

SELECTED FCM FINANCIAL DATA AS OF
September 30, 2006
FROM REPORTS FILED BY
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	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3D FOREX LLC	N	NFA	09/30/2006	1,417,746	500,000	917,746	0	0
2	ABBEY NATIONAL SECURITIES INC	Y	NFA	09/30/2006	137,842,129	23,533,020	114,309,109	312,007,416	0
3	ABN AMRO INCORPORATED	Y	CBOT	09/29/2006	1,162,827,172	352,533,536	810,293,636	4,407,876,996	1,006,198,526
4	ABN AMRO SAGE CORPORATION	Y	NFA	09/29/2006	98,018,019	500,000	97,518,019	4,323,917	0
5	ADM INVESTOR SERVICES INC	N	CBOT	09/30/2006	116,925,779	52,946,893	63,978,886	1,139,644,762	37,266,221
6	ADVANCED MARKETS INC	N	NFA	09/30/2006	1,102,435	500,000	602,435	0	0
7	ADVANTAGE FUTURES LLC	N	CME	09/30/2006	11,999,472	3,978,785	8,020,687	142,358,623	8,205,629
8	AG EDWARDS & SONS INC	Y	CBOT	09/30/2006	869,419,556	38,399,117	831,020,439	252,588,815	122,237
9	AIG CLEARING CORPORATION	N	NYME	09/30/2006	276,380,466	46,780,323	229,600,143	20,617,876	0
10	ALARON TRADING CORPORATION	N	CME	09/30/2006	6,112,211	3,448,484	2,663,727	168,383,296	12,349,784
11	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	Y	NFA	09/30/2006	27,382,777	5,863,790	21,518,987	0	0
12	ALPHA FOREIGN EXCHANGE GROUP	N	NFA	09/30/2006	504,414	500,000	4,414	0	0
13	AMERICAN NATIONAL TRADING CORP	N	NFA	09/30/2006	2,807,231	1,000,000	1,807,231	28,524,295	27,154
14	BACERA CORPORATION	N	NFA	09/30/2006	2,130	1,000,000	-997,870	0	0
15	BANC OF AMERICA SECURITIES LLC	Y	CME	09/30/2006	1,785,718,968	325,383,553	1,460,335,415	1,559,113,195	14,394,131
16	BARCLAYS CAPITAL INC	Y	NYME	09/30/2006	545,525,657	270,963,365	274,562,292	2,827,347,216	1,557,728,849
17	BATTERY ASSET MANAGEMENT LLC	N	NFA	09/30/2006	624,947	500,000	124,947	0	0
18	BEAR STEARNS & CO INC	Y	NFA	09/30/2006	(g)	573,157,570	(g)	0	0
19	BEAR STEARNS SECURITIES CORP	Y	CME	09/30/2006	3,827,991,651	1,123,971,550	2,704,020,101	3,750,613,841	3,061,890,978
20	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	09/30/2006	120,055,730	80,797,617	39,258,113	831,321,087	314,007,877
21	BNP PARIBAS SECURITIES CORP	Y	CBOT	09/30/2006	502,126,756	21,808,617	480,318,139	148,007,112	0
22	BROOKSTREET SECURITIES CORPORATION	Y	NFA	09/30/2006	3,826,362	620,492	3,205,870	0	0
23	C CZARNIKOW SUGAR FUTURES INC	N	NFA	09/30/2006	1,679,638	500,000	1,179,638	0	0
24	CADENT FINANCIAL SERVICES LLC	N	CME	09/30/2006	5,176,913	3,010,292	2,166,621	125,184,764	7,749,121
25	CAL FINANCIAL CORPORATION	N	NFA	09/30/2006	1,055,306	1,000,000	55,306	0	0
26	CALYON FINANCIAL INC	Y	CME	09/30/2006	660,362,123	429,662,536	230,699,587	6,245,468,236	730,062,371
27	CANTOR FITZGERALD & CO	Y	CBOT	09/30/2006	180,374,862	3,569,159	176,805,703	41,695,465	0
28	CAPITAL MARKET SERVICES LLC	N	NFA	09/30/2006	10,358,563	1,431,204	8,927,359	0	0
29	CIBC WORLD MARKETS CORP	Y	CME	09/30/2006	1,061,071,270	19,584,002	1,041,487,268	0	0
30	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	09/29/2006	(g)	730,805,610	(g)	8,905,458,022	582,751,293
31	CLIFF LARSON COMPANY THE	N	NFA	09/30/2006	664,465	500,000	164,465	1,560,974	0
32	CMC MARKETS US LLC	N	NFA	09/30/2006	7,332,153	5,000,000	2,332,153	0	0
33	COMTRUST INC	N	NFA	09/30/2006	1,066,899	500,000	566,899	9,261,824	0
