

SELECTED FCM FINANCIAL DATA AS OF
 SEPTEMBER 30, 2010
 FROM REPORTS FILED BY
 OCTOBER 31, 2010

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	ABN AMRO CLEARING CHICAGO LLC	Y	CBOT	09/30/2010	211,915,478	70,398,848	141,516,630	1,376,140,467	185,624,909
2	ADM INVESTOR SERVICES INC	N	CBOT	09/30/2010	193,120,374	123,709,114	69,411,260	2,244,333,429	48,771,195
3	ADVANCED MARKETS LLC	N	NFA	09/30/2010	20,722,088	20,000,000	722,088	0	0
4	ADVANTAGE FUTURES LLC	N	CME	09/30/2010	19,391,818	7,810,993	11,580,825	281,890,599	44,491,087
5	ADVANTAGE SECURITIES LLC	Y	NFA	09/30/2010	4,116,782	1,000,000	3,116,782	0	0
6	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	Y	NFA	09/30/2010	62,728,255	10,405,027	52,323,228	0	0
7	ALPARI (US) LLC	N	NFA	09/30/2010	20,715,725	20,000,000	715,725	0	0
8	AMERIPRISE FINANCIAL SERVICES INC	Y	NFA	09/30/2010	169,796,156	1,000,000	168,796,156	0	0
9	AMP GLOBAL CLEARING LLC	N	NFA	09/30/2010	1,357,082	1,000,000	357,082	0	0
10	BARCLAYS CAPITAL INC	Y	NYME	09/30/2010	6,490,880,293	663,182,494	5,827,697,799	4,497,618,711	1,865,772,880
11	BGC FINANCIAL LP	Y	NFA	09/30/2010	43,587,298	1,000,000	42,587,298	0	0
12	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	09/30/2010	368,560,155	187,098,758	181,461,397	1,147,756,006	377,342,378
13	BNP PARIBAS SECURITIES CORP	Y	CBOT	09/30/2010	1,909,927,129	77,621,805	1,832,305,324	522,472,500	0
14	BNY MELLON CLEARING LLC	N	CME	09/30/2010	28,503,019	3,684,720	24,818,299	0	0
15	CANTOR FITZGERALD & CO	Y	CBOT	09/30/2010	232,918,204	7,590,280	225,327,924	11,545,669	0
16	CAPITAL MARKET SERVICES LLC	N	NFA	09/30/2010	27,040,752	20,269,430	6,771,322	0	0
17	CIBC WORLD MARKETS CORP	Y	CME	09/30/2010	682,622,276	1,500,000	681,122,276	0	0
18	CITADEL SECURITIES LLC	Y	CME	09/30/2010	392,451,723	1,000,000	391,451,723	0	0
19	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	09/30/2010	8,994,873,955	765,864,038	8,229,009,917	10,222,461,596	1,326,180,310
20	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	09/30/2010	1,648,675	1,000,000	648,675	0	0
21	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	09/30/2010	3,323,171	1,000,000	2,323,171	0	0
22	COUNTRY HEDGING INC	N	NFA	09/30/2010	20,506,053	16,371,038	4,135,015	187,138,126	7,003
23	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	09/30/2010	10,025,622,053	923,451,607	9,102,170,446	4,962,302,712	1,657,556,041
24	CROSSLAND LLC	N	CBOT	09/30/2010	8,181,528	3,515,218	4,666,310	47,950,359	20,622,606
25	CUNNINGHAM COMMODITIES LLC	N	CBOT	09/30/2010	3,343,026	1,000,000	2,343,026	38,532,126	19,698
26	CX CAPITAL MARKETS LLC	N	NFA	09/30/2010	2,454,254	1,000,000	1,454,254	25,440,783	0
27	DAIWA CAPITAL MARKETS AMERICA INC	Y	CME	09/30/2010	203,545,523	1,000,000	202,545,523	3,699,113	40,979
28	DEUTSCHE BANK SECURITIES INC	Y	CBOT	09/30/2010	6,838,495,097	552,562,823	6,285,932,274	11,344,529,491	1,261,137,586
29	DORMAN TRADING LLC	N	CME	09/30/2010	6,441,337	1,000,000	5,441,337	91,314,341	502,273
30	EAGLE MARKET MAKERS INC	N	CBOT	09/30/2010	5,842,462	1,000,000	4,842,462	4,032,188	0
