

SELECTED FCM FINANCIAL DATA AS OF
April 30, 2010
FROM REPORTS FILED BY
May 31, 2010

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	ACM USA LLC	N	NFA	04/30/2010	1,101,079	1,000,000	101,079	0	0
2	ADM INVESTOR SERVICES INC	N	CBOT	04/30/2010	187,741,313	105,427,375	82,313,938	2,006,856,600	77,326,045
3	ADVANCED MARKETS LLC	N	NFA	04/30/2010	20,129,134	20,000,000	129,134	0	0
4	ADVANTAGE FUTURES LLC	N	CME	04/30/2010	18,057,897	8,752,701	9,305,196	320,364,034	37,601,602
5	ADVANTAGE SECURITIES LLC	Y	NFA	04/30/2010	3,992,715	1,000,000	2,992,715	3,179,410	0
6	AIG CLEARING CORPORATION	N	NYME	04/30/2010	224,878,033	1,000,000	223,878,033	0	0
7	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	03/31/2010	31,950,881	8,179,981	23,770,900	0	0
8	ALPARI (US) LLC	N	NFA	04/30/2010	20,938,516	20,000,000	938,516	0	0
9	AMERIPRISE FINANCIAL SERVICES INC	Y	NFA	04/30/2010	112,868,929	1,000,000	111,868,929	0	0
10	BANC OF AMERICA SECURITIES LLC	Y	CME	04/30/2010	2,331,750,056	188,277,652	2,143,472,404	0	0
11	BARCLAYS CAPITAL INC	Y	NYME	04/30/2010	5,086,385,517	753,264,268	4,333,121,249	4,337,180,783	2,256,094,356
12	BGC FINANCIAL LP	Y	NFA	04/30/2010	28,384,625	1,000,000	27,384,625	0	0
13	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	04/30/2010	347,509,332	195,752,585	151,756,747	1,096,213,032	512,350,139
14	BNP PARIBAS SECURITIES CORP	Y	CBOT	04/30/2010	2,112,109,660	70,972,952	2,041,136,708	348,902,009	0
15	BNY MELLON CLEARING LLC	N	NFA	04/30/2010	8,407,774	1,000,000	7,407,774	0	0
16	CANTOR FITZGERALD & CO	Y	CBOT	04/30/2010	143,311,585	5,384,656	137,926,929	11,564,472	0
17	CAPITAL MARKET SERVICES LLC	N	NFA	04/30/2010	25,988,456	21,107,941	4,880,515	0	0
18	CIBC WORLD MARKETS CORP	Y	CME	04/30/2010	1,419,435,898	1,500,000	1,417,935,898	0	0
19	CITADEL SECURITIES LLC	Y	CME	04/30/2010	102,538,521	19,139,147	83,399,374	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	04/30/2010	7,999,154,983	696,479,940	7,302,675,043	9,991,731,347	2,390,012,539
21	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	04/30/2010	1,239,025	1,000,000	239,025	0	0
22	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	04/30/2010	3,423,813	1,000,000	2,423,813	0	0
23	COUNTRY HEDGING INC	N	NFA	04/30/2010	16,625,923	7,970,731	8,655,192	86,171,077	37,526
24	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	04/30/2010	10,147,736,372	920,956,279	9,226,780,093	4,153,415,573	1,508,100,593
25	CROSSLAND LLC	N	CBOT	04/30/2010	8,672,864	5,291,854	3,381,010	62,263,399	41,483,590
26	CUNNINGHAM COMMODITIES LLC	N	CBOT	04/30/2010	3,622,431	1,530,615	2,091,816	45,163,831	23,233
27	CX CAPITAL MARKETS LLC	N	NFA	04/30/2010	2,179,475	1,000,000	1,179,475	23,536,678	0
28	DAIWA CAPITAL MARKETS AMERICA INC	Y	CME	04/30/2010	263,791,474	1,802,704	261,988,770	6,953,724	40,979
29	DEUTSCHE BANK SECURITIES INC	Y	CBOT	04/30/2010	6,631,128,764	583,361,349	6,047,767,415	13,257,332,800	1,384,226,981
30	DORMAN TRADING LLC	N	CME	04/30/2010	7,822,415	1,000,000	6,822,415	89,791,578	83,890
