

SELECTED FCM FINANCIAL DATA AS OF
August 31, 2009
FROM REPORTS FILED BY
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	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required	Customer Amount
		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
1	3DFOREX LLC	N	NFA	08/31/2009	3,142,269	500,000	2,642,269	0	0
2	ACM USA LLC	N	NFA	08/31/2009	770,704	500,000	270,704	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	08/31/2009	163,136,302	85,418,810	77,717,492	1,982,910,714	68,239,951
4	ADVANCED MARKETS LLC	N	NFA	08/31/2009	20,650,540	20,000,000	650,540	0	0
5	ADVANTAGE FUTURES LLC	N	CME	08/31/2009	13,234,002	6,836,007	6,397,995	338,723,628	22,560,211
6	AIG CLEARING CORPORATION	N	NYME	08/31/2009	221,549,640	938,275	220,611,365	0	0
7	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	06/30/2009	20,380,910	6,459,884	13,921,026	0	0
8	ALPARI (US) LLC	N	NFA	08/31/2009	21,409,108	20,000,000	1,409,108	0	0
9	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	08/31/2009	72,009,927	6,079,156	65,930,771	0	0
10	BANC OF AMERICA SECURITIES LLC	Y	CME	08/31/2009	3,396,757,436	96,617,220	3,300,140,216	0	0
11	BARCLAYS CAPITAL INC	Y	NYME	08/31/2009	6,604,274,370	508,741,512	6,095,532,858	4,875,668,265	1,759,657,525
12	BGC FINANCIAL LP	Y	NFA	08/31/2009	34,564,868	500,000	34,064,868	0	0
13	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	08/31/2009	267,066,857	198,293,299	68,773,558	2,046,391,373	619,900,884
14	BNP PARIBAS SECURITIES CORP	Y	CBOT	08/31/2009	1,344,501,635	87,926,274	1,256,575,361	312,446,452	0
15	CADENT FINANCIAL SERVICES LLC	N	CME	08/31/2009	2,526,488	1,820,835	705,653	69,425,999	920,637
16	CANTOR FITZGERALD & CO	Y	CBOT	08/31/2009	180,479,545	2,033,037	178,446,508	10,721,005	0
17	CAPITAL MARKET SERVICES LLC	N	NFA	08/31/2009	25,428,571	20,000,000	5,428,571	0	0
18	CIBC WORLD MARKETS CORP	Y	CME	08/31/2009	1,333,036,971	1,500,000	1,331,536,971	0	0
19	CITADEL SECURITIES LLC	Y	CME	08/31/2009	470,217,839	1,000,000	469,217,839	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	08/31/2009	9,269,113,597	749,376,063	8,519,737,534	10,995,051,694	1,261,506,608
21	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	08/31/2009	807,045	500,000	307,045	0	0
22	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	08/31/2009	3,047,100	500,000	2,547,100	0	0
23	COUNTRY HEDGING INC	N	NFA	08/31/2009	13,284,912	7,244,512	6,040,400	116,103,522	22,147
24	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	08/31/2009	8,745,448,179	638,901,045	8,106,547,134	3,389,132,633	1,378,629,546
25	CROSSLAND LLC	N	CBOT	08/31/2009	5,883,412	5,086,286	797,126	47,546,701	38,963,448
26	CUNNINGHAM COMMODITIES LLC	N	CBOT	08/31/2009	3,297,456	638,868	2,658,588	31,353,504	33,656
27	CX CAPITAL MARKETS LLC	N	NFA	08/31/2009	772,735	500,000	272,735	6,204,968	0
28	DAIWA SECURITIES AMERICA INC	Y	CME	08/31/2009	262,918,389	1,000,000	261,918,389	3,867,160	46,698
29	DEUTSCHE BANK SECURITIES INC	Y	CBOT	08/31/2009	7,404,705,174	443,484,411	6,961,220,763	10,397,974,385	1,240,185,312
30	DORMAN TRADING LLC	N	CME	08/31/2009	7,885,094	856,594	7,028,500	89,912,578	74,443
31	EAGLE MARKET MAKERS INC	N	CBOT	08/31/2009	5,252,334	500,000	4,752,334	4,888,637	0
32	EASY FOREX US LTD	N	NFA	08/31/2009	20,490,925	20,000,000	490,925	0	0
33	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	08/31/2009	9,268,536	500,000	8,768,536	0	0
34	ENSKILDA FUTURES LTD	N	CME	08/31/2009	23,506,396	13,404,170	10,102,226	225,152,783	0
