

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
November 30, 2002

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
		(a)	(b)			or \$250,000	(c)	(d)	(e)	(f)
1	ABN AMRO INCORPORATED	Y	CBOT	10/31/2002	1,239,181,232	134,672,679	78,563,497	1,104,508,553	1,417,016,800	64,059,661
2	ABN AMRO SAGE CORPORATION	Y	NFA	10/31/2002	37,865,533	3,475,219	3,475,219	34,390,314	85,399,492	0
3	ADM INVESTOR SERVICES INC	N	CBOT	10/31/2002	65,518,698	15,659,617	19,627,079	45,891,619	411,778,670	9,357,654
4	ADVEST INC	Y	NFA	10/31/2002	21,242,391	1,000,000	0	20,242,391	0	0
5	AG EDWARDS & SONS INC	Y	CBOT	10/31/2002	582,072,658	41,256,088	2,354,375	540,816,570	65,496,748	41,771
6	AGE COMMODITY CLEARING CORP	N	CBOT	10/31/2002	11,257,843	1,454,505	3,102,775	8,155,068	45,585,100	0
7	AIG CLEARING CORPORATION	N	NYME	10/31/2002	120,449,837	250,000	4,647,060	115,802,777	0	0
8	ALARON TRADING CORPORATION	N	CME	10/31/2002	3,452,231	1,880,513	933,856	1,571,718	51,875,064	86,028
9	ALCO COMMODITIES INC	N	NFA	10/31/2002	449,772	250,000	0	199,772	0	0
10	AMERICAN NATIONAL TRADING CORP	N	NFA	10/31/2002	1,051,452	383,897	271,170	667,555	12,304,053	0
11	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	10/31/2002	64,245,785	6,734,442	21,749,845	42,495,940	168,125,319	235,722
12	BANC ONE CAPITAL MARKETS INC	Y	CBOT	10/31/2002	361,200,546	56,572,014	102,417,116	258,783,430	1,782,504,585	10,307,970
13	BARCLAYS CAPITAL INC	Y	CBOT	10/31/2002	273,407,321	51,143,571	93,337,491	180,069,830	1,262,683,891	52,009,607
14	BEAR STEARNS & CO INC	Y	CBOT	10/31/2002	1,462,600,741	38,447,045	0	1,424,153,696	0	0
15	BEAR STEARNS SECURITIES CORP	Y	CME	10/31/2002	2,232,111,649	709,420,212	87,701,288	1,522,691,437	1,503,613,959	161,415,336
16	BIELFELDT & COMPANY LLC	N	CBOT	10/31/2002	425,006	250,000	0	175,006	0	0
17	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	10/31/2002	22,956,281	2,594,136	12,864,682	10,091,599	64,853,405	0
18	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	10/31/2002	51,034,857	8,988,368	10,722,400	40,312,457	245,904,658	15,846,079
19	BNP PARIBAS SECURITIES CORP	Y	CBOT	10/31/2002	287,777,900	832,896	0	286,945,004	0	0
20	BNY CLEARING SERVICES LLC	Y	NFA	10/31/2002	82,683,248	14,506,194	0	68,177,054	0	0
21	BOSTON CABOT LLC	Y	NFA	10/31/2002	582,782	250,000	0	332,782	0	0
22	C CZARNIKOW SUGAR FUTURES INC	N	NFA	10/31/2002	1,553,514	250,000	176,592	1,303,514	1,617,602	0
23	CANTOR FITZGERALD & CO	Y	CBOT	10/31/2002	64,283,807	1,850,583	294	62,433,224	4,579	0
24	CAPITAL MARKET SERVICES LLC	N	NFA	10/31/2002	410,108	250,000	0	160,108	0	0
25	CARGILL INVESTOR SERVICES INC	N	CBOT	10/31/2002	83,291,928	39,428,031	47,640,999	35,650,929	986,011,378	83,039,161
26	CARR FUTURES INC	Y	CME	10/31/2002	148,147,661	89,446,441	121,225,470	26,922,191	2,291,876,660	1,339,883,344
27	CDC SECURITIES	Y	NFA	10/31/2002	76,568,248	250,000	0	76,318,248	0	0