34	COUNTRY HEDGING INC	N	NFA	09/30/2006	13,897,728	4,144,090	9,753,638	64,781,317	2,405
35	CREDIT SUISSE SECURITIES USA LLC	Y	CBOT	09/30/2006	4,402,823,795	172,075,603	4,230,748,192	1,501,908,358	876,485,147
36	CROSSLAND LLC	N	CBOT	09/30/2006	3,735,010	251,224	3,483,786	8,023,923	0
37	CUNNINGHAM COMMODITIES LLC	N	CBOT	09/30/2006	1,680,877	500,000	1,180,877	14,423,629	29,911
38	DAIWA SECURITIES AMERICA INC	Y	CME	09/30/2006	201,883,360	2,162,206	199,721,154	5,002,727	11,296,919
39	DEUTSCHE BANK SECURITIES INC	Y	CBOT	09/29/2006	2,670,769,945	214,651,895	2,456,118,050	2,905,692,063	711,153,011
40	DIRECT FOREX LLC	N	NFA	09/30/2006	1,746,711	1,000,000	746,711	0	0
41	DORMAN TRADING LLC	N	CME	09/30/2006	7,071,459	528,354	6,543,105	33,047,748	13,363
42	DUNAVANT COMMODITY CORP	N	NYBT	09/30/2006	22,395,580	583,296	21,812,284	270,869	0
43	E FX OPTIONS LLC	N	NFA	09/30/2006	1,036,481	1,000,000	36,481	0	0
44	EAGLE MARKET MAKERS INC	N	CBOT	09/30/2006	2,502,225	500,000	2,002,225	3,654,711	0
45	ED & F MAN COMMODITY ADVISORS INC	N	NFA	09/30/2006	893,995	500,000	393,995	0	0
46	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	09/30/2006	7,674,936	500,000	7,174,936	0	0

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47	ENSKILDA FUTURES LTD	N	CME	09/30/2006	14,483,480	10,742,199	3,741,281	206,620,190	0
48	FARR FINANCIAL INC	N	NFA	09/30/2006	2,451,842	1,000,000	1,451,842	36,136,459	150,992
49	FC STONE LLC	N	CME	09/30/2006	32,845,094	20,321,146	12,523,948	677,923,068	2,468,296
50	FCT GROUP LLC	N	CME	09/30/2006	6,009,252	689,549	5,319,703	12,235,787	0
51	FIMAT PREFERRED LLC	Y	NFA	09/30/2006	35,059,703	1,193,608	33,866,095	13,236,513	0
52	FIMAT USA LLC	Y	NYME	09/30/2006	531,817,082	375,359,317	156,457,765	5,153,486,170	1,912,475,661
53	FIRST CAPITOL GROUP LLC	N	NFA	09/30/2006	4,878,300	1,533,295	3,345,005	52,802,209	69,627
54	FOREFRONT INVESTMENTS CORPORATION	N	NFA	09/30/2006	1,468,364	1,000,000	468,364	0	0
55	FOREX CAPITAL MARKETS LLC	N	NFA	09/30/2006	36,369,280	9,034,472	27,334,808	0	0
56	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	09/30/2006	1,596,945	1,000,000	596,945	0	0
57	FOREX INTERNATIONAL INVESTMENTS INC	N	NFA	09/30/2006	2,206,392	1,000,000	1,206,392	0	0
58	FOREX LIQUIDITY LLC	N	NFA	09/30/2006	2,331,252	1,000,000	1,331,252	0	0
59	FORTIS CLEARING AMERICAS LLC	Y	CBOT	09/30/2006	96,234,281	13,391,375	82,842,906	486,432,909	16,004,129
60	FORWARD FOREX INC	N	NFA	09/30/2006	1,206,115	1,000,000	206,115	0	0
61	FREEDOM FX LLC	N	NFA	09/30/2006	1,027,295	1,000,000	27,295	0	0
62	FRIEDBERG MERCANTILE GROUP INC	N	NFA	09/30/2006	5,561,639	5,000,000	561,639	2,505,035	11,840
63	FRONTIER FUTURES INC	N	NFA	09/30/2006	2,336,379	1,071,968	1,264,411	27,737,113	0
64	FUTURES TECH LLC	N	NFA	09/30/2006	871,727	500,000	371,727	0	0
65	FX OPTION1 INC	N	NFA	09/30/2006	502,366	500,000	2,366	0	0
66	FX SOLUTIONS LLC	N	NFA	09/30/2006	7,570,191	3,779,373	3,790,818	0	0
67	FXCM LLC	N	NFA	09/30/2006	756,602	500,000	256,602	0	0
68	GAIN CAPITAL GROUP LLC	N	NFA	09/30/2006	21,352,791	4,234,030	17,118,761	0	0
69	GELBER GROUP LLC	N	CBOT	09/30/2006	42,594,630	931,263	41,663,367	39,414,920	47,417
70	GFS FOREX & FUTURES INC	N	NFA	09/30/2006	2,065,530	1,000,000	1,065,530	0	0
