

SELECTED FCM FINANCIAL DATA AS OF
May 31, 2011
FROM REPORTS FILED BY
June 30, 2011

1 of 4

		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
	Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation
								(e)	(f)	(g)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	05/31/2011	208,222,542	118,694,028	89,528,514	2,055,992,855	331,773,040	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	05/31/2011	294,090,005	155,756,113	138,333,892	2,908,772,351	71,246,982	0
3	ADVANCED MARKETS LLC	RFED	NFA	05/31/2011	20,146,125	20,000,000	146,125	0	0	2,486,558
4	ADVANTAGE FUTURES LLC	FCM	CME	05/31/2011	21,546,340	11,588,925	9,957,415	413,501,953	39,808,039	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	03/31/2011	106,652,708	6,172,937	100,479,771	0	0	0
6	ALPARI (US) LLC	RFED	NFA	05/31/2011	25,435,860	20,000,000	5,435,860	0	0	9,965,122
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	05/31/2011	147,462,286	1,000,000	146,462,286	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	05/31/2011	1,450,489	1,000,000	450,489	27,786,630	408,215	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	05/31/2011	5,379,878,640	838,616,697	4,541,261,943	6,146,030,771	2,327,761,882	0
10	BGC FINANCIAL LP	FCM BD	NFA	05/31/2011	58,405,958	1,000,000	57,405,958	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	05/31/2011	344,683,570	244,355,520	100,328,050	1,425,073,851	531,332,412	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	05/31/2011	1,700,499,040	110,823,123	1,589,675,917	468,804,580	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	05/31/2011	127,410,375	4,957,966	122,452,409	33,220,681	0	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	05/31/2011	151,061,414	7,688,821	143,372,593	830,446	0	0
15	CAPITAL MARKET SERVICES LLC	RFED	NFA	05/31/2011	2,751,445	1,000,000	1,751,445	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	05/31/2011	463,749,010	1,500,000	462,249,010	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	05/31/2011	393,201,977	1,131,478	392,070,499	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	05/31/2011	7,368,873,729	723,449,192	6,645,424,537	11,130,063,056	1,491,614,670	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	05/31/2011	1,830,695	1,000,000	830,695	0	0	0
20	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	05/31/2011	3,914,385	1,000,000	2,914,385	0	0	0
21	COUNTRY HEDGING INC	FCM	NFA	05/31/2011	27,682,798	22,115,950	5,566,848	290,409,004	116,561	0
22	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	05/31/2011	7,846,490,221	1,130,349,103	6,716,141,118	5,933,744,981	1,864,003,158	0
23	CROSSLAND LLC	FCM	CBOT	05/31/2011	10,812,426	4,938,484	5,873,942	67,724,447	38,529,046	0
24	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	05/31/2011	3,355,742	1,057,916	2,297,826	56,197,361	194,865	0
25	CX CAPITAL MARKETS LLC	FCM	NFA	05/31/2011	2,783,273	1,000,000	1,783,273	26,713,332	0	0
26	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	05/31/2011	297,376,371	1,419,628	295,956,743	4,570,335	40,979	0
27	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	05/31/2011	6,689,883,036	736,429,170	5,953,453,866	16,224,918,468	1,385,669,728	0
28	DORMAN TRADING LLC	FCM	CME	05/31/2011	10,160,206	1,000,000	9,160,206	102,137,541	1,628,483	0
29	EAGLE MARKET MAKERS INC	FCM	CBOT	05/31/2011	6,589,646	1,000,000	5,589,646	1,682,649	0	0
30	EASY FOREX US LTD	FCM	NFA	05/31/2011	1,844,916	1,000,000	844,916	0	0	0
31	ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD	NFA	05/31/2011	4,497,060	1,000,000	3,497,060	0	0	0
32	ENSKILDA FUTURES LTD	FCM	CME	05/31/2011	30,077,683	19,746,515	10,331,168	301,824,561	0	0
33	FCSTONE LLC	FCM	CME	05/31/2011	101,323,654	71,864,969	29,458,685	1,580,901,694	21,754,631	3,911,524
34	FOREX CAPITAL MARKETS LLC	RFED	NFA	05/31/2011	73,732,988	26,304,344	47,428,644	0	0	136,086,878
35	FOREX CLUB LLC	RFED	NFA	05/31/2011	20,039,706	20,000,000	39,706	0	0	2,989,895
36	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	05/31/2011	3,386,657	1,000,000	2,386,657	9,533,396	1,134,676	0
37	FRONTIER FUTURES INC	FCM	NFA	05/31/2011	1,956,828	1,201,231	755,597	37,796,699	0	0
38	FUTURES TECH LLC	FCM	NFA	05/31/2011	1,581,389	1,000,000	581,389	0	0	0
39	FX SOLUTIONS LLC	RFED	NFA	05/31/2011	38,509,672	20,383,010	18,126,662	0	0	17,660,196
40	FXDIRECTDEALER LLC	RFED	NFA	05/31/2011	24,396,671	21,382,649	3,014,022	0	0	37,652,988
41	GAIN CAPITAL GROUP LLC	RFED	NFA	05/31/2011	62,623,823	25,066,173	37,557,650	0	0	111,323,464
42	GLOBAL FUTURES & FOREX LTD	RFED	NFA	05/31/2011	75,410,487	24,163,573	51,246,914	314,869	226,657	93,271,453
43	GOLDMAN SACHS & CO	FCM BD	CBOT	05/31/2011	11,392,599,979	2,033,657,734	9,358,942,245	21,601,472,109	8,452,110,667	0