28	CIBC WORLD MARKETS CORP	Y	NYME	10/31/2002	864,638,749	22,957,905	8,598,799	841,680,844	5,420,718	0
29	CLIFDEN FUTURES LLC	N	NFA	10/31/2002	473,571	250,000	0	223,571	469,798	0
30	COES FX CLEARING INC	N	NFA	10/31/2002	489,910	250,000	0	239,910	0	0
31	COMMERZ FUTURES LLC	Y	CME	10/31/2002	7,989,340	1,624,633	3,116,258	4,873,082	76,162,107	3,749,730
32	COUNTRY HEDGING INC	N	NFA	10/31/2002	7,420,939	1,304,329	3,469,399	3,951,540	43,882,627	80,133
33	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	10/31/2002	22,822,032	3,969,353	17,427,723	5,394,309	146,296,777	590,472
34	CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	10/31/2002	3,262,527,726	86,488,807	63,661,131	3,176,038,919	1,034,270,040	287,059,010
35	CROSSLAND LLC	N	CBOT	10/31/2002	3,783,826	290,837	53,384	3,492,989	7,359,176	0
36	CUNNINGHAM COMMODITIES INC	N	CBOT	10/31/2002	1,818,233	250,000	174,736	1,568,233	5,676,264	97,731
37	DAIWA SECURITIES AMERICA INC	Y	CME	10/31/2002	222,060,077	3,931,213	6,119,046	215,941,031	91,292,298	1,226,920
38	DEUTSCHE BANK SECURITIES INC	Y	CBOT	10/31/2002	1,169,653,177	118,774,362	51,559,000	1,050,878,815	1,066,933,442	164,267,290
39	DIRECT TRADING GROUP LLC	N	NFA	10/31/2002	2,253,596	250,000	31,665	2,003,596	3,573,013	0
40	DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	10/31/2002	1,142,611,000	87,390,440	0	1,055,220,560	0	0
41	DORMAN TRADING LLC	N	CME	10/31/2002	6,872,521	699,895	245,940	6,172,626	17,579,454	0
42	DUNAVANT COMMODITY CORP	N	NYCE	09/30/2002	17,906,530	1,012,962	25,730	16,893,568	26,163,988	0
43	EAGLE MARKET MAKERS INC	N	CBOT	10/31/2002	1,991,674	250,000	57,179	1,741,674	1,741,223	74,672
44	ED & F MAN COMMODITY ADVISORS INC	N	NFA	10/31/2002	384,713	250,000	0	134,713	0	0
45	EM COMBS & SON	N	CBOT	10/31/2002	1,980,853	250,000	10,700	1,730,853	0	0
46	FAHNESTOCK & CO INC	Y	NFA	10/31/2002	158,470,105	7,805,616	0	150,664,489	753,386	0
47	FARR FINANCIAL INC	N	NFA	10/31/2002	966,767	441,279	172,766	525,488	11,385,902	49,090

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48	FC STONE LLC	N	CME	10/31/2002	16,997,833	8,738,433	11,345,410	5,652,423	263,073,434	755,258
49	FCT GROUP LLC	N	CME	10/31/2002	3,350,074	250,000	472,657	2,877,417	8,026,886	0
50	FIMAT USA INC	Y	CME	10/31/2002	163,977,457	64,192,204	117,514,374	46,463,083	2,394,158,598	313,811,561
51	FIRST CAPITOL GROUP LLC	N	NFA	10/31/2002	1,653,322	786,169	616,588	867,153	23,503,849	452
52	FIRST OPTIONS OF CHICAGO INC	Y	CME	10/25/2002	152,716,228	8,278,180	33,479,107	119,237,121	439,327,225	15,285,041
53	FOREX CAPITAL MARKETS LLC	N	NFA	10/31/2002	8,081,355	250,000	0	7,831,355	0	0
54	FORTIS CLEARING CHICAGO LLC	N	CBOT	10/31/2002	6,042,923	1,639,620	557,220	4,403,303	39,012,733	2,619,952
55	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	10/31/2002	1,392,404	250,000	66,432	1,142,404	5,545,324	0
56	FRONTIER FUTURES INC	N	NFA	10/31/2002	1,295,212	371,701	370,474	923,511	13,537,155	0