71	GILDER GAGNON HOWE AND CO LLC	Y	NFA	09/30/2006	37,504,013	500,000	37,004,013	0	0
72	GLOBAL FUTURES & FOREX LIMITED	Y	CFTC	09/30/2006	35,490,645	750,000	34,740,645	0	0
73	GLOBAL FUTURES LLC	N	NFA	09/30/2006	8,042,644	500,000	7,542,644	0	0
74	GOLDENBERG HEHMEYER & CO	Y	CBOT	09/30/2006	9,616,170	2,847,955	6,768,215	93,356,347	7,273,649
75	GOLDMAN SACHS & CO	Y	CBOT	09/29/2006	(g)	1,225,805,385	(g)	12,157,961,078	6,831,311,975
76	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	09/29/2006	988,613,956	40,149,300	948,464,656	631,591,899	4,959,648
77	GREENWICH CAPITAL MARKETS INC	Y	CBOT	09/30/2006	1,376,406,000	51,363,000	1,325,043,000	516,914,000	16,677,000
78	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	09/30/2006	123,659,261	9,277,248	114,382,013	0	0
79	HAGERTY GRAIN CO INC	N	CBOT	09/30/2006	1,806,665	500,000	1,306,665	5,299,502	0
80	HAMILTON WILLIAMS LLC	N	NFA	09/30/2006	1,085,441	1,000,000	85,441	0	0
81	HOTSPOT FXR LLC	N	NFA	09/30/2006	5,489,377	1,000,000	4,489,377	0	0
82	HSBC SECURITIES USA INC	Y	CME	09/30/2006	722,532,908	64,472,643	658,060,265	637,244,039	28,765,319
83	I TRADE FX LLC	N	NFA	09/30/2006	1,245,180	1,000,000	245,180	0	0
84	ICAP FUTURES LLC	N	CME	09/30/2006	15,039,038	2,413,697	12,625,341	49,973,852	4,380,824
85	IFSC USA INC	N	NFA	09/30/2006	6,012,424	5,000,000	1,012,424	387,639	0
86	IFX MARKETS INC	N	NFA	09/30/2006	2,959,773	2,788,395	171,378	0	0
87	IG FINANCIAL MARKETS INC	N	NFA	09/30/2006	987,554	500,000	487,554	0	0
88	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	09/30/2006	1,639,234	1,000,000	639,234	816,787	0
89	INTERACTIVE BROKERS LLC	Y	EUXUS	09/30/2006	245,510,790	21,439,204	224,071,586	205,522,030	54,489,418
90	INTERBANK FX LLC	N	NFA	09/30/2006	4,967,693	1,000,000	3,967,693	0	0
91	IOWA GRAIN CO	N	CBOT	09/30/2006	9,489,646	5,120,533	4,369,113	170,221,376	563,907
92	ITAU SECURITIES INC	Y	NFA	09/30/2006	16,290,564	500,000	15,790,564	0	0
93	IXIS SECURITIES NORTH AMERICA INC	Y	NFA	09/30/2006	147,767,199	500,000	147,267,199	0	0

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94	JP MORGAN FUTURES INC	N	NYME	09/30/2006	1,066,348,543	634,531,003	431,817,540	6,967,858,243	1,249,781,461
95	KOTTKE ASSOCIATES LLC	N	CBOT	09/30/2006	5,851,920	500,000	5,351,920	31,368,619	0
96	LADENBURG THALMANN & CO INC	Y	NFA	09/30/2006	15,304,837	500,000	14,804,837	0	0
97	LBS LIMITED PARTNERSHIP	N	CBOT	09/30/2006	683,463	500,000	183,463	0	0
98	LEADER INVESTMENTS INC	Y	NFA	09/30/2006	352,176	100,000	252,176	0	0
99	LEHMAN BROTHERS INC	Y	CBOT	09/30/2006	(g)	531,960,000	(g)	4,382,333,000	199,122,000
100	LINN GROUP THE	N	NFA	09/30/2006	647,315	500,000	147,315	0	0
101	LINSKO PRIVATE LEDGER CORP	Y	NFA	09/30/2006	76,372,281	6,469,199	69,903,082	0	0
102	LOEB PARTNERS CORPORATION	Y	NFA	09/30/2006	25,659,267	500,000	25,159,267	0	0
103	MACQUARIE FUTURES USA INC	N	NFA	09/30/2006	10,003,895	500,000	9,503,895	980,388	0
104	MAN FINANCIAL INC	N	CME	09/30/2006	514,416,777	377,107,964	137,308,813	8,069,282,726	659,456,097
105	MANCHESTER FINANCIAL GROUP INC	N	NFA	09/30/2006	517,952	500,000	17,952	0	0
106	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	09/30/2006	1,362,167	500,000	862,167	0	0