31	EASY FOREX US LTD	N	NFA	09/30/2010	2,884,838	1,000,000	1,884,838	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	09/30/2010	8,100,024	1,000,000	7,100,024	0	0
33	ENSKILDA FUTURES LTD	N	CME	09/30/2010	29,605,362	16,939,695	12,665,667	275,982,946	0
34	FARR FINANCIAL INC	N	NFA	09/30/2010	1,898,699	1,000,000	898,699	37,844,015	40,884
35	FCSTONE LLC	N	CME	09/30/2010	78,329,237	52,816,248	25,512,989	1,162,415,979	12,265,469
36	FOREX CAPITAL MARKETS LLC	N	NFA	09/30/2010	46,453,147	23,515,421	22,937,726	0	0
37	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	09/30/2010	20,884,038	20,000,000	884,038	0	0
38	FRIEDBERG MERCANTILE GROUP INC	N	NFA	09/30/2010	3,377,674	1,000,000	2,377,674	7,071,294	741,665
39	FRONTIER FUTURES INC	N	NFA	09/30/2010	1,761,325	1,290,372	470,953	33,173,247	0
40	FUTURES TECH LLC	N	NFA	09/30/2010	1,593,625	1,000,000	593,625	0	0
41	FX SOLUTIONS LLC	N	NFA	09/30/2010	35,233,844	20,294,728	14,939,116	0	0

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42	FXDIRECTDEALER LLC	N	NFA	09/30/2010	29,925,840	21,631,718	8,294,122	0	0
43	GAIN CAPITAL GROUP LLC	N	NFA	09/30/2010	63,122,954	25,838,610	37,284,344	0	0
44	GENESIS FUTURES LLC	N	NFA	09/30/2010	1,518,859	1,000,000	518,859	0	0
45	GLOBAL FUTURES & FOREX LTD	N	NFA	09/30/2010	83,532,776	25,815,252	57,717,524	249,585	190,557
46	GOLDMAN SACHS & CO	Y	CBOT	09/30/2010	10,539,780,563	1,926,914,060	8,612,866,503	19,461,599,120	6,748,256,680
47	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	09/30/2010	1,951,682,557	120,815,962	1,830,866,595	1,238,196,838	15,102,460
48	HSBC SECURITIES USA INC	Y	CME	09/30/2010	1,103,650,925	101,380,599	1,002,270,326	787,162,887	72,213,860
49	ICAP FUTURES LLC	N	NFA	09/30/2010	8,569,380	1,000,000	7,569,380	0	0
50	IG MARKETS INC	N	NFA	09/30/2010	30,152,445	20,000,000	10,152,445	48,254	0
51	IKON GLOBAL MARKETS INC	N	NFA	09/30/2010	21,570,827	20,254,434	1,316,393	14,988,285	108,434
52	INSTINET LLC	Y	NFA	09/30/2010	121,155,493	1,602,989	119,552,504	0	0
53	INSTITUTIONAL LIQUIDITY LLC	N	NFA	09/30/2010	1,129,367	1,000,000	129,367	0	0
54	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	09/30/2010	1,842,657	1,000,000	842,657	0	0
55	INTERACTIVE BROKERS LLC	Y	NFA	09/30/2010	1,077,688,730	133,180,032	944,508,698	604,879,197	190,988,055
56	INTERBANK FX LLC	N	NFA	09/30/2010	27,694,953	21,423,908	6,271,045	0	0
57	IRONBEAM INC	N	NFA	09/30/2010	1,460,670	1,000,000	460,670	0	0
58	ITG DERIVATIVES LLC	Y	NFA	09/30/2010	5,005,410	1,000,000	4,005,410	0	0
59	JP MORGAN CLEARING CORP	Y	CME	09/30/2010	5,280,418,816	1,631,593,681	3,648,825,135	454,722,397	278,043,232
60	JP MORGAN FUTURES INC	N	NYME	09/30/2010	2,447,637,464	1,195,995,289	1,251,642,175	15,542,226,756	1,713,138,553
61	JP MORGAN SECURITIES LLC	Y	NFA	09/30/2010	7,063,749,094	562,461,959	6,501,287,135	0	0
62	LEK SECURITIES CORPORATION	Y	NFA	09/30/2010	10,422,238	1,500,000	8,922,238	300,594	0
63	LIMESTONE TRADING LLC	N	NFA	09/30/2010	5,463,004	1,000,000	4,463,004	0	0
64	LINN GROUP THE	N	NFA	09/30/2010	2,086,320	1,243,706	842,614	31,259,806	116,720
