

Commodity Futures Trading Commission  
CEA CASES

**NAME:** GOFFE & CARKENER, INC. A. VINCENT BLACKFORD, AND DWIGHT H. WILLIAMS

**DOCKET NUMBER:** 188

**DOCUMENT TYPE:** ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 188

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Under Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Goffe & Carkener, Inc.

A. Vincent Blackford,

and Dwight H. Williams

(Kansas City, Missouri)

The complaint charges that on July 12, 1971, the respondent corporation, while registered as a futures commission merchant under the Act, mishandled securities which had been received from customers to margin and secure commodity accounts. It further charges that false statements were made on a financial report submitted to the Commodity Exchange Authority as of July 1, 1971.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, on February 16, 1972, in Kansas City, Missouri.

**LOAD-DATE:** June 16, 2008