35	FARR FINANCIAL INC	N	NFA	08/31/2009	922,411	548,551	373,860	33,657,661	0
36	FCSTONE LLC	N	CME	08/31/2009	63,102,331	36,390,702	26,711,629	888,167,803	8,542,429
37	FOREX CAPITAL MARKETS LLC	N	NFA	08/31/2009	67,728,355	20,000,000	47,728,355	0	0
38	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	08/31/2009	24,664,036	20,000,000	4,664,036	0	0
39	FORTIS CLEARING AMERICAS LLC	Y	CBOT	08/31/2009	202,475,796	48,464,516	154,011,280	1,042,815,569	72,248,420
40	FRIEDBERG MERCANTILE GROUP INC	N	NFA	08/31/2009	3,368,741	500,000	2,868,741	3,955,056	0
41	FRONTIER FUTURES INC	N	NFA	08/31/2009	1,167,919	703,642	464,277	26,440,320	0

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		(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)
42	FUTURES TECH LLC	N	NFA	08/31/2009	862,393	500,000	362,393	0	0
43	FX SOLUTIONS LLC	N	NFA	08/31/2009	45,800,258	20,000,000	25,800,258	0	0
44	GAIN CAPITAL GROUP LLC	N	NFA	08/31/2009	81,436,967	20,000,000	61,436,967	0	0
45	GENESIS FUTURES LLC	N	NFA	08/31/2009	762,717	500,000	262,717	0	0
46	GFS FOREX & FUTURES INC	N	NFA	08/31/2009	3,531,116	500,000	3,031,116	0	0
47	GILDER GAGNON HOWE & CO LLC	Y	NFA	08/31/2009	17,467,343	500,000	16,967,343	0	0
48	GLOBAL FUTURES & FOREX LTD	N	NFA	08/31/2009	76,429,474	20,000,000	56,429,474	360,304	194,696
49	GOLDMAN SACHS & CO	Y	CBOT	08/28/2009	14,170,276,406	1,871,832,523	12,298,443,883	17,899,999,023	7,131,464,313
50	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	08/28/2009	1,935,210,696	110,613,016	1,824,597,680	1,120,955,835	22,127,838
51	HOTSPOT FXR LLC	N	NFA	08/31/2009	6,350,154	500,000	5,850,154	0	0
52	HSBC SECURITIES USA INC	Y	CME	08/31/2009	1,455,007,379	73,576,879	1,381,430,500	855,575,946	68,836,036
53	I TRADE FX LLC	N	NFA	08/31/2009	348,228	500,000	-151,772	0	0
54	ICAP FUTURES LLC	N	NFA	08/31/2009	14,203,906	500,000	13,703,906	0	0
55	IG MARKETS INC	N	NFA	08/31/2009	30,982,387	20,000,000	10,982,387	0	0
56	IKON GLOBAL MARKETS INC	N	NFA	08/31/2009	21,226,238	20,000,000	1,226,238	1,748,108	1,139,335
57	INSTINET LLC	Y	NFA	08/31/2009	119,967,127	1,602,952	118,364,175	0	0
58	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	08/31/2009	1,300,913	500,000	800,913	0	0
59	INTERACTIVE BROKERS LLC	Y	NFA	08/31/2009	720,687,742	70,094,010	650,593,732	344,428,215	121,453,529
60	INTERBANK FX LLC	N	NFA	08/31/2009	35,064,682	20,000,000	15,064,682	0	0
61	ITG DERIVATIVES LLC	Y	NFA	08/31/2009	3,050,727	607,765	2,442,962	0	0
62	JP MORGAN CLEARING CORP	Y	CME	08/31/2009	5,398,337,223	1,489,324,827	3,909,012,396	643,744,234	208,898,164
63	JP MORGAN FUTURES INC	N	NYME	08/31/2009	2,196,103,038	1,045,010,462	1,151,092,576	14,474,230,255	2,144,747,540
64	JP MORGAN SECURITIES INC	Y	NFA	08/31/2009	8,952,226,063	561,293,575	8,390,932,488	0	0
65	LADENBURG THALMANN & CO INC	Y	NFA	08/31/2009	1,363,905	500,000	863,905	0	0
66	LEK SECURITIES CORPORATION	Y	NFA	08/31/2009	11,957,071	1,500,000	10,457,071	167,319	0
67	LIMESTONE TRADING LLC	N	NFA	08/31/2009	15,931,255	500,000	15,431,255	0	0
68	LINN GROUP THE	N	NFA	08/31/2009	2,431,631	608,363	1,823,268	33,301,638	2,505
69	LPL FINANCIAL CORPORATION	Y	NFA	08/31/2009	133,527,735	5,768,338	127,759,397	0	0
70	MACQUARIE FUTURES USA INC	N	CBOT	08/31/2009	70,665,037	40,279,265	30,385,772	669,694,051	397,000
71	MAREX USA LIMITED	N	NFA	08/31/2009	839,075	500,000	339,075	0	0
72	MB TRADING FUTURES INC	N	NFA	08/31/2009	21,990,965	20,000,000	1,990,965	0	0
73	MBF CLEARING CORP	N	NYME	08/31/2009	11,068,838	2,013,155	9,055,683	83,764,067	7,925,202
