SELECTED FCM FINANCIAL DATA AS OF
February 28, 2018
FROM REPORTS FILED BY
March 29, 2018

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	02/28/2018	663,167,078	160,868,097	502,298,981	3,067,312,828	2,601,743,071	465,569,757	282,191,964	145,138,183	116,295,918	28,842,265	11,629,592	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	02/28/2018	332,961,042	160,567,051	172,393,991	4,266,364,508	4,056,987,632	209,376,876	120,000,000	391,529,392	342,750,341	48,779,051	20,000,000	13,406,748	3,898,145	9,508,603	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	02/28/2018	23,015,838	8,609,072	14,406,766	359,466,690	330,573,824	28,892,866	17,500,000	39,963,081	37,383,239	2,579,842	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	02/28/2018	3,293,921	1,000,000	2,293,921	54,093,052	50,506,995	3,586,057	900,000	3,192,958	2,844,782	348,176	150,000	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	02/28/2018	170,428,351	19,583,213	150,845,138	9,612,573	3,796,969	5,815,604	2,500,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	02/28/2018	6,389,424,635	1,099,780,716	5,289,643,919	5,236,796,682	4,733,334,738	503,461,944	284,473,418	3,344,296,640	3,094,244,742	250,051,898	150,380,295	5,103,425,185	4,601,862,227	501,562,958	182,693,930	0
7	BGC FINANCIAL LP	FCM BD	NFA	02/28/2018	34,308,840	1,886,299	32,422,541	0	0	0	0	0	0	0	0	0	0	0	0	0
8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	02/28/2018	1,294,324,047	372,321,512	922,002,535	3,298,735,949	2,727,274,678	571,461,271	150,000,000	280,082,621	169,773,439	110,309,182	30,000,000	905,991,077	756,558,244	149,432,833	120,000,000	0
9	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	02/28/2018	7,926,165	1,337,149	6,589,016	30,970,301	25,627,919	5,342,382	1,000,000	6	0	6	1	6	0	6	1	0
10	CANTOR FITZGERALD & CO	FCM BD	CBOT	02/28/2018	408,608,838	8,389,283	400,219,555	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
11	CHARLES SCHWAB FUTURES, INC.	FCM	NFA	02/28/2018	95,087,122	4,350,609	90,736,513	108,611,916	54,015,359	54,596,557	8,012,304	3,492,494	976,519	2,515,975	146,478	0	0	0	0	0
12	CHS HEDGING LLC	FCM	CBOT	02/28/2018	45,654,169	7,797,854	37,856,315	140,023,553	95,046,067	44,977,486	13,600,000	1,525,382	771	1,524,611	200,000	503,912	0	503,912	120,000	0
13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	02/28/2018	10,355,548,801	2,226,891,013	8,128,657,788	8,170,480,944	7,611,211,569	559,269,375	428,600,000	2,530,519,219	2,345,146,042	185,373,177	150,000,000	23,723,305,817	23,220,611,661	502,694,156	419,200,000	0
14	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	02/28/2018	9,365,456,988	1,430,893,872	7,934,563,116	6,019,760,515	5,663,956,928	355,803,587	283,197,846	3,857,930,562	3,656,536,305	201,394,257	182,826,815	10,808,248,517	10,019,440,663	788,807,854	500,972,033	0
15	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	02/28/2018	5,328,078	1,014,563	4,313,515	60,408,645	57,597,271	2,811,374	3,050,000	2,286,933	1,819,752	467,181	100,000	0	0	0	0	0
16	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	02/28/2018	663,785,475	8,647,470	655,138,005	2,175,672	0	2,175,672	1	588,065	0	588,065	1	0	0	0	0	0