107	MB TRADING FUTURES INC	N	NFA	09/30/2006	3,131,435	1,000,000	2,131,435	0	0
108	MBF CLEARING CORP	N	NYME	09/30/2006	26,001,350	4,428,825	21,572,525	191,884,683	9,051,202
109	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	09/30/2006	6,516,304	2,306,843	4,209,461	471,944,580	0
110	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	09/29/2006	(g)	507,239,061	(g)	6,488,941,771	715,997,711
111	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	09/29/2006	922,336,585	47,994,414	874,342,171	583,490,362	52,457,798
112	MIDCO COMMODITIES INC	N	NFA	09/30/2006	4,429,757	1,324,641	3,105,116	16,426,491	0
113	MITSUI BUSSAN COMMODITIES USA INC	N	NYME	09/30/2006	5,928,529	737,545	5,190,984	0	0
114	MIZUHO SECURITIES USA INC	Y	CME	09/30/2006	170,048,830	23,185,895	146,862,935	263,921,337	96,508,067
115	MONEY GARDEN CORP	N	NFA	09/30/2006	3,503,673	1,000,000	2,503,673	0	0
116	MORGAN KEEGAN & COMPANY INC	Y	NFA	09/30/2006	371,325,331	12,736,012	358,589,319	0	0
117	MORGAN STANLEY & CO INCORPORATED	Y	CME	09/30/2006	(g)	1,085,707,489	(g)	2,656,415,432	2,076,712,092
118	MORGAN STANLEY DW INC	Y	CBOT	09/30/2006	1,369,962,247	69,961,060	1,300,001,187	1,007,696,547	2,401,982,610
119	NATIONS INVESTMENTS LLC	N	NFA	09/29/2006	1,509,265	1,000,000	509,265	3,222,164	0
120	NEUBERGER BERMAN LLC	Y	NFA	09/30/2006	355,254,804	15,070,871	340,183,933	24,242,350	0
121	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	09/30/2006	951,733,234	7,006,080	944,727,154	37,822,914	2,077,986
122	OANDA CORPORATION	N	NFA	09/30/2006	29,553,939	1,000,000	28,553,939	0	0
123	ODL SECURITIES INC	N	NFA	09/30/2006	450,725	1,000,000	-549,275	0	0
124	ONE WORLD CAPITAL GROUP	N	NFA	09/30/2006	1,024,982	1,000,000	24,982	258,584	0
125	OPEN E CRY LLC	N	NFA	09/30/2006	1,232,959	632,222	600,737	22,261,677	1,577,080
126	OPPENHEIMER & CO INC	Y	NFA	09/30/2006	182,523,280	23,097,696	159,425,584	22,872,388	0
127	OPTIONSXPRESS INC	Y	NFA	09/30/2006	86,007,842	3,176,852	82,830,990	2,484,725	0
128	PENSON FINANCIAL FUTURES INC	N	NFA	09/30/2006	1,480,291	500,000	980,291	18,184,227	0
129	PEREGRINE FINANCIAL GROUP INC	N	NFA	09/29/2006	14,880,545	7,669,562	7,210,983	202,734,387	13,138,928
130	PIONEER FUTURES INC	N	NYME	09/30/2006	2,191,010	500,000	1,691,010	0	0
131	PIPER JAFFRAY & CO	Y	NFA	09/30/2006	370,562,558	2,645,125	367,917,433	0	0
132	PRUDENTIAL FINANCIAL DERIVATIVES LLC	N	CBOT	09/30/2006	135,067,000	100,363,920	34,703,080	1,782,952,000	151,258,000
133	RAND FINANCIAL SERVICES INC	N	CME	09/30/2006	26,123,847	9,409,887	16,713,960	151,026,600	19,794,600
134	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	09/30/2006	369,443,241	26,793,202	342,650,039	0	0
135	RBC CAPITAL MARKETS CORPORATION	Y	CME	09/30/2006	411,428,659	61,177,884	350,250,775	133,686,896	2,216,800
136	RBC DAIN RAUSCHER INC	Y	NFA	09/30/2006	393,288,297	34,537,148	358,751,149	0	0
137	REDSKY FINANCIAL LLC	Y	NFA	09/30/2006	578,799	500,000	78,799	0	0
138	RJ OBRIEN ASSOCIATES INC	N	CME	09/29/2006	99,657,360	80,411,734	19,245,626	1,965,720,911	38,958,537
139	ROBBINS FUTURES INC	N	NFA	09/30/2006	569,133	500,000	69,133	3,792,797	0
140	ROSENTHAL COLLINS GROUP LLC	N	CME	09/30/2006	35,224,743	21,367,502	13,857,241	669,393,911	11,092,485

