003	58,614,725	7,930,679	39,218,110	19,396,615	198,266,970	0
13	BANC ONE CAPITAL MARKETS INC	Y	CBOT	11/30/2003	346,086,179	11,344,818	24,926,716	321,159,463	433,167,297	47,051,623
14	BARCLAYS CAPITAL INC	Y	CBOT	11/28/2003	363,078,672	82,963,736	139,555,315	223,523,357	1,864,351,856	266,122,490
15	BEAR STEARNS & CO INC	Y	CBOT	10/31/2003	1,982,581,886	46,110,465	0	1,936,471,421	0	0
16	BEAR STEARNS SECURITIES CORP	Y	CME	10/31/2003	3,208,495,526	916,619,572	109,350,046	2,291,875,954	1,788,388,969	312,623,596
17	BIELFELDT & COMPANY LLC	N	CBOT	11/30/2003	405,462	250,000	0	155,462	0	0
18	BLAYLOCK AND PARTNERS LP	Y	NFA	11/30/2003	12,532,811	302,197	0	12,230,614	0	0
19	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	11/30/2003	40,526,410	20,120,413	17,329,414	20,405,997	503,010,315	0
20	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	11/30/2003	50,736,821	9,063,224	17,721,705	33,015,116	232,826,231	16,817,419
21	BNP PARIBAS SECURITIES CORP	Y	CBOT	11/30/2003	234,289,181	414,045	0	233,875,136	0	0
22	C CZARNIKOW SUGAR FUTURES INC	N	NFA	11/30/2003	1,631,812	250,000	149,521	1,381,812	1,787,443	0
23	CADENT FINANCIAL SERVICES LLC	N	CME	11/30/2003	4,711,788	250,000	65,909	4,461,788	3,438,424	0
24	CANTOR FITZGERALD & CO	Y	CBOT	11/30/2003	61,011,193	1,000,000	1,031,244	59,979,949	948,179	0
25	CAPITAL MARKET SERVICES LLC	N	NFA	11/30/2003	1,514,988	250,000	0	1,264,988	0	0
26	CARGILL INVESTOR SERVICES INC	N	CBOT	11/30/2003	89,489,042	49,825,908	64,605,439	24,883,603	1,236,251,636	88,162,043
27	CARR FUTURES INC	Y	CME	11/30/2003	236,750,411	144,242,491	200,386,079	36,364,332	3,444,672,738	1,577,212,202
28	CDC SECURITIES	Y	NFA	11/30/2003	79,193,951	250,000	0	78,943,951	0	0
29	CIBC WORLD MARKETS CORP	Y	CME	11/30/2003	828,734,317	30,858,919	10,714,994	797,875,398	0	0
30	CITIGROUP GLOBAL MARKETS INC.	Y	CBOT	11/30/2003	4,700,053,341	486,555,257	238,205,603	4,213,498,084	5,910,903,883	73,314,139
31	CLIFDEN FUTURES LLC	N	NFA	11/30/2003	474,147	250,000	1,337	224,147	4,450,936	0
32	CLIFF LARSON COMPANY THE	N	NFA	11/30/2003	562,403	250,000	38,060	312,403	2,830,754	0
33	CMC GROUP PLC	N	NFA	11/30/2003	7,294,606	250,000	0	7,044,606	0	0
34	COESfx CLEARING INC	N	NFA	11/30/2003	391,183	250,000	0	141,183	0	0
35	COMMERZ FUTURES LLC	N	CME	11/30/2003	5,376,778	4,336,505	6,506,727	-1,129,949	122,362,401	4,530,693
36	COUNTRY HEDGING INC	N	NFA	11/30/2003	6,591,080	1,460,133	2,664,250	3,926,830	39,102,346	29,671
37	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	11/30/2003	30,880,316	4,214,696	15,749,603	15,130,713	113,335,736	375,479
38	CREDIT SUISSE FIRST BOSTON LLC	Y	CBOT	11/30/2003	2,933,102,123	144,165,792	112,151,753	2,788,936,331	1,690,801,337	484,587,027