17	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	02/28/2018	12,023,263,472	283,869,533	11,739,393,939	2,491,329,633	2,290,382,813	200,946,820	125,000,000	1,006,707,242	880,292,859	126,414,383	75,000,000	0	0	0	0	0
18	DORMAN TRADING LLC	FCM	CME	02/28/2018	13,583,296	1,000,000	12,583,296	148,523,715	143,802,528	4,721,187	2,000,000	3,925,064	3,380,021	545,043	200,000	0	0	0	0	0
19	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	02/28/2018	152,435,070	44,261,107	108,173,963	1,229,913,094	1,136,664,650	93,248,444	35,000,000	97,375,642	87,500,538	9,875,104	5,000,000	2,382,212	1,209,371	1,172,841	500,000	0
20	E*TRADE FUTURES LLC	FCM	NFA	02/28/2018	26,274,146	2,434,912	23,839,234	71,653,598	47,431,911	24,221,687	5,000,000	0	0	0	0	0	0	0	0	0
21	EFL FUTURES LIMITED	FCM	CME	02/28/2018	46,004,973	20,477,158	25,527,815	250,629,629	235,253,256	15,376,373	5,000,000	0	0	0	0	0	0	0	0	0
22	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	02/28/2018	47,766,685	33,439,387	14,327,298	229,368,551	214,303,782	15,064,769	10,000,000	4,668,842	3,224,243	1,444,599	1,000,000	0	0	0	0	243,369,855
23	GH FINANCIALS LLC	FCM	CME	02/28/2018	22,512,544	4,851,805	17,660,739	100,458,694	83,457,511	17,001,183	3,300,000	6,846,035	5,751,398	1,094,637	550,000	0	0	0	0	0
24	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	02/28/2018	15,231,719,976	2,538,529,579	12,693,190,397	19,883,165,478	18,675,261,465	1,207,904,013	675,000,000	11,761,700,371	11,183,111,533	578,588,838	475,000,000	5,338,146,813	4,859,127,524	479,019,289	350,000,000	0
25	HSBC SECURITIES USA INC	FCM BD	CME	02/28/2018	1,006,577,731	295,727,384	710,850,347	1,674,968,413	1,564,616,531	110,351,882	98,000,000	187,432,666	144,966,186	42,466,480	25,000,000	997,594,903	865,233,458	132,361,445	122,000,000	0
26	HUATAI FINANCIAL USA INC	FCM	NFA	02/28/2018	2,383,233	1,000,000	1,383,233	0	0	0	0	0	0	0	0	0	0	0	0	0
27	INTERACTIVE BROKERS LLC	FCM BD	CME	02/28/2018	4,210,658,988	457,915,582	3,752,743,406	4,729,550,461	4,526,912,789	202,637,672	155,000,000	603,223,480	478,189,735	125,033,745	80,000,000	0	0	0	0	42,719,094
28	INTL FCSTONE FINANCIAL INC	FCM BD	CME	02/28/2018	144,655,633	77,142,304	67,513,329	2,286,476,514	2,235,789,067	50,687,447	35,000,000	147,221,175	130,932,161	16,289,014	8,000,000	0	0	0	0	0
29	IRONBEAM INC	FCM	NFA	02/28/2018	2,793,559	1,000,000	1,793,559	67,833,283	66,045,589	1,787,694	800,000	404,595	251,157	153,438	50,000	0	0	0	0	0
30	JEFFERIES LLC	FCM BD	NFA	02/28/2018	1,463,370,256	89,929,269	1,373,440,987	0	0	0	0	0	0	0	0	0	0	0	0	0
31	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	02/28/2018	14,368,865,793	2,874,618,138	11,494,247,655	19,575,401,101	18,008,494,315	1,566,906,786	855,403,480	5,579,936,301	5,065,077,564	514,858,737	430,531,593	12,176,902,020	11,265,279,523	911,622,497	591,427,175	0
32	MACQUARIE FUTURES USA LLC	FCM	CBOT	02/28/2018	277,699,812	138,330,679	139,369,133	1,926,368,332	1,707,795,435	218,572,897	100,000,000	40,735,185	24,627,181	16,108,004	7,000,000	22,425,436	1,972,558	20,452,878	1,000,000	0
33	MAREX NORTH AMERICA LLC	FCM	CME	02/28/2018	21,915,845	14,379,928	7,535,917	182,183,566	179,544,662	2,638,904	1,820,000	55,288,515	53,501,920	1,786,595	1,100,000	0	0	0	0	0