74	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	08/31/2009	7,803,616	2,052,642	5,750,974	558,357,730	0
75	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	08/31/2009	5,184,465,139	630,045,844	4,554,419,295	8,523,986,941	1,222,924,068
76	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	08/31/2009	1,405,474,313	98,270,428	1,307,203,885	1,254,096,337	41,004,155
77	MF GLOBAL INC	Y	CME	08/31/2009	589,104,997	353,785,396	235,319,601	7,877,848,837	441,644,476
78	MG FINANCIAL LLC	N	NFA	08/31/2009	5,010,681	500,000	4,510,681	0	0
79	MID CO COMMODITIES INC	N	NFA	08/31/2009	11,154,988	2,274,174	8,880,814	26,233,152	0
80	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	08/31/2009	76,589,705	1,030,142	75,559,563	0	0
81	MITSUMI BUSSAN COMMODITIES USA INC	N	NYME	08/31/2009	7,439,391	2,294,255	5,145,136	0	0
82	MIZUHO SECURITIES USA INC	Y	CME	08/31/2009	319,454,943	44,891,981	274,562,962	674,148,472	301,230,649
83	MORGAN KEEGAN & COMPANY INC	Y	NFA	08/31/2009	359,766,301	9,145,496	350,620,805	0	0

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84	MORGAN STANLEY & CO INCORPORATED	Y	CME	08/31/2009	10,022,172,589	1,029,351,352	8,992,821,237	4,763,059,818	3,747,090,604
85	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	08/31/2009	402,379,429	500,000	401,879,429	0	0
86	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	08/31/2009	216,972,828	500,000	216,472,828	0	0
87	NEUBERGER BERMAN LLC	Y	NFA	08/31/2009	67,880,403	1,500,000	66,380,403	0	0
88	NEWEDGE USA LLC	Y	NYME	08/31/2009	2,419,510,957	1,265,135,954	1,154,375,003	19,160,393,574	7,353,870,225
89	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	08/31/2009	1,076,979,661	1,882,581	1,075,097,080	0	0
90	OANDA CORPORATION	N	NFA	08/31/2009	162,306,790	20,000,000	142,306,790	0	0
91	OPEN E CRY LLC	N	NFA	08/31/2009	2,814,766	737,421	2,077,345	70,107,929	719,919
92	OPPENHEIMER & CO INC	Y	NFA	08/31/2009	169,285,224	19,912,700	149,372,524	20,513,594	0
93	OPTIONSXPRESS INC	Y	NFA	08/31/2009	84,342,894	13,589,616	70,753,278	56,088,603	919,968
94	PENSON GHCO	N	CBOT	08/31/2009	19,637,862	13,676,610	5,961,252	385,858,535	27,936,601
95	PEREGRINE FINANCIAL GROUP INC	N	NFA	08/31/2009	33,291,134	20,000,000	13,291,134	318,717,499	31,038,956
96	PIONEER FUTURES INC	N	NYME	08/31/2009	1,266,331	500,000	766,331	0	0
97	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	08/31/2009	231,435,000	176,912,200	54,522,800	2,799,001,000	351,240,000
98	RAND FINANCIAL SERVICES INC	N	CME	08/31/2009	57,583,869	8,394,920	49,188,949	97,352,000	29,549,100
99	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	08/31/2009	326,596,275	25,469,508	301,126,767	0	0
100	RBC CAPITAL MARKETS CORPORATION	Y	CME	08/31/2009	1,098,374,280	106,881,781	991,492,499	845,477,532	1,237,701,551
101	RBS SECURITIES INC	Y	CBOT	08/31/2009	1,996,270,722	175,735,000	1,820,535,722	1,555,302,000	64,580,000
102	RJ OBRIEN ASSOCIATES LLC	N	CME	08/31/2009	112,500,433	61,768,993	50,731,440	2,038,596,835	33,710,735
103	ROBBINS FUTURES INC	N	NFA	08/31/2009	593,914	500,000	93,914	2,350,556	0
104	ROSENTHAL COLLINS GROUP LLC	N	CME	08/31/2009	60,006,754	31,432,238	28,574,516	1,124,769,554	24,616,026
105	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	08/31/2009	6,383,483	881,114	5,502,369	0	0
106	SANFORD C BERNSTEIN & CO LLC	Y	NFA	08/31/2009	135,709,729	10,209,033	125,500,696	0	0
107	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	08/31/2009	83,608,331	862,557	82,745,774	0	0