39	CRESSWELL CAPITAL LLC	N	NFA	11/30/2003	1,265,934	358,240	0	907,694	9,810,933	44,517
40	CROSSLAND LLC	N	CBOT	11/30/2003	3,464,396	325,117	104,601	3,139,279	8,127,916	0
41	CUNNINGHAM COMMODITIES INC	N	CBOT	11/30/2003	1,765,468	390,604	256,104	1,374,864	9,703,278	71,551
42	DAIWA SECURITIES AMERICA INC	Y	CME	11/30/2003	169,657,185	2,389,568	2,903,492	166,753,693	50,068,963	962,228
43	DAVID A NOYES & CO	Y	NFA	11/30/2003	2,039,820	250,000	0	1,789,820	0	0

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		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
44	DEUTSCHE BANK SECURITIES INC	Y	CBOT	11/28/2003	2,345,454,531	94,770,116	179,151,953	2,166,302,578	1,518,274,646	534,208,485
45	DORMAN TRADING LLC	N	CME	11/30/2003	4,835,197	728,170	386,172	4,107,027	18,204,246	0
46	DUNAVANT COMMODITY CORP	N	NYCE	09/30/2003	20,321,229	951,272	785,938	19,369,957	34,983,344	0
47	EAGLE MARKET MAKERS INC	N	CBOT	11/30/2003	1,939,784	250,000	59,289	1,689,784	3,813,122	20,030
48	ED & F MAN COMMODITY ADVISORS INC	N	NFA	11/30/2003	396,428	250,000	0	146,428	0	0
49	EM COMBS & SON	N	CBOT	11/30/2003	2,351,996	250,000	44,397	2,101,996	5,048,248	0
50	FARR FINANCIAL INC	N	NFA	11/30/2003	1,257,419	680,512	274,063	576,907	17,691,565	136,363
51	FC STONE LLC	N	CME	11/30/2003	18,539,502	9,148,636	10,996,652	7,542,850	282,004,831	637,077
52	FCT GROUP LLC	N	CME	11/30/2003	7,707,809	250,000	638,641	7,069,168	8,194,147	40,000
53	FIMAT USA INC	Y	CME	11/30/2003	215,733,510	115,696,757	143,604,284	72,129,226	3,151,323,744	649,224,272
54	FIRST CAPITOL GROUP LLC	N	NFA	11/30/2003	2,124,246	1,155,415	641,016	968,831	33,278,245	33,064
55	FIRST OPTIONS OF CHICAGO INC	Y	CME	10/31/2003	255,709,345	10,008,144	20,303,439	235,405,906	466,254,944	5,648,067
56	FOREX CAPITAL MARKETS LLC	N	NFA	11/30/2003	19,106,533	250,000	0	18,856,533	0	0
57	FORTIS CLEARING CHICAGO LLC	N	CBOT	11/30/2003	17,564,287	3,118,562	1,895,361	14,445,725	68,722,926	10,943,617
58	FRIEDBERG MERCANTILE GROUP INC	N	NFA	11/30/2003	1,782,494	250,000	44,547	1,532,494	4,679,731	42,495
59	FRONTIER FUTURES INC	N	NFA	11/30/2003	1,091,172	567,034	459,893	524,138	17,529,376	0
60	FUTURES TECH LLC	N	NFA	11/30/2003	371,807	250,000	0	121,807	0	0
61	FX SOLUTIONS LLC	N	NFA	11/30/2003	1,253,007	250,000	0	1,003,007	0	0
62	GAIN CAPITAL INC.	N	NFA	11/30/2003	4,364,624	250,000	0	4,114,624	0	0
63	GELBER GROUP LLC	N	CBOT	11/30/2003	14,902,054	772,667	353,449	14,129,387	20,189,820	219,274
64	GFS SECURITIES & FUTURES INC	N	NFA	11/30/2003	335,119	250,000	0	85,119	0	0
65	GILDER GAGNON HOWE AND CO LLC	Y	NFA	11/30/2003	33,872,135	250,000	0	33,622,135	0	0
66	GLOBAL FUTURES & FOREX LTD	N	NFA	11/30/2003	9,627,454	250,000	0	9,377,454	0	0
67	GOLDENBERG HEHMEYER & CO	Y	CBOT	11/30/2003	15,280,725	1,864,875	1,372,376	13,415,850	56,781,772	4,473,242
