

Commodity Futures Trading Commission  
CEA CASES

**NAME:** MILROSE BROKERAGE CO., INC., AND MILTON E. ROSENBERG

**DOCKET NUMBER:** 145

**DOCUMENT TYPE:** ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 145

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Milrose Brokerage Co., Inc.,

and Milton E. Rosenberg

(Pittsburgh, Pennsylvania)

The complaint charges Milrose Brokerage Co., Inc., and Milton E. Rosenberg with failing to treat and deal with customers' funds as belonging to such customers, failing to segregate and account separately for such funds, and using funds to margin the trades of persons other than those for whom such funds were held. The complaint also charges that the respondents failed to send customers required statements and failed to prepare and maintain records required under the Commodity Exchange Act.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, February 28, 1967, in Pittsburgh, Pennsylvania.

**LOAD-DATE:** June 12, 2008