31	EAGLE MARKET MAKERS INC	N	CBOT	04/30/2010	6,107,496	1,000,000	5,107,496	5,911,565	0
32	EASY FOREX US LTD	N	NFA	04/30/2010	20,222,350	20,000,000	222,350	0	0
33	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	04/30/2010	8,462,825	1,000,000	7,462,825	0	0
34	ENSKILDA FUTURES LTD	N	CME	04/30/2010	25,199,293	19,433,491	5,765,802	353,163,444	0
35	FARR FINANCIAL INC	N	NFA	04/30/2010	1,977,430	1,000,000	977,430	39,705,843	15,984
36	FCSTONE LLC	N	CME	04/30/2010	79,210,548	37,571,468	41,639,080	929,604,422	11,279,563
37	FOREX CAPITAL MARKETS LLC	N	NFA	04/30/2010	69,253,442	24,752,877	44,500,565	0	0
38	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	04/30/2010	20,764,874	20,000,000	764,874	0	0
39	FORTIS CLEARING AMERICAS LLC	Y	CBOT	04/30/2010	217,751,511	62,592,299	155,159,212	1,102,069,979	120,251,090
40	FRIEDBERG MERCANTILE GROUP INC	N	NFA	04/30/2010	3,353,400	1,000,000	2,353,400	4,678,411	288,851
41	FRONTIER FUTURES INC	N	NFA	04/30/2010	1,564,060	1,000,000	564,060	22,678,718	3,836

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42	FUTURES TECH LLC	N	NFA	04/30/2010	1,601,435	1,000,000	601,435	0	0
43	FX SOLUTIONS LLC	N	NFA	04/30/2010	37,726,291	20,416,022	17,310,269	0	0
44	FXDIRECTDEALER LLC	N	NFA	04/30/2010	34,519,603	21,882,307	12,637,296	0	0
45	GAIN CAPITAL GROUP LLC	N	NFA	04/30/2010	75,419,999	26,300,140	49,119,859	0	0
46	GENESIS FUTURES LLC	N	NFA	04/30/2010	1,515,333	1,000,000	515,333	0	0
47	GILDER GAGNON HOWE & CO LLC	Y	NFA	04/30/2010	15,840,319	1,000,000	14,840,319	0	0
48	GLOBAL FUTURES & FOREX LTD	N	NFA	04/30/2010	83,354,274	25,831,571	57,522,703	273,797	209,034
49	GOLDMAN SACHS & CO	Y	CBOT	04/30/2010	11,974,209,919	2,112,532,315	9,861,677,604	18,151,237,560	6,202,415,638
50	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	04/30/2010	1,707,890,973	114,102,034	1,593,788,939	1,339,153,115	25,317,002
51	HG CLEARING SERVICES LLC	N	NFA	04/30/2010	1,002,262	1,000,000	2,262	0	0
52	HSBC SECURITIES USA INC	Y	CME	04/30/2010	1,141,967,687	98,839,926	1,043,127,761	656,631,472	107,213,929
53	ICAP FUTURES LLC	N	NFA	04/30/2010	11,427,253	1,000,000	10,427,253	0	0
54	IG MARKETS INC	N	NFA	04/30/2010	14,019,709	7,500,000	6,519,709	48,541	0
55	IKON GLOBAL MARKETS INC	N	NFA	04/30/2010	21,678,953	20,468,178	1,210,775	4,013,706	308,434
56	INSTINET LLC	Y	NFA	04/30/2010	85,540,369	2,141,435	83,398,934	0	0
57	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	04/30/2010	1,725,368	1,000,000	725,368	0	0
58	INTERACTIVE BROKERS LLC	Y	NFA	04/30/2010	954,038,267	118,309,729	835,728,538	530,139,063	170,398,699
59	INTERBANK FX LLC	N	NFA	04/30/2010	30,491,110	21,558,357	8,932,753	0	0
60	ITG DERIVATIVES LLC	Y	NFA	04/30/2010	3,651,179	1,000,000	2,651,179	0	0
61	JP MORGAN CLEARING CORP	Y	CME	04/30/2010	5,225,672,919	1,589,041,218	3,636,631,701	465,053,002	231,486,503
62	JP MORGAN FUTURES INC	N	NYME	04/30/2010	2,097,310,028	1,114,018,259	983,291,769	14,068,193,331	1,644,978,592
63	JP MORGAN SECURITIES INC	Y	NFA	04/30/2010	9,222,539,616	537,564,376	8,684,975,240	0	0