68	GOLDMAN SACHS & CO	Y	CBOT	10/31/2003	4,317,661,733	830,229,750	454,163,373	3,487,431,983	8,023,462,808	3,535,814,529
69	GREENWICH CAPITAL MARKETS INC	Y	CBOT	11/30/2003	848,041,000	25,382,000	20,253,360	822,659,000	313,662,000	6,040,000
70	HAGERTY GRAIN CO INC	N	CBOT	11/30/2003	1,796,196	275,311	74,014	1,520,885	6,986,605	0
71	HORNBLOWER FISCHER & CO	Y	NFA	11/30/2003	960,850	250,000	70,163	710,850	3,058,375	0
72	HOTSPOT FX INC	N	NFA	11/30/2003	2,362,796	250,000	0	2,112,796	0	0
73	HSBC SECURITIES USA INC	Y	CME	11/30/2003	318,400,028	17,448,129	39,611,402	278,788,626	434,573,117	32,261,942
74	IFSC USA INC	N	NFA	11/30/2003	388,063	250,000	0	138,063	64,083	0
75	IFX MARKETS INC	N	NFA	11/30/2003	498,442	250,000	0	248,442	0	0
76	INTEGRATED BROKERAGE SERVICES INC	N	NFA	11/30/2003	1,176,221	250,000	92,008	926,221	1,616,044	0
77	INTERACTIVE BROKERS LLC	Y	NFA	11/30/2003	130,164,271	4,864,062	2,478,227	125,300,209	29,188,564	8,854,018
78	INVESTEC ERNST & COMPANY	Y	NFA	11/28/2003	12,865,381	250,000	0	12,615,381	0	0
79	IOWA GRAIN CO	N	CBOT	11/30/2003	8,501,625	4,024,788	4,023,926	4,476,837	111,421,843	148,727
80	JP MORGAN FUTURES INC	N	NYME	11/30/2003	558,505,596	224,595,667	355,201,083	203,304,513	5,565,261,055	723,525,168
81	JULIUS BAER SECURITIES INC	Y	NFA	11/28/2003	13,259,498	4,501,011	0	8,758,487	0	0
82	KOTTKE ASSOCIATES LLC	N	CBOT	11/30/2003	7,012,323	317,193	154,756	6,695,130	13,229,301	4,898
83	LADENBURG THALMANN & CO INC	Y	NFA	11/30/2003	8,562,669	250,000	0	8,312,669	0	0
84	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	11/30/2003	2,879,252	250,000	32,973	2,629,252	4,744,482	0
85	LBS LIMITED PARTNERSHIP	N	CBOT	11/30/2003	420,970	250,000	0	170,970	0	0
86	LEADER INVESTMENTS INC	Y	NFA	11/30/2003	392,091	250,000	0	142,091	0	0
87	LEGG MASON WOOD WALKER INC	Y	NYME	11/30/2003	358,154,805	22,777,177	0	335,377,628	0	0

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		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
88	LEHMAN BROTHERS INC	Y	CBOT	11/30/2003	2,032,852,000	180,327,660	130,711,080	1,852,524,340	1,855,819,000	60,666,000
89	LINN GROUP (THE)	N	NFA	11/30/2003	466,576	250,000	0	216,576	0	0
90	LINSCO/PRIVATE LEDGER CORP	Y	NFA	11/30/2003	87,683,617	3,906,417	0	83,777,200	0	0
91	LOEB PARTNERS CORPORATION	Y	NFA	11/30/2003	22,327,714	250,000	0	22,077,714	0	0
92	MAN FINANCIAL INC	N	CME	11/30/2003	205,218,482	116,964,920	133,564,544	71,653,938	2,962,445,670	567,650,580
93	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	11/30/2003	5,655,031	250,000	0	5,405,031	0	0
94	MAXCOR FINANCIAL INC	Y	NFA	11/30/2003	47,028,661	250,000	0	46,778,661	0	0
95	MBF CLEARING CORP	N	NYME	11/30/2003	6,747,555	2,667,877	1,110,315	4,079,678	69,414,873	292,423