108	SHAY GRAIN CLEARING COMPANY	N	KCBT	08/31/2009	2,576,852	500,000	2,076,852	13,327,817	0
109	SMW TRADING COMPANY INC	N	CME	08/31/2009	32,899,959	500,000	32,399,959	5,227,894	0
110	STEPHENS INC	Y	NFA	08/31/2009	50,140,477	11,824,624	38,315,853	0	0
111	STERLING COMMODITIES CORP	N	NYME	08/31/2009	8,532,906	500,000	8,032,906	28,645,901	814,009
112	TCA FUTURES LLC	N	NFA	08/31/2009	825,232	500,000	325,232	0	0
113	TD AMERITRADE INC	Y	NFA	08/31/2009	194,001,715	500,000	193,501,715	0	0
114	TENCO INC	N	CBOT	08/31/2009	46,385,668	7,164,553	39,221,115	160,302,329	0
115	TERRA NOVA FINANCIAL LLC	Y	NFA	08/31/2009	11,520,314	1,500,000	10,020,314	0	0
116	TIMBER HILL LLC	Y	CME	08/31/2009	1,210,682,804	19,869,018	1,190,813,786	239,275,595	0
117	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	08/31/2009	2,717,615	500,000	2,217,615	0	0
118	TRADELINK LLC	Y	CBOT	08/31/2009	25,252,884	516,849	24,736,035	0	0
119	TRADESTATION SECURITIES INC	Y	NFA	08/31/2009	93,111,559	1,721,631	91,389,928	0	0
120	TRADITION SECURITIES AND FUTURES INC	N	NFA	08/31/2009	796,198	500,000	296,198	0	0
121	TRANSMARKET GROUP LLC	Y	CBOT	08/31/2009	14,012,522	500,000	13,512,522	0	0
122	TRILAND USA INC	N	NYME	08/31/2009	38,233,470	10,776,466	27,457,004	156,555,811	39,227,965
123	UBS FINANCIAL SERVICES INC	Y	NFA	08/31/2009	891,265,650	93,029,521	798,236,129	131,770,111	2,021,628
124	UBS SECURITIES LLC	Y	CBOT	08/31/2009	13,194,590,871	939,873,793	12,254,717,078	10,093,787,745	6,558,603,019
125	VELOCITY FUTURES LLC	N	NFA	08/31/2009	1,103,689	500,000	603,689	37,559,773	1,028,538

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								4d(a)(2)	Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
126	VISION FINANCIAL MARKETS LLC	Y	CME	08/31/2009	22,611,485	6,685,777	15,925,708	272,778,377	1,694,926
127	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	08/31/2009	16,006,880	2,264,586	13,742,294	0	0
128	WELLS FARGO ADVISORS LLC	Y	NFA	08/31/2009	743,193,257	1,500,000	741,693,257	0	0
129	WELLS FARGO SECURITIES LLC	Y	CME	08/31/2009	2,304,570,145	1,066,062	2,303,504,083	0	0
130	WHITE COMMERCIAL CORPORATION	N	NFA	08/31/2009	2,302,365	500,000	1,802,365	0	0
131	WSD FINANCIAL USA INC	N	NFA	08/31/2009	1,247,827	500,000	747,827	0	0
132	YORK BUSINESS ASSOCIATES LLC	N	NFA	08/31/2009	1,607,169	500,000	1,107,169	46,758,216	1,384,617
	Totals							136,404,731,472	37,693,269,808

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Futures Commission Merchant							4d(a)(2)	Pt. 30 Required
	(a)	(b)			(c)	(d)	(e)	(f)
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CITADEL SECURITIES LLC								
COMPASS PROFESSIONAL SERVICES LLC								
Deletions	2							
ALARON TRADING CORPORATION								
PUMA FINANCIAL LLC								
Name Change								
None								
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*Allianz Global Investors Distributors LLC files financial statements quarterly.								
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.								
(b): DSRO: Designated Self-Regulatory Organization.								
(c): A firm's net capital requirement is the greater of								
(1) \$250,000; or								
(2) risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 4% of total noncustomer risk maintenance margin; or								
(3) The amount of capital required by a registered futures association (currently NFA is the only such association);								
(4) for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission.								

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