57	FUTURES TECH LLC	N	NFA	10/31/2002	401,750	250,000	0	151,750	0	0
58	FX SOLUTIONS LLC	N	NFA	10/31/2002	312,462	250,000	0	62,462	0	0
59	GAIN CAPITAL	N	NFA	10/31/2002	2,001,150	250,000	0	1,751,150	0	0
60	GELBER GROUP LLC	N	CBOT	10/31/2002	12,440,271	792,566	172,163	11,647,705	21,657,551	65,094
61	GFS SECURITIES & FUTURES INC	N	NFA	10/31/2002	291,776	250,000	0	41,776	0	0
62	GILDER GAGNON HOWE AND CO LLC	Y	NFA	10/31/2002	24,277,953	250,000	0	24,027,953	0	0
63	GLOBAL FUTURES & FOREX LTD	N	NFA	10/31/2002	3,243,637	250,000	0	2,993,637	0	0
64	GNI INCORPORATED	N	CBOT	10/31/2002	12,735,685	6,299,117	0	6,436,568	143,234,503	15,875,071
65	GOLDENBERG HEHMEYER & CO	Y	CBOT	10/31/2002	11,839,309	1,499,854	1,666,614	10,172,695	53,328,615	3,285,820
66	GOLDMAN SACHS & CO	Y	CBOT	10/25/2002	4,133,601,841	655,420,841	423,476,415	3,478,181,000	7,821,364,953	3,383,063,652
67	GREENWICH CAPITAL MARKETS INC	Y	CBOT	10/31/2002	908,132,000	30,128,000	14,044,840	878,004,000	189,680,000	0
68	HAGERTY GRAIN CO INC	N	CBOT	10/31/2002	1,664,821	250,000	61,538	1,414,821	5,128,037	0
69	HORNBLOWER FISCHER & CO	Y	NFA	10/31/2002	2,045,326	265,884	173,813	1,779,442	6,262,406	0
70	HOTSPOT FX INC	N	NFA	10/31/2002	3,957,091	250,000	0	3,707,091	0	0
71	HSBC SECURITIES USA INC	Y	CME	10/31/2002	203,267,168	20,409,576	24,768,767	178,498,401	250,268,507	35,296,990
72	INTEGRATED BROKERAGE SERVICES INC	N	NFA	10/31/2002	613,053	250,000	0	363,053	816,362	0
73	INTERACTIVE BROKERS LLC	Y	NFA	10/31/2002	86,151,331	1,625,468	627,886	84,525,863	13,503,769	5,406,244
74	INVESTEC ERNST & COMPANY	N	NFA	10/31/2002	32,257,265	2,867,060	0	29,390,205	0	0
75	IOWA GRAIN CO	N	CBOT	10/31/2002	7,617,391	3,563,988	3,360,627	4,053,403	94,208,404	34,651
76	JP MORGAN FUTURES INC	N	NYME	10/31/2002	454,797,478	150,466,893	260,036,558	194,760,920	3,839,214,735	526,536,766
77	JULIUS BAER SECURITIES INC	Y	NFA	10/31/2002	7,433,488	858,697	0	6,574,791	0	0
78	KOTTKE ASSOCIATES LLC	N	CBOT	10/31/2002	8,869,560	409,839	158,600	8,459,721	14,976,100	3,616
79	LADENBURG THALMANN & CO INC	Y	NFA	10/31/2002	3,879,906	1,000,000	0	2,879,906	0	0
80	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	10/31/2002	2,787,451	250,000	67,527	2,537,451	4,494,570	0
81	LBS LIMITED PARTNERSHIP	N	CBOT	10/31/2002	407,092	250,000	0	157,092	0	0
82	LEADER INVESTMENTS INC	N	NFA	10/31/2002	801,383	250,000	0	551,383	0	0
83	LEGG MASON WOOD WALKER INC	Y	NFA	10/31/2002	327,451,803	18,891,040	0	308,560,763	0	0
84	LEHMAN BROTHERS INC	Y	CBOT	10/31/2002	1,607,368,000	150,497,880	139,303,720	1,456,870,120	1,496,159,000	26,517,000
85	LINN GROUP (THE)	N	NFA	10/31/2002	474,460	250,000	0	224,460	0	0
86	LINSCO/PRIVATE LEDGER CORP	Y	NFA	10/31/2002	94,267,425	3,435,474	0	90,831,951	0	0
87	LOEB PARTNERS CORPORATION	Y	NFA	10/31/2002	25,078,137	250,000	0	24,828,137	0	0
88	MAN FINANCIAL INC	Y	CME	10/31/2002	185,294,903	88,437,707	83,630,496	96,857,196	2,028,565,233	328,316,901