96	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	11/30/2003	17,970,746	11,678,801	1,538,289	6,291,945	300,568,998	0
97	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	11/28/2003	2,722,489,900	269,785,333	260,260,362	2,452,704,567	5,392,232,799	631,095,435
98	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	11/28/2003	582,720,621	13,178,783	32,618,680	550,101,941	425,676,452	0
99	MID-CO COMMODITIES INC	N	NFA	11/30/2003	3,558,821	459,040	1,079,821	2,479,000	12,575,849	0
100	MITSUI & CO INVESTMENT PRODUITS CORP	N	NFA	11/30/2003	642,417	250,000	0	392,417	0	0
101	MIZUHO SECURITIES USA INC	Y	CME	11/30/2003	157,238,062	15,726,846	25,900,457	131,337,605	363,478,523	39,125,474
102	MONEY GARDEN CORPORATION, THE	N	NFA	11/30/2003	3,561,498	250,000	0	3,311,498	0	0
103	MORGAN KEEGAN & COMPANY INC	Y	NFA	11/30/2003	251,314,926	10,935,735	0	240,379,191	0	0
104	MORGAN STANLEY & CO INCORPORATED	Y	CME	11/30/2003	3,778,024,193	734,755,405	186,388,652	3,043,268,788	2,425,737,898	1,225,435,329
105	MORGAN STANLEY DW INC	Y	CBOT	11/30/2003	1,056,301,503	101,153,616	6,023,184	955,147,887	821,021,763	1,439,566,707
106	NATIONAL COMMODITIES CORPORATION INC	N	NFA	11/28/2003	1,178,135	250,000	82,017	928,135	14,115,624	0
107	NEUBERGER BERMAN LLC	Y	NFA	11/30/2003	319,839,196	19,150,826	679,896	300,688,370	32,877,788	0
108	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	11/30/2003	13,912,005	250,000	0	13,662,005	0	0
109	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	11/30/2003	522,299,021	8,271,162	935,494	514,027,859	105,481,329	12,237
110	OANDA CORPORATION	N	NFA	11/30/2003	4,086,137	250,000	0	3,836,137	0	0
111	OCONNOR & COMPANY LLC	Y	CBOT	11/30/2003	37,824,160	2,500,000	1,654,096	35,324,160	71,496,966	527,431
112	OPPENHEIMER & CO. INC.	Y	NFA	11/30/2003	136,213,934	21,988,123	0	114,225,812	8,741,283	0
113	PACIFIC CAPITAL MARKETS	N	NFA	10/31/2003	422,606	250,000	0	172,606	0	0
114	PATTERSON CAPITAL MARKETS LTD	N	CBOT	11/30/2003	931,987	250,000	0	681,987	0	0
115	PAX CLEARING CORPORATION	Y	CME	11/30/2003	43,115,870	2,141,115	5,230,139	37,885,731	69,443,467	0
116	PENSON FINANCIAL FUTURES INC	N	NFA	11/30/2003	410,141	250,000	55,996	160,141	1,285,456	0
117	PEREGRINE FINANCIAL GROUP INC	N	NFA	11/30/2003	19,388,160	3,531,647	716,163	15,856,513	112,288,824	1,229,940

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118	PERSHING LLC	Y	CBOT	11/30/2003	630,917,000	168,232,880	0	462,684,120	0	0
119	PIONEER FUTURES INC	N	NYME	11/30/2003	8,616,617	3,783,326	2,100,309	4,833,291	109,146,514	453,504
120	PREFERRED TRADE INC	Y	NFA	11/30/2003	8,800,849	672,667	0	8,128,182	771,244	0
121	PRUDENTIAL EQUITY GROUP INC	Y	CBOT	11/30/2003	549,266,000	47,872,000	49,048,280	500,217,720	1,189,980,000	117,269,000