64	LEK SECURITIES CORPORATION	Y	NFA	04/30/2010	12,346,560	1,500,000	10,846,560	336,129	0
65	LIMESTONE TRADING LLC	N	NFA	04/30/2010	5,686,222	1,000,000	4,686,222	0	0
66	LINN GROUP THE	N	NFA	04/30/2010	2,178,662	1,000,000	1,178,662	32,115,496	104,003
67	LPL FINANCIAL CORPORATION	Y	NFA	04/30/2010	125,261,197	5,409,609	119,851,588	0	0
68	MACQUARIE FUTURES USA INC	N	CBOT	04/30/2010	86,533,457	42,370,748	44,162,709	678,542,812	819,479
69	MAREX USA LIMITED	N	NFA	04/30/2010	3,730,567	1,000,000	2,730,567	0	0
70	MB TRADING FUTURES INC	N	NFA	04/30/2010	24,324,618	20,000,000	4,324,618	0	0
71	MBF CLEARING CORP	N	NYME	04/30/2010	11,624,817	1,606,536	10,018,281	83,158,227	6,511,895
72	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	04/30/2010	9,931,934	2,146,139	7,785,795	580,144,924	0
73	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	04/30/2010	5,222,403,661	678,469,337	4,543,934,324	8,671,635,363	2,088,496,220
74	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	04/30/2010	1,641,778,529	174,277,734	1,467,500,795	1,201,833,885	34,943,033
75	MF GLOBAL INC	Y	CME	04/30/2010	569,174,484	373,458,656	195,715,828	7,486,158,431	536,820,845
76	MG FINANCIAL LLC	N	NFA	04/30/2010	4,493,541	1,000,000	3,493,541	0	0
77	MID CO COMMODITIES INC	N	NFA	04/30/2010	9,459,531	3,424,311	6,035,220	22,697,701	0
78	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	04/30/2010	92,354,633	2,114,202	90,240,431	0	0
79	mitsui BUSSAN COMMODITIES USA INC	N	NYME	04/30/2010	8,006,479	6,042,268	1,964,211	0	0
80	MIZUHO SECURITIES USA INC	Y	CME	04/30/2010	499,262,628	59,838,515	439,424,113	667,369,117	267,074,724
81	MORGAN KEEGAN & COMPANY INC	Y	NFA	04/30/2010	424,602,796	10,932,928	413,669,868	0	0
82	MORGAN STANLEY & CO INCORPORATED	Y	CME	04/30/2010	7,702,739,486	1,159,827,326	6,542,912,160	4,680,009,856	3,212,837,820
83	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	04/30/2010	929,917,974	1,000,000	928,917,974	0	0

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								(e)	(f)
84	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	04/30/2010	219,196,899	1,000,000	218,196,899	0	0
85	NEUBERGER BERMAN LLC	Y	NFA	04/30/2010	69,515,177	1,500,000	68,015,177	0	0
86	NEWEDGE USA LLC	Y	NYME	04/30/2010	2,446,433,962	1,365,953,651	1,080,480,311	18,676,546,668	6,979,273,070
87	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	04/30/2010	1,278,117,809	20,658,266	1,257,459,543	56,685,504	102,207
88	OANDA CORPORATION	N	NFA	04/30/2010	170,299,469	29,180,107	141,119,362	0	0
89	OPEN E CRY LLC	N	NFA	04/30/2010	3,874,164	1,031,907	2,842,257	98,719,251	782,283
90	OPPENHEIMER & CO INC	Y	NFA	04/30/2010	167,670,497	24,473,345	143,197,152	20,372,455	0
91	OPTIONSXPRESS INC	Y	NFA	04/30/2010	109,167,800	12,107,165	97,060,635	105,586,130	694,852
92	PENSON GHCO	N	CBOT	04/30/2010	23,186,273	19,482,852	3,703,421	507,141,376	15,921,560
93	PEREGRINE FINANCIAL GROUP INC	N	NFA	04/30/2010	32,332,718	20,000,000	12,332,718	397,408,265	30,728,904
94	PIONEER FUTURES INC	N	NYME	04/30/2010	1,435,315	1,000,000	435,315	0	0