89	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	10/31/2002	2,692,494	250,000	0	2,442,494	0	0
90	MAXCOR FINANCIAL INC	Y	NFA	10/31/2002	22,421,313	250,000	0	22,171,313	0	0
91	MBF CLEARING CORP	N	NYME	10/31/2002	6,322,238	2,258,849	674,140	4,063,389	56,378,433	618,074
92	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	10/31/2002	6,987,326	4,395,914	822,827	2,591,412	110,277,130	0
93	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	11/01/2002	2,920,381,624	302,440,895	202,060,297	2,617,940,729	4,597,437,855	366,121,735
94	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	11/01/2002	973,125,791	15,086,669	39,751,670	933,374,121	430,059,066	0

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		(a)	(b)			or \$250,000	(c)	(d)	(e)	(f)
95	MID-CO COMMODITIES INC	N	NFA	10/31/2002	3,429,739	601,454	1,140,737	2,289,002	15,840,814	0
96	MITSUI & CO INVESTMENT PRODUITS CORP	N	NFA	10/31/2002	2,530,608	250,000	7,152	2,280,608	1,377,300	0
97	MIZUHO SECURITIES USA INC	Y	CME	10/31/2002	90,604,476	11,280,774	17,416,267	73,188,209	294,150,891	31,110,800
98	MONEY GARDEN CORP	N	NFA	10/31/2002	2,730,736	250,000	0	2,480,736	0	0
99	MORGAN KEEGAN & COMPANY INC	Y	NFA	10/31/2002	150,183,359	9,596,813	0	140,586,546	0	0
100	MORGAN STANLEY & CO INCORPORATED	Y	CME	10/31/2002	4,834,907,699	705,014,786	0	4,129,892,913	2,928,864,119	930,510,286
101	MORGAN STANLEY DW INC	Y	CBOT	10/31/2002	1,301,203,010	95,962,191	2,411,993	1,205,240,819	618,133,540	992,659,517
102	NATIONAL COMMODITIES CORPORATION INC	N	NFA	10/31/2002	1,883,352	250,000	147,727	1,633,352	10,183,046	0
103	NEUBERGER BERMAN LLC	Y	NFA	10/31/2002	254,201,476	20,771,339	315,806	233,430,137	15,833,303	0
104	NEXUS TRADING LLC	N	NFA	10/31/2002	259,885	250,000	0	9,885	0	0
105	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	10/31/2002	8,536,776	250,000	0	8,286,776	0	0
106	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	10/31/2002	550,302,945	4,765,814	733,754	545,537,131	6,250,592	0
107	NOYES DAVID A	Y	NFA	10/31/2002	1,633,593	250,000	0	1,383,593	0	0
108	OCONNOR & COMPANY LLC	Y	CBOT	10/31/2002	35,411,383	2,370,633	1,901,839	33,040,750	61,837,518	0
109	PACKERS TRADING CO INC	N	NFA	10/31/2002	2,483,097	250,000	239,329	2,233,097	5,745,437	0
110	PATTERSON CAPITAL MARKETS LTD	N	CBOT	10/31/2002	486,650	250,000	0	236,650	0	0
111	PAX CLEARING CORPORATION	Y	CME	10/31/2002	56,095,312	1,658,805	903,738	54,436,507	42,382,262	0
112	PENSON FINANCIAL FUTURES INC	N	NFA	10/31/2002	468,381	250,000	10,012	218,381	311,045	0
113	PEREGRINE FINANCIAL GROUP INC	N	NFA	10/31/2002	10,792,876	3,411,084	1,677,251	7,381,792	87,570,520	1,164,299
114	PIONEER FUTURES INC	N	NYME	10/31/2002	5,905,084	2,679,878	1,219,757	3,225,206	73,470,975	1,044,766
115	PREFERRED TRADE INC	Y	NFA	10/31/2002	10,551,086	717,547	0	9,833,539	0	0
116	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	10/25/2002	538,041,000	63,690,280	49,064,680	474,350,720	978,175,000	74,235,000