122	PRUDENTIAL FINANCIAL DERIVATIVES LLC	N	NFA	11/30/2003	1,000,100	250,000	0	750,100	0	0
123	QIX FUTURES INC	N	NFA	11/30/2003	481,372	250,000	0	231,372	0	0
124	RAND FINANCIAL SERVICES INC	N	CME	11/30/2003	14,452,305	5,955,944	9,147,831	5,304,474	141,705,700	18,473,800
125	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	11/28/2003	347,299,634	22,630,876	31,189	324,668,758	0	0
126	RBC DAIN RAUSCHER INC	Y	NFA	11/30/2003	359,327,812	29,084,355	0	330,243,457	0	0
127	RBC DOMINION SECURITIES CORPORATION	Y	CME	11/30/2003	143,787,301	1,500,000	45,229,703	98,557,598	0	0
128	REDSKY SECURITIES LLC	Y	NFA	11/30/2003	679,417	250,000	0	429,417	0	0
129	REFCO LLC	N	CME	11/30/2003	207,323,300	116,394,832	126,694,089	80,629,211	3,136,275,618	88,731,124
130	RJ OBRIEN ASSOCIATES INC	N	CME	11/28/2003	46,067,860	26,544,431	26,536,206	19,523,429	724,057,874	4,342,662
131	ROBBINS FUTURES INC	N	NFA	11/30/2003	451,987	250,000	50,036	201,987	5,660,608	0
132	ROBEKO USA LLC	Y	NFA	11/28/2003	74,839,372	6,335,007	0	68,504,365	0	0
133	ROSENTHAL COLLINS GROUP LLC	N	CME	11/30/2003	39,455,052	14,435,403	12,215,847	25,019,649	417,205,768	1,966,016
134	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	11/30/2003	16,041,080	1,377,052	0	14,664,028	0	0
135	ROTHSCHILD INC	Y	NFA	11/30/2003	24,270,526	250,000	0	24,020,526	0	0
136	SANFORD C BERNSTEIN & CO LLC	Y	NFA	11/30/2003	156,160,230	13,779,859	0	142,380,371	0	0
137	SENTINEL MANAGEMENT GROUP INC	N	NFA	11/30/2003	1,376,137	250,000	0	1,126,137	525,767,171	0
138	SG COWEN SECURITIES CORPORATION	Y	NFA	11/30/2003	620,638,011	1,500,000	0	619,138,011	0	0
139	SHATKIN ARBOR KARLOV & CO	N	CBOT	11/30/2003	6,336,876	403,087	90,501	5,933,789	11,033,696	66,733
140	SHAY GRAIN CLEARING COMPANY	N	KCBT	09/30/2003	813,266	250,000	12,188	563,266	2,801,733	0
141	SHEPARD INTERNATIONAL INC	N	NFA	11/30/2003	2,305,325	250,000	0	2,055,325	0	0
142	SHERWOOD FUTURES GROUP LLC	N	NFA	11/30/2003	952,778	250,000	0	702,778	15,212,314	0
143	SMW TRADING COMPANY INC	N	CME	11/28/2003	5,027,094	576,016	514,847	4,451,078	14,763,215	4,731
144	SNC INVESTMENTS INC	N	NFA	11/30/2003	374,565	250,000	0	124,565	0	0
145	SOLID GOLD FINANCIAL SERVICES INC	N	NFA	11/30/2003	264,595	250,000	0	14,595	0	0
146	SPEAR LEEDS & KELLOG	Y	NFA	10/31/2003	1,104,420,442	49,042,495	4,995,022	1,055,377,947	218,898,996	6,238,867
147	STEPHENS INC	Y	NFA	11/28/2003	71,012,629	9,495,757	0	61,516,872	0	0
148	STERLING COMMODITIES CORP	N	NYME	11/30/2003	5,325,451	816,143	145,965	4,509,308	19,860,890	781,170
149	SWISS AMERICAN SECURITIES INC	Y	NFA	11/30/2003	73,839,051	3,531,842	0	70,307,209	0	0
150	TCA FUTURES LLC	N	NFA	11/30/2003	389,033	250,000	0	139,033	0	0