SELECTED FCM FINANCIAL DATA AS OF
May 31, 2011
FROM REPORTS FILED BY
June 30, 2011

2 of 4

		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
	Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation
								(e)	(f)	(g)
44	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	05/31/2011	1,737,923,823	140,048,410	1,597,875,413	1,073,394,125	27,616,125	0
45	HSBC SECURITIES USA INC	FCM BD	CME	05/31/2011	1,100,471,868	117,245,998	983,225,870	1,114,807,752	96,278,542	0
46	ICAP CORPORATES LLC	FCM BD	NFA	05/31/2011	43,663,073	1,164,847	42,498,226	0	0	0
47	ICAP FUTURES LLC	FCM	NFA	05/31/2011	7,254,704	1,000,000	6,254,704	0	0	0
48	IKON GLOBAL MARKETS INC	FCM	NFA	05/31/2011	15,531,483	1,000,000	14,531,483	22,233,730	108,434	0
49	INSTINET LLC	FCM BD	NFA	05/31/2011	122,362,839	2,739,990	119,622,849	0	0	0
50	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	05/31/2011	21,039,353	20,000,000	1,039,353	0	0	20,261
51	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	05/31/2011	1,619,580	1,000,000	619,580	0	0	0
52	INTERACTIVE BROKERS LLC	FCM BD	NFA	05/31/2011	1,269,356,039	207,207,869	1,062,148,170	758,065,850	274,058,100	0
53	INTERBANK FX LLC	RFED	NFA	05/31/2011	24,276,657	21,144,697	3,131,960	0	0	32,893,937
54	IRONBEAM INC	FCM	NFA	05/31/2011	2,988,389	1,135,932	1,852,457	47,109,744	240,612	0
55	ITG DERIVATIVES LLC	FCM BD	NFA	05/31/2011	2,775,772	1,000,000	1,775,772	0	0	0
56	JEFFERIES & COMPANY INC	FCM BD	NFA	05/31/2011	738,989,522	86,292,224	652,697,298	0	0	0
57	JP MORGAN CLEARING CORP	FCM BD	CME	05/31/2011	6,059,101,939	1,972,656,630	4,086,445,309	562,161,737	423,577,445	0
58	JP MORGAN FUTURES INC	FCM	NYME	05/31/2011	2,540,195,827	1,576,234,383	963,961,444	17,852,593,974	2,742,049,136	0
59	JP MORGAN SECURITIES LLC	FCM BD	NFA	05/31/2011	8,208,055,108	601,436,191	7,606,618,917	0	0	0
60	LEK SECURITIES CORPORATION	FCM BD	NFA	05/31/2011	8,925,701	1,500,000	7,425,701	719,865	0	0
61	LIMESTONE TRADING LLC	FCM	NFA	05/31/2011	7,647,456	1,000,000	6,647,456	0	0	0
62	LINN GROUP THE	FCM	NFA	05/31/2011	2,556,740	1,000,000	1,556,740	42,684,200	62,619	0
63	LPL FINANCIAL LLC	FCM BD	NFA	05/31/2011	89,803,182	6,382,252	83,420,930	0	0	0
64	MACQUARIE FUTURES USA INC	FCM	CBOT	05/31/2011	128,233,139	61,919,062	66,314,077	780,491,109	44,483,742	0
65	MAREX NORTH AMERICA LLC	FCM	CME	05/31/2011	9,983,473	5,168,064	4,815,409	74,698,731	2,329,875	0