65	LPL FINANCIAL CORPORATION	Y	NFA	09/30/2010	109,903,877	6,359,632	103,544,245	0	0
66	MACQUARIE FUTURES USA INC	N	CBOT	09/30/2010	74,228,603	46,293,517	27,935,086	787,230,664	15,559,947
67	MAREX USA LIMITED	N	NFA	09/30/2010	2,816,836	1,000,000	1,816,836	0	0
68	MB TRADING FUTURES INC	N	NFA	09/30/2010	25,217,376	20,000,000	5,217,376	0	0
69	MBF CLEARING CORP	N	NYME	09/30/2010	11,098,268	1,170,015	9,928,253	74,763,900	6,450,568
70	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	09/30/2010	11,339,490	3,790,592	7,548,898	641,628,808	0
71	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	09/30/2010	5,337,799,572	685,852,820	4,651,946,752	9,045,888,235	1,861,565,663
72	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	09/30/2010	2,246,977,853	183,915,638	2,063,062,215	1,061,034,091	31,055,052
73	MF GLOBAL INC	Y	CME	09/30/2010	631,494,532	431,626,813	199,867,719	8,622,334,333	551,777,871
74	MG FINANCIAL LLC	N	NFA	09/30/2010	5,100,104	1,000,000	4,100,104	0	0
75	MID CO COMMODITIES INC	N	NFA	09/30/2010	9,429,896	5,950,232	3,479,664	60,275,099	0
76	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	09/30/2010	84,202,325	2,681,329	81,520,996	0	0
77	mitsui BUSSAN COMMODITIES USA INC	N	NYME	09/30/2010	9,326,028	1,000,000	8,326,028	0	0
78	MIZUHO SECURITIES USA INC	Y	CME	09/30/2010	456,391,491	65,924,504	390,466,987	736,688,012	333,771,160
79	MORGAN KEEGAN & COMPANY INC	Y	NFA	09/30/2010	396,216,188	9,268,414	386,947,774	0	0
80	MORGAN STANLEY & CO INCORPORATED	Y	CME	09/30/2010	8,067,214,535	1,154,981,492	6,912,233,043	4,704,599,301	2,009,962,005
81	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	09/30/2010	1,386,751,745	44,506,588	1,342,245,157	611,979,346	1,365,594,186
82	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	09/30/2010	223,247,593	1,000,000	222,247,593	0	0
83	NEUBERGER BERMAN LLC	Y	NFA	09/30/2010	78,966,444	1,500,000	77,466,444	0	0

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84	NEWEDGE USA LLC	Y	NYME	09/30/2010	2,449,690,089	1,411,130,737	1,038,559,352	20,117,843,262	6,235,290,548
85	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	09/30/2010	1,163,845,174	20,248,850	1,143,596,324	92,671,531	3,447,631
86	OANDA CORPORATION	N	NFA	09/30/2010	166,879,414	30,252,351	136,627,063	0	0
87	OPEN E CRY LLC	N	NFA	09/30/2010	3,127,311	1,000,000	2,127,311	85,047,963	661,179
88	OPPENHEIMER & CO INC	Y	NFA	09/30/2010	175,607,591	23,621,913	151,985,678	19,979,007	0
89	OPTIONSXPRESS INC	Y	NFA	09/30/2010	119,335,480	8,985,087	110,350,393	76,481,562	986,014
90	PENSON GHCO	N	CBOT	09/30/2010	25,503,174	22,096,406	3,406,768	615,879,523	25,191,940
91	PEREGRINE FINANCIAL GROUP INC	N	NFA	09/30/2010	34,771,445	20,000,000	14,771,445	444,022,472	26,762,661
92	PIONEER FUTURES INC	N	NFA	09/30/2010	2,418,199	1,000,000	1,418,199	0	0
93	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	09/30/2010	213,166,000	171,540,560	41,625,440	2,550,239,000	261,242,000
94	RAND FINANCIAL SERVICES INC	N	CME	09/30/2010	70,100,107	15,869,907	54,230,200	211,405,100	38,879,500