34	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	02/28/2018	14,378,755	2,754,900	11,623,855	779,674,010	758,229,434	21,444,576	18,000,000	2,567,861	0	2,567,861	1,000,000	0	0	0	0	0
35	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	02/28/2018	12,008,422,762	1,726,679,772	10,281,742,990	15,209,819,944	14,830,673,823	379,146,121	200,000,000	4,263,992,352	4,061,942,547	202,049,805	150,000,000	6,502,515,912	6,178,853,131	323,662,781	150,000,000	0
36	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	02/28/2018	4,481,334,509	529,793,765	3,951,540,744	2,499,169,980	1,213,613,578	1,285,556,402	300,000,000	0	0	0	0	0	0	0	0	0
37	MID CO COMMODITIES INC	FCM	NFA	02/28/2018	8,855,795	3,469,154	5,386,641	32,955,256	23,153,062	9,802,194	5,138,000	0	0	0	0	0	0	0	0	0
38	MINT BROKERS	FCM BD	NFA	02/28/2018	4,726,975	1,000,000	3,726,975	0	0	0	0	0	0	0	0	0	0	0	0	0
39	MIZUHO SECURITIES USA LLC	FCM BD SD	CME/NFA	02/28/2018	761,557,843	238,678,153	522,879,690	3,469,849,000	3,268,424,902	201,424,098	125,000,000	692,913,343	567,268,870	125,644,473	70,000,000	33,961,445	3,894,334	30,067,111	15,000,000	0
40	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	02/28/2018	10,613,662,068	2,304,083,607	8,309,578,461	15,307,960,076	14,917,684,895	390,275,181	331,000,000	5,136,560,028	4,945,483,609	191,076,419	140,000,000	15,833,613,914	15,686,430,832	147,183,082	92,000,000	0
41	NANHUA USA LLC	FCM	CME	02/28/2018	18,139,392	1,521,266	16,618,126	50,776,375	38,054,457	12,721,918	3,500,000	0	0	0	0	0	0	0	0	0
42	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	02/28/2018	1,714,943,951	212,964,599	1,501,979,352	235,293,597	138,300,137	96,993,460	15,000,000	18,499,750	115,699	18,384,051	1,000,000	0	0	0	0	0
43	OANDA CORPORATION	FCMRFD	NFA	02/28/2018	81,911,804	51,993,481	29,918,323	0	0	0	0	0	0	0	0	0	0	0	0	172,088,595
44	PHILLIP CAPITAL INC	FCM BD	CME	02/28/2018	33,749,077	11,908,539	21,840,538	272,027,281	263,006,446	9,020,835	7,500,000	12,466,437	8,011,539	4,454,898	2,000,000	0	0	0	0	0
45	PICTET OVERSEAS INC	FCM BD	NFA	02/28/2018	16,110,152	1,000,000	15,110,152	1,801,121	300,390	1,500,731	1,500,000	500,000	0	500,000	500,000	0	0	0	0	0
46	RAND FINANCIAL SERVICES INC	FCM	CME	02/28/2018	88,191,727	10,464,136	77,727,591	150,707,400	110,394,800	40,312,600	3,311,800	34,161,800	9,918,900	24,242,900	297,600	0	0	0	0	0
47	RBC CAPITAL MARKETS LLC	FCM BD	CME	02/28/2018	1,807,849,560	173,108,127	1,634,741,433	2,282,781,770	1,831,432,437	451,349,333	120,000,000	192,835,013	132,048,842	60,786,171	20,000,000	447,712,566	312,661,583	135,050,983	40,000,000	0
48	RBS SECURITIES INC	FCM BD	CBOT	02/28/2018	1,814,981,017	38,198,017	1,776,783,000	690,471,003	535,129,618	155,341,385	125,000,000	39,785,228	7,669,121	32,116,107	25,000,000	0	0	0	0	0
49	RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	02/28/2018	201,439,035	125,722,463	75,716,572	3,909,920,572	3,819,831,908	90,088,664	50,000,000	216,498,783	192,313,458	24,185,325	20,000,000	0	0	0	0	0
50	ROSENTHAL COLLINS GROUP LLC	FCM	CME	02/28/2018	71,976,375	46,153,278	25,823,097	1,192,463,734	1,161,525,965	30,937,769	18,000,000	30,826,983	19,924,502	10,902,481	8,000,000	0	0	0	0	0