117	RAND FINANCIAL SERVICES INC	N	CME	10/31/2002	13,698,973	5,572,615	10,320,172	3,378,801	138,421,900	16,364,900
118	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	10/25/2002	283,361,269	19,961,783	18,500	263,399,486	0	0
119	RB&H FINANCIAL SERVICES LP	N	CME	10/31/2002	3,110,325	1,774,963	1,046,237	1,335,362	47,728,262	250,025
120	RBC DAIN RAUSCHER INC	Y	NFA	10/31/2002	246,112,310	25,284,908	0	220,827,402	0	0
121	RBC DOMINION SECURITIES CORPORATION	Y	CME	10/31/2002	117,167,296	1,000,000	1,225,500	115,941,796	0	0
122	REFCO LLC	N	CME	10/31/2002	165,547,619	107,214,043	96,876,888	58,333,576	3,043,506,824	27,031,925
123	RJ OBRIEN ASSOCIATES INC	N	CME	10/31/2002	33,053,851	14,307,258	15,382,393	17,671,458	378,192,028	6,315,721
124	ROBBINS FUTURES INC	N	NFA	10/31/2002	483,220	263,107	62,525	220,113	6,775,934	0
125	ROSENTHAL COLLINS GROUP LLC	N	CME	10/31/2002	56,167,854	10,209,624	7,386,436	45,958,230	273,361,183	677,629
126	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	10/31/2002	13,233,457	1,239,024	0	11,994,433	0	0
127	ROTHSCHILD INC	N	NYME	10/31/2002	24,398,597	250,000		24,148,597	0	0
128	SALOMON SMITH BARNEY INC	Y	CBOT	10/31/2002	3,821,415,525	391,239,869	172,338,724	3,430,175,656	4,738,569,160	100,861,359
129	SANFORD C BERNSTEIN & CO LLC	Y	NFA	10/31/2002	166,523,303	10,123,317	0	156,399,986	0	0
130	SENTINEL MANAGEMENT GROUP INC	N	NFA	10/31/2002	1,019,688	250,000	0	769,688	552,932,808	0
131	SG COWEN SECURITIES CORPORATION	Y	NFA	10/31/2002	752,635,965	1,855,629	0	750,780,336	0	0
132	SHATKIN ARBOR KARLOV & CO	N	CBOT	10/31/2002	6,251,125	418,529	82,591	5,832,596	10,395,800	179,659
133	SHAY GRAIN CLEARING COMPANY	N	KCBT	09/30/2002	792,447	250,000	25,454	542,447	2,900,780	0
134	SHEPARD INTERNATIONAL INC	N	NFA	10/31/2002	2,168,107	250,000	0	1,918,107	0	0
135	SHERWOOD FUTURES GROUP LLC	N	NFA	10/31/2002	673,610	250,000	0	423,610	14,268,055	0
136	SIEGEL TRADING CO INC THE	N	NFA	10/31/2002	397,322	250,000	0	147,322	1,483,780	0
137	SMW TRADING COMPANY INC	N	CME	10/31/2002	7,219,430	563,124	333,763	6,656,306	14,078,098	0
138	SPEAR LEEDS & KELLOG	Y	NYME	10/25/2002	1,334,834,009	43,303,878	3,700,582	1,291,530,131	172,698,336	67,250
139	STAFF USA INC	N	NFA	10/31/2002	339,843	250,000	0	89,843	0	0
140	STEPHENS INC	Y	NFA	10/25/2002	62,936,129	10,286,119	0	52,650,010	0	0
141	STERLING COMMODITIES CORP	N	NYME	10/31/2002	5,598,689	813,371	240,976	4,785,318	20,365,263	630,464

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Commission as a securities broker or dealer.	based requirement.								
(b): DSRO: Designated Self-Regulatory Organization.									
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association Association, was effective 10/31/00.	(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.					Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: fzimmerle@cftc.gov or sgreska@cftc.gov.			