95	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	09/30/2010	253,341,595	29,169,466	224,172,129	0	0
96	RBC CAPITAL MARKETS CORPORATION	Y	CME	09/30/2010	999,353,959	74,364,171	924,989,788	384,066,638	83,296,142
97	RBS SECURITIES INC	Y	CBOT	09/30/2010	1,785,012,000	179,723,000	1,605,289,000	1,516,313,000	51,173,000
98	RJ OBRIEN ASSOCIATES LLC	N	CME	09/30/2010	120,254,158	82,219,948	38,034,210	1,806,474,787	55,144,007
99	ROSENTHAL COLLINS GROUP LLC	N	CME	09/30/2010	66,533,907	42,681,082	23,852,825	1,335,293,183	28,547,308
100	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	09/30/2010	25,852,472	1,000,000	24,852,472	0	0
101	SANFORD C BERNSTEIN & CO LLC	Y	NFA	09/30/2010	158,901,242	14,357,043	144,544,199	0	0
102	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	09/30/2010	107,738,038	1,100,740	106,637,298	0	0
103	SMW TRADING COMPANY INC	N	CME	09/30/2010	24,837,196	1,000,000	23,837,196	2,352,161	0
104	STATE STREET GLOBAL MARKETS LLC	Y	CME	09/30/2010	371,962,956	12,278,701	359,684,255	12,349,511	21,865
105	STEPHENS	Y	NFA	09/30/2010	69,998,743	12,950,980	57,047,763	0	0
106	STERLING COMMODITIES CORP	N	NYME	09/30/2010	7,893,582	1,934,001	5,959,581	52,144,752	289,497
107	TCA FUTURES LLC	N	NFA	09/30/2010	1,582,649	1,000,000	582,649	0	0
108	TD AMERITRADE INC	Y	NFA	09/30/2010	166,642,951	1,000,000	165,642,951	0	0
109	TENCO INC	N	CBOT	09/30/2010	53,551,648	8,144,998	45,406,650	270,920,061	0
110	TERRA NOVA FINANCIAL LLC	Y	NFA	09/30/2010	9,776,674	1,500,000	8,276,674	0	0
111	TIMBER HILL LLC	Y	CME	09/30/2010	901,341,641	42,823,404	858,518,237	493,558,910	0
112	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	09/30/2010	5,484,084	1,000,000	4,484,084	0	0
113	TRADELINK LLC	Y	CBOT	09/30/2010	23,245,647	1,000,000	22,245,647	0	0
114	TRADESTATION SECURITIES INC	Y	NFA	09/30/2010	71,916,006	2,963,831	68,952,175	387,286,821	1,431,052
115	TRADITION SECURITIES AND FUTURES INC	N	NFA	09/30/2010	1,559,827	1,000,000	559,827	0	0
116	TRILAND USA INC	N	NYME	09/30/2010	23,016,594	16,995,902	6,020,692	229,071,271	19,250,316
117	UBS FINANCIAL SERVICES INC	Y	NFA	09/30/2010	1,106,194,587	92,658,988	1,013,535,599	221,787,183	1,966,550
118	UBS SECURITIES LLC	Y	CBOT	09/30/2010	10,728,178,253	938,982,264	9,789,195,989	9,689,823,467	4,468,145,437
119	VELOCITY FUTURES LLC	N	NFA	09/30/2010	1,815,267	1,000,000	815,267	57,620,873	6,986,475
120	VISION FINANCIAL MARKETS LLC	Y	CME	09/30/2010	22,173,920	9,808,803	12,365,117	361,387,453	7,246,489
121	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	09/30/2010	26,555,237	3,193,549	23,361,688	0	0
122	WELLS FARGO ADVISORS LLC	Y	NFA	09/30/2010	559,610,225	1,500,000	558,110,225	0	0
123	WELLS FARGO SECURITIES LLC	Y	CME	09/30/2010	1,193,120,732	4,563,591	1,188,557,141	0	0
124	WHITE COMMERCIAL CORPORATION	N	NFA	09/30/2010	2,780,057	1,000,000	1,780,057	0	0
125	WSD FINANCIAL USA INC	N	NFA	09/30/2010	1,484,559	1,000,000	484,559	0	0

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126	YORK BUSINESS ASSOCIATES LLC	N	NFA	09/30/2010	2,039,918	1,000,000	1,039,918	63,152,303	1,592,140
	Totals							144,104,872,999	33,312,563,717