51	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	02/28/2018	217,293,670	50,000,000	167,293,670	624,451,459	612,949,577	11,501,882	10,000,000	0	0	0	0	0	0	0	0	0
52	SCOTIA CAPITAL USA INC	FCM BD	CME	02/28/2018	638,615,534	52,672,184	585,943,350	0	0	0	0	0	0	0	0	0	0	0	0	0
53	SG AMERICAS SECURITIES LLC	FCM BD	CME	02/28/2018	4,152,678,922	1,245,911,132	2,906,767,790	12,439,700,095	11,732,833,872	706,866,223	500,000,000	5,896,615,727	5,394,812,441	501,803,286	255,000,000	622,624,321	400,464,277	222,160,044	75,000,000	0
54	STRAITS FINANCIAL LLC	FCM	CME	02/28/2018	21,576,788	6,660,077	14,916,711	196,885,748	183,565,325	13,320,423	2,000,000	27,358,378	20,938,439	6,419,939	300,000	0	0	0	0	0
55	TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	02/28/2018	109,107,300	22,549,814	86,557,486	280,956,601	203,104,684	77,851,917	25,000,000	0	0	0	0	0	0	0	0	60,996,271
56	TRADESTATION SECURITIES INC	FCM BD	CME	02/28/2018	85,973,894	4,291,306	81,682,588	572,640,129	557,531,248	15,108,881	9,000,000	27,228,502	24,589,556	2,638,946	800,000	0	0	0	0	0
57	UBS FINANCIAL SERVICES INC	FCM BD	NFA	02/28/2018	1,162,506,635	134,111,836	1,028,394,799	141,444,195	107,759,704	33,684,491	22,000,000	33,824,017	5,492,192	28,331,825	15,000,000	0	0	0	0	0
58	UBS SECURITIES LLC	FCM BD	CBOT	02/28/2018	5,609,604,805	740,035,131	4,869,569,674	6,763,904,428	6,258,735,672	505,168,756	425,000,000	3,212,432,769	2,837,127,317	375,305,452	300,000,000	832,828,532	569,444,617	263,383,915	200,000,000	0
59	UOBBF CLEARING LIMITED	FCM	CME	02/28/2018	26,176,168	3,024,402	23,151,766	71,021,583	52,105,254	18,916,329	2,700,000	0	0	0	0	0	0	0	0	0
60	WEDBUSH SECURITIES INC	FCM BD	CBOT	02/28/2018	155,381,174	26,269,822	129,111,352	1,441,400,311	1,423,907,286	17,493,025	13,000,000	16,844,036	12,918,358	3,925,678	1,000,000	0	0	0	0	0
61	WELLS FARGO SECURITIES LLC	FCM BD	CME	02/28/2018	7,987,795,760	796,525,543	7,191,270,217	3,625,784,866	3,419,032,360	206,752,506	50,000,000	363,174,749	314,940,003	48,234,746	25,000,000	7,025,497,639	6,613,525,549	411,972,090	260,000,000	0
62	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	02/28/2018	5,281,069	1,000,000	4,281,069	43,599,825	39,406,237	4,193,588	2,000,000	1,566,748	1,176,928	389,820	200,000	0	0	0	0	0
	Totals							**157,965,068,170**	**146,628,116,395**	**11,336,951,775**	**6,087,998,813**	**50,316,663,153**	**46,385,270,667**	**3,931,392,486**	**2,689,962,375**	**90,391,086,975**	**85,360,467,697**	**5,030,619,278**	**3,127,913,139**	**519,173,815**

January Web Page Update 63

Additions 0

None

Deletions 1

BNP PARIBAS PRIME BROKERAGE INC

Name Changes

None

February Web Page Update 62

SELECTED FCM FINANCIAL DATA AS OF
February 28, 2018
FROM REPORTS FILED BY
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(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

(c): A firm's net capital requirement is the greater of:

- FCM minimum dollar amount ($1,000,000); or
- risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association); or
- for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.

(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.

(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.