

Commodity Futures Trading Commission
CEA CASES

NAME: DONALD T. BARCLAY

DOCKET NUMBER: 184

DATE: DECEMBER 10, 1971

DOCUMENT TYPE: CEASE AND DESIST ORDER

Docket No. 184

Name: Donald T. Barclay

Effective On or about December 10, 1971

Effective upon the date of service of this order upon respondents Donald T. Barclay and Rittenhouse Investments, Inc., they shall cease and desist from: (1) entering into, or offering to enter into, any futures transaction subject to the Commodity Exchange Act if such transaction is, is of the character of, or is commonly known to the trade as, a "wash sale"; and (2) executing any futures transaction subject to the Commodity Exchange Act if such transaction is not executed openly and competitively in accordance with section 1.38 of the regulations issued under the Commodity Exchange Act (17 CFR 1.38).

Done at Washington, D. C.

DEC 7 1971

[SEE SIGNATURE IN ORIGINAL]

Judicial Officer

LOAD-DATE: June 16, 2008