66	MAREX USA LIMITED	FCM	NFA	05/31/2011	2,694,725	1,000,000	1,694,725	0	0	0
67	MB TRADING FUTURES INC	RFED	NFA	05/31/2011	24,193,173	21,333,606	2,859,567	0	0	36,672,118
68	MBF CLEARING CORP	FCM	NYME	05/31/2011	8,986,962	1,224,566	7,762,396	75,380,640	3,669,718	0
69	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	05/31/2011	10,919,132	3,035,957	7,883,175	837,877,784	16,890	0
70	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	05/31/2011	10,412,358,125	920,987,059	9,491,371,066	9,957,618,683	2,988,883,390	0
71	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	05/31/2011	2,250,439,301	208,013,666	2,042,425,635	928,463,946	42,095,653	0
72	MF GLOBAL INC	FCM BD	CME	05/31/2011	588,961,934	484,823,249	104,138,685	8,929,524,652	821,291,275	0
73	MID CO COMMODITIES INC	FCM	NFA	05/31/2011	15,171,708	8,139,207	7,032,501	80,030,956	0	0
74	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	05/31/2011	96,080,019	1,943,923	94,136,096	0	0	0
75	MITSUMI BUSSAN COMMODITIES USA INC	FCM	NFA	05/31/2011	3,019,632	1,000,000	2,019,632	0	0	0
76	MIZUHO SECURITIES USA INC	FCM BD	CME	05/31/2011	310,041,673	82,848,155	227,193,518	816,835,590	427,206,565	0
77	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	05/31/2011	469,307,583	9,513,347	459,794,236	0	0	0
78	MORGAN STANLEY & CO INCORPORATED	FCM BD	CME	05/31/2011	5,792,553,340	1,150,204,678	4,642,348,662	4,882,879,262	1,968,543,372	0
79	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	05/31/2011	1,155,728,498	37,414,077	1,118,314,421	605,339,789	1,551,942,009	0
80	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	05/31/2011	215,262,570	1,000,000	214,262,570	0	0	0
81	NEUBERGER BERMAN LLC	FCM BD	NFA	05/31/2011	36,646,474	1,500,000	35,146,474	0	0	0
82	NEWEDGE USA LLC	FCM BD	NYME	05/31/2011	2,183,484,630	1,627,641,062	555,843,568	24,703,348,749	6,963,808,690	0
83	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	05/31/2011	1,776,929,433	33,492,581	1,743,436,852	84,509,987	17,440,430	0
84	OANDA CORPORATION	RFED	NFA	05/31/2011	160,776,982	28,293,709	132,483,273	0	0	175,874,183
85	OPEN E CRY LLC	FCM	NFA	05/31/2011	3,200,638	1,282,843	1,917,795	89,678,085	1,108,246	0
86	OPPENHEIMER & CO INC	FCM BD	NFA	05/31/2011	159,072,284	24,992,416	134,079,868	24,478,275	0	0

[illegible]

Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: DCIO@cftc.gov