95	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	04/30/2010	214,097,000	157,962,480	56,134,520	2,512,590,000	233,313,000
96	RAND FINANCIAL SERVICES INC	N	CME	04/30/2010	68,914,315	13,412,671	55,501,644	133,061,000	37,156,300
97	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	04/30/2010	367,131,156	28,645,947	338,485,209	0	0
98	RBC CAPITAL MARKETS CORPORATION	Y	CME	04/30/2010	1,032,398,275	82,566,786	949,831,489	703,965,822	157,973,146
99	RBS SECURITIES INC	Y	CBOT	04/30/2010	1,502,336,000	207,827,000	1,294,509,000	1,419,567,000	86,276,000
100	RJ OBRIEN ASSOCIATES LLC	N	CME	04/30/2010	118,024,039	71,493,107	46,530,932	1,734,947,397	37,239,562
101	ROSENTHAL COLLINS GROUP LLC	N	CME	04/30/2010	63,795,747	36,393,430	27,402,317	1,195,016,716	18,209,393
102	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	04/30/2010	51,251,342	1,000,000	50,251,342	0	0
103	SANFORD C BERNSTEIN & CO LLC	Y	NFA	04/30/2010	172,818,632	12,230,142	160,588,490	0	0
104	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	04/30/2010	287,876,970	1,420,564	286,456,406	0	0
105	SHAY GRAIN CLEARING COMPANY	N	KCBT	04/30/2010	2,578,875	1,000,000	1,578,875	12,318,558	0
106	SMW TRADING COMPANY INC	N	CME	04/30/2010	26,916,554	1,000,000	25,916,554	3,946,973	0
107	STATE STREET GLOBAL MARKETS LLC	Y	CME	04/30/2010	350,178,945	1,305,977	348,872,968	149,457,839	465,420
108	STEPHENS	Y	NFA	04/30/2010	63,838,079	10,861,267	52,976,812	0	0
109	STERLING COMMODITIES CORP	N	NYME	04/30/2010	7,677,634	1,605,294	6,072,340	43,845,496	404,448
110	TCA FUTURES LLC	N	NFA	04/30/2010	1,596,840	1,000,000	596,840	0	0
111	TD AMERITRADE INC	Y	NFA	04/30/2010	313,642,725	1,000,000	312,642,725	0	0
112	TENCO INC	N	CBOT	04/30/2010	50,574,538	6,431,202	44,143,336	185,929,294	0
113	TERRA NOVA FINANCIAL LLC	Y	NFA	04/30/2010	9,700,267	1,500,000	8,200,267	0	0
114	TIMBER HILL LLC	Y	CME	04/30/2010	718,601,069	39,011,750	679,589,319	537,374,153	0
115	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	04/30/2010	5,801,542	1,000,000	4,801,542	0	0
116	TRADELINK LLC	Y	CBOT	04/30/2010	24,929,702	1,000,000	23,929,702	0	0
117	TRADESTATION SECURITIES INC	Y	NFA	04/30/2010	79,556,489	2,132,833	77,423,656	356,051,207	455,574
118	TRADITION SECURITIES AND FUTURES INC	N	NFA	04/30/2010	1,571,425	1,000,000	571,425	0	0
119	TRANSMARKET GROUP LLC	Y	CBOT	04/30/2010	5,512,363	1,000,000	4,512,363	0	0
120	TRILAND USA INC	N	NYME	04/30/2010	28,468,897	18,366,990	10,101,907	150,187,068	16,398,739
121	UBS FINANCIAL SERVICES INC	Y	NFA	04/30/2010	1,280,739,488	91,594,688	1,189,144,800	180,657,091	1,177,615
122	UBS SECURITIES LLC	Y	CBOT	04/30/2010	11,281,312,998	939,239,628	10,342,073,370	9,701,895,008	4,427,711,104
123	VELOCITY FUTURES LLC	N	NFA	04/30/2010	1,632,088	1,000,000	632,088	45,668,635	3,405,715
124	VISION FINANCIAL MARKETS LLC	Y	CME	04/30/2010	19,349,848	9,716,536	9,633,312	371,397,859	4,435,008
125	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	04/30/2010	25,903,140	4,168,511	21,734,629	0